

Primality Testing and Factorization

Eli Howey

MATH 56
Dartmouth College

May 27, 2014

RSA: Background

Ron Rivest, Adi Shamir, and Leonard Adleman (1977)

RSA: Background

Ron Rivest, Adi Shamir, and Leonard Adleman (1977)
Premise

RSA: Background

Ron Rivest, Adi Shamir, and Leonard Adleman (1977)

Premise

- Two keys (exponents): public and private

RSA: Background

Ron Rivest, Adi Shamir, and Leonard Adleman (1977)

Premise

- Two keys (exponents): public and private
- Requires Euler ϕ function and multiplicative inverse

RSA: Algorithm

- 1 Randomly choose two distinct primes p and q of approximately equal size.

RSA: Algorithm

- 1 Randomly choose two distinct primes p and q of approximately equal size.
- 2 Compute $n = pq$.

RSA: Algorithm

- 1 Randomly choose two distinct primes p and q of approximately equal size.
- 2 Compute $n = pq$.
- 3 Compute $\phi(n) = \phi(p)\phi(q) = (p - 1)(q - 1)$.

RSA: Algorithm

- 1 Randomly choose two distinct primes p and q of approximately equal size.
- 2 Compute $n = pq$.
- 3 Compute $\phi(n) = \phi(p)\phi(q) = (p-1)(q-1)$.
- 4 Choose $e \in \mathbb{Z}$ with $\gcd(e, \phi(n)) = 1$. If e is prime, then one must only check that $e \nmid \phi(n)$.

RSA: Algorithm

- 1 Randomly choose two distinct primes p and q of approximately equal size.
- 2 Compute $n = pq$.
- 3 Compute $\phi(n) = \phi(p)\phi(q) = (p-1)(q-1)$.
- 4 Choose $e \in \mathbb{Z}$ with $\gcd(e, \phi(n)) = 1$. If e is prime, then one must only check that $e \nmid \phi(n)$.
- 5 Find the multiplicative inverse $d = e^{-1} \bmod \phi(n)$.

RSA: Algorithm

- 1 Randomly choose two distinct primes p and q of approximately equal size.
- 2 Compute $n = pq$.
- 3 Compute $\phi(n) = \phi(p)\phi(q) = (p-1)(q-1)$.
- 4 Choose $e \in \mathbb{Z}$ with $\gcd(e, \phi(n)) = 1$. If e is prime, then one must only check that $e \nmid \phi(n)$.
- 5 Find the multiplicative inverse $d = e^{-1} \bmod \phi(n)$.
- 6 The public key is defined as the pair (e, n) , and the private key as (d, n) .

RSA: Encryption/Decryption

Given a message M , $0 \leq M < n$ (or ciphertext C):

RSA: Encryption/Decryption

Given a message M , $0 \leq M < n$ (or ciphertext C):

- Encryption: $E(M) \equiv M^e \pmod{n}$ (only requires public key)

RSA: Encryption/Decryption

Given a message M , $0 \leq M < n$ (or ciphertext C):

- Encryption: $E(M) \equiv M^e \pmod{n}$ (only requires public key)
- Decryption: $D(C) \equiv M^d \pmod{n}$ (requires private key)

RSA: Encryption/Decryption

Given a message M , $0 \leq M < n$ (or ciphertext C):

- Encryption: $E(M) \equiv M^e \pmod n$ (only requires public key)
- Decryption: $D(C) \equiv M^d \pmod n$ (requires private key)

Private key functionally impossible to crack without p, q

Primality Testing

Two major (deterministic) methods:

Primality Testing

Two major (deterministic) methods:

- Trial division

Primality Testing

Two major (deterministic) methods:

- Trial division
 - $O(n^{1/2} \ln n (\ln \ln n)^2)$

Primality Testing

Two major (deterministic) methods:

- Trial division
 - $O(n^{1/2} \ln n (\ln \ln n)^2)$
 - Best for $n < 10^{10}$

Primality Testing

Two major (deterministic) methods:

- Trial division
 - $O(n^{1/2} \ln n (\ln \ln n)^2)$
 - Best for $n < 10^{10}$
- Sieve of Eratosthenes

Primality Testing

Two major (deterministic) methods:

- Trial division
 - $O(n^{1/2} \ln n (\ln \ln n)^2)$
 - Best for $n < 10^{10}$
- Sieve of Eratosthenes
 - $O(\ln \ln n)$ per sieve element

Primality Testing

Two major (deterministic) methods:

- Trial division
 - $O(n^{1/2} \ln n (\ln \ln n)^2)$
 - Best for $n < 10^{10}$
- Sieve of Eratosthenes
 - $O(\ln \ln n)$ per sieve element
 - Good for $n < 10^{12}$, but segmenting can extend range

Factorization Methods

Covers four method classes

Factorization Methods

Covers four method classes

- Methods covered in class
 - Trial division (sieve implementation)
 - Fermat's method

Factorization Methods

Covers four method classes

- Methods covered in class
 - Trial division (sieve implementation)
 - Fermat's method
- Probabilistic: Pollard ρ method

Factorization Methods

Covers four method classes

- Methods covered in class
 - Trial division (sieve implementation)
 - Fermat's method
- Probabilistic: Pollard ρ method
- Quadratic sieve (QS) & number field sieve (NFS)

Factorization Methods

Covers four method classes

- Methods covered in class
 - Trial division (sieve implementation)
 - Fermat's method
- Probabilistic: Pollard ρ method
- Quadratic sieve (QS) & number field sieve (NFS)
- Factoring multiple RSA keys with Batch GCD

Pollard ρ method: Premise

Let $n \in \mathbb{Z}^+$ be composite with least prime factor p .

$$\mathcal{S} = \{1, 2, \dots, p-1\} \quad f(x) = x^2 + a \pmod{p}, a \in \mathcal{S}$$

For all $s \in \mathcal{S}$, the sequence

$$s, f(s), f(f(s)), \dots$$

eventually becomes cyclic (after $O(\sqrt{p})$ iterations).

$$F(x) = x^2 + a \pmod{n} \equiv f(x) \pmod{p}$$

[Floyd] $\exists i$ such that $2i = O(\sqrt{p})$ and $F^{(i)}(s) \equiv F^{(2i)}(s) \pmod{p}$
 $\implies \gcd(F^{(i)}(s) - F^{(2i)}(s), n)$ is a factor (if $\neq n$)

Pollard ρ method: Algorithm

- 1 Randomly choose integers $a \in [1, n - 3]$ and $s \in [0, n - 1]$.

Pollard ρ method: Algorithm

- 1 Randomly choose integers $a \in [1, n - 3]$ and $s \in [0, n - 1]$.
- 2 Define $F(x) = (x^2 + a) \bmod n$.

Pollard ρ method: Algorithm

- 1 Randomly choose integers $a \in [1, n - 3]$ and $s \in [0, n - 1]$.
- 2 Define $F(x) = (x^2 + a) \bmod n$.
- 3 Set $U = V = s$.

Pollard ρ method: Algorithm

- 1 Randomly choose integers $a \in [1, n - 3]$ and $s \in [0, n - 1]$.
- 2 Define $F(x) = (x^2 + a) \bmod n$.
- 3 Set $U = V = s$.
- 4 Iterate U and V as follows:
 - $U = F(U)$,
 - $V = F^{(2)}(V)$.

Pollard ρ method: Algorithm

- 1 Randomly choose integers $a \in [1, n - 3]$ and $s \in [0, n - 1]$.
- 2 Define $F(x) = (x^2 + a) \bmod n$.
- 3 Set $U = V = s$.
- 4 Iterate U and V as follows:
 - $U = F(U)$,
 - $V = F^{(2)}(V)$.
- 5 Calculate $g = \gcd(U - V, n)$.

Pollard ρ method: Algorithm

- 1 Randomly choose integers $a \in [1, n - 3]$ and $s \in [0, n - 1]$.
- 2 Define $F(x) = (x^2 + a) \bmod n$.
- 3 Set $U = V = s$.
- 4 Iterate U and V as follows:
 - $U = F(U)$,
 - $V = F^{(2)}(V)$.
- 5 Calculate $g = \gcd(U - V, n)$.
- 6 If $g = 1$, go back to Step 4. If $g = n$, go back to Step 1.

Pollard ρ method: Algorithm

- 1 Randomly choose integers $a \in [1, n - 3]$ and $s \in [0, n - 1]$.
- 2 Define $F(x) = (x^2 + a) \bmod n$.
- 3 Set $U = V = s$.
- 4 Iterate U and V as follows:
 - $U = F(U)$,
 - $V = F^{(2)}(V)$.
- 5 Calculate $g = \gcd(U - V, n)$.
- 6 If $g = 1$, go back to Step 4. If $g = n$, go back to Step 1.
- 7 Return g .

Pollard ρ method: Runtime

- Step 4 iteration occurs $\leq \frac{1}{2}O(\sqrt{p})$ times
- $O(\ln n \ln \ln n)$ per iteration

Pollard ρ method: Runtime

- Step 4 iteration occurs $\leq \frac{1}{2}O(\sqrt{p})$ times
- $O(\ln n \ln \ln n)$ per iteration

Total runtime:

$$O(p^{1/2} \ln n \ln \ln n) \approx O(n^{1/4} \ln n \ln \ln n)$$

Pollard ρ method: Runtime

- Step 4 iteration occurs $\leq \frac{1}{2}O(\sqrt{p})$ times
- $O(\ln n \ln \ln n)$ per iteration

Total runtime:

$$O(p^{1/2} \ln n \ln \ln n) \approx O(n^{1/4} \ln n \ln \ln n)$$

Probabilistic (choice of a and s affects result)

Quadratic Sieve (QS)

Establish quadratic congruence among products of B -smooth candidates

$$x^2 \equiv y^2 \pmod{n}$$

Quadratic Sieve (QS)

Establish quadratic congruence among products of B -smooth candidates

$$x^2 \equiv y^2 \pmod{n}$$

Optimal B [Pomerance]:

$$B \approx \exp\left(\frac{1}{2}\sqrt{\ln n \ln \ln n}\right)$$

Quadratic Sieve (QS)

Establish quadratic congruence among products of B -smooth candidates

$$x^2 \equiv y^2 \pmod{n}$$

Optimal B [Pomerance]:

$$B \approx \exp\left(\frac{1}{2}\sqrt{\ln n \ln \ln n}\right)$$

Runtime:

$$O(B^2) \approx L(n) = O(\exp(\sqrt{\ln n \ln \ln n}))$$

NFS: Number Fields

Let f be a polynomial with coeffs. in \mathbb{Z} , degree k , root $r \in \mathbb{C}$. f is *irreducible* if f cannot be expressed as the product of two polynomials with coeffs. in \mathbb{Z} , degree $< k$. If f is irreducible, we can define the *polynomial ring* (number field)

$$\mathbb{Z}[r] = \{c_{k-1}r^{k-1} + \cdots + c_1r + c_0 \in \mathbb{R} \mid c_0, c_1, \dots, c_{k-1} \in \mathbb{Z}\}$$

Multiplication in $\mathbb{Z}[r]$: polynomial multiplication, then reduction mod r^k

NFS: Concept

Establish quadratic congruence among products of B -smooth products of polynomials in $\mathbb{Z}[x]$

$$u^2 \equiv v^2 \pmod{n},$$

where v^2 is the product of $a - bm$ for pairs (a, b) such that $F(a, b)G(a, b)$ is B -smooth, and m an approximate root of n

NFS: Algorithm

Setup:

- Set $d = \lfloor (3 \ln n / \ln \ln n) \rfloor$.
- Set $B = \lfloor \exp((8/9)^{1/3} (\ln n)^{1/3} (\ln \ln n)^{2/3}) \rfloor$.¹
- Set $m = \lfloor n^{1/d} \rfloor$.
- Write n in base m : $n = m^d + c_{d-1}m^{d-1} + \dots + c_0$.
- Define $f(x) = x^d + c_{d-1}x^{d-1} + \dots + c_0$. Note $f(m) = n$.
- Attempt to factor f into irreducible polynomials $g, h \in \mathbb{Z}[x]$ and, if it factors, return $n = g(m)h(m)$.
- Define $F(x, y) = x^d + c_{d-1}x^{d-1}y + \dots + c_0y^d$.
- Define $G(x, y) = x - my$.

¹The values of d and B can be tuned to taste; these are experimentally determined optimal values [Pomerance].

NFS: Algorithm

Setup (continued):

- Compute $R(p) = \{r \in [0, p-1] \mid f(r) \equiv 0 \pmod{p}\}$ for each prime $p \leq B$.
- Set $k = \lfloor 3 \lg n \rfloor$.
- Set $B' = \sum_{p \leq B} \#R(p)$.
- Set $V = 1 + \pi(B) + B' + k$.
- Set $M = B$.

NFS: Algorithm

- 1 Sieve for a set \mathcal{S}' of (at least) $V + 1$ coprime integer pairs (a, b) with $0 < |a|, b \leq M$, and $F(a, b)G(a, b)$ B -smooth. If this fails, increase M and retry this step.

NFS: Algorithm

- 1 Sieve for a set \mathcal{S}' of (at least) $V + 1$ coprime integer pairs (a, b) with $0 < |a|, b \leq M$, and $F(a, b)G(a, b)$ B -smooth. If this fails, increase M and retry this step.
- 2 Create an exponent matrix from the pairs from Step 2. Each row will be the exponent vector for $a - b\alpha$ for some root α of f .

NFS: Algorithm

- 1 Sieve for a set \mathcal{S}' of (at least) $V + 1$ coprime integer pairs (a, b) with $0 < |a|, b \leq M$, and $F(a, b)G(a, b)$ B -smooth. If this fails, increase M and retry this step.
- 2 Create an exponent matrix from the pairs from Step 2. Each row will be the exponent vector for $a - b\alpha$ for some root α of f .
- 3 Use linear algebra to find a subset \mathcal{S} of \mathcal{S}' whose elementwise sum is the zero vector (e.g., Block Lanczos).

NFS: Algorithm

- 1 Sieve for a set \mathcal{S}' of (at least) $V + 1$ coprime integer pairs (a, b) with $0 < |a|, b \leq M$, and $F(a, b)G(a, b)$ B -smooth. If this fails, increase M and retry this step.
- 2 Create an exponent matrix from the pairs from Step 2. Each row will be the exponent vector for $a - b\alpha$ for some root α of f .
- 3 Use linear algebra to find a subset \mathcal{S} of \mathcal{S}' whose elementwise sum is the zero vector (e.g., Block Lanczos).
- 4 Compute v such that $\prod_{(a,b) \in \mathcal{S}} (a - bm) \equiv v^2 \pmod{n}$.

NFS: Algorithm

- 1 Sieve for a set \mathcal{S}' of (at least) $V + 1$ coprime integer pairs (a, b) with $0 < |a|, b \leq M$, and $F(a, b)G(a, b)$ B -smooth. If this fails, increase M and retry this step.
- 2 Create an exponent matrix from the pairs from Step 2. Each row will be the exponent vector for $a - b\alpha$ for some root α of f .
- 3 Use linear algebra to find a subset \mathcal{S} of \mathcal{S}' whose elementwise sum is the zero vector (e.g., Block Lanczos).
- 4 Compute v such that $\prod_{(a,b) \in \mathcal{S}} (a - bm) \equiv v^2 \pmod{n}$.
- 5 Find a γ in $\mathbb{Z}[\alpha]$ such that $\left(f'(m)^2 \prod_{(a,b) \in \mathcal{S}} (a - bm) \right) \equiv \gamma^2 \pmod{n}$.

NFS: Algorithm

- 1 Sieve for a set \mathcal{S}' of (at least) $V + 1$ coprime integer pairs (a, b) with $0 < |a|, b \leq M$, and $F(a, b)G(a, b)$ B -smooth. If this fails, increase M and retry this step.
- 2 Create an exponent matrix from the pairs from Step 2. Each row will be the exponent vector for $a - b\alpha$ for some root α of f .
- 3 Use linear algebra to find a subset \mathcal{S} of \mathcal{S}' whose elementwise sum is the zero vector (e.g., Block Lanczos).
- 4 Compute v such that $\prod_{(a,b) \in \mathcal{S}} (a - bm) \equiv v^2 \pmod{n}$.
- 5 Find a γ in $\mathbb{Z}[\alpha]$ such that $\left(f'(m)^2 \prod_{(a,b) \in \mathcal{S}} (a - bm) \right) \equiv \gamma^2 \pmod{n}$.
- 6 Compute $u \equiv \phi(\gamma) \pmod{n}$, where $\phi : \mathbb{Z}[x] \rightarrow \mathbb{Z}/n\mathbb{Z}$ a homomorphism.

NFS: Algorithm

- 1 Sieve for a set \mathcal{S}' of (at least) $V + 1$ coprime integer pairs (a, b) with $0 < |a|, b \leq M$, and $F(a, b)G(a, b)$ B -smooth. If this fails, increase M and retry this step.
- 2 Create an exponent matrix from the pairs from Step 2. Each row will be the exponent vector for $a - b\alpha$ for some root α of f .
- 3 Use linear algebra to find a subset \mathcal{S} of \mathcal{S}' whose elementwise sum is the zero vector (e.g., Block Lanczos).
- 4 Compute v such that $\prod_{(a,b) \in \mathcal{S}} (a - bm) \equiv v^2 \pmod{n}$.
- 5 Find a γ in $\mathbb{Z}[\alpha]$ such that $\left(f'(m)^2 \prod_{(a,b) \in \mathcal{S}} (a - bm) \right) \equiv \gamma^2 \pmod{n}$.
- 6 Compute $u \equiv \phi(\gamma) \pmod{n}$, where $\phi : \mathbb{Z}[x] \rightarrow \mathbb{Z}/n\mathbb{Z}$ a homomorphism.
- 7 Return $\gcd(u - f'(m)v, n)$.

NFS: Runtime

Complexity given heuristically [Pomerance], proof eludes us!

$$O\left(\exp\left(\sqrt[3]{\frac{64}{9}} + o(1)\right) (\ln n)^{1/3} (\ln \ln n)^{2/3}\right)$$

Compare to quadratic sieve:

$$O(\exp(\sqrt{\ln n \ln \ln n}))$$

Batch GCD

- RSA keys may be created using faulty prime number generators

Batch GCD

- RSA keys may be created using faulty prime number generators
- If two keys share a factor, then we can factor both keys!

Batch GCD

- RSA keys may be created using faulty prime number generators
- If two keys share a factor, then we can factor both keys!

Given keys $\{N_1, N_2, \dots, N_m\}$, calculate

$$\gcd(N_1, N_1 N_2 \cdots N_m),$$

$$\gcd(N_2, N_1 N_3 \cdots N_m),$$

...

$$\gcd(N_m, N_1 N_2 \cdots N_{m-1})$$

Batch GCD: Algorithm

Given a list $\{N_1, N_2, \dots, N_m\}$ of RSA keys:

Batch GCD: Algorithm

Given a list $\{N_1, N_2, \dots, N_m\}$ of RSA keys:

- 1 Calculate $N = N_1 N_2 \cdots N_m$.

Batch GCD: Algorithm

Given a list $\{N_1, N_2, \dots, N_m\}$ of RSA keys:

- 1 Calculate $N = N_1 N_2 \cdots N_m$.
- 2 Create a list G of length m .

Batch GCD: Algorithm

Given a list $\{N_1, N_2, \dots, N_m\}$ of RSA keys:

- 1 Calculate $N = N_1 N_2 \cdots N_m$.
- 2 Create a list G of length m .
- 3 For each $i = 1, 2, \dots, m$:
 - Calculate $R_i \equiv N \pmod{N_i^2}$.

Batch GCD: Algorithm

Given a list $\{N_1, N_2, \dots, N_m\}$ of RSA keys:

- 1 Calculate $N = N_1 N_2 \cdots N_m$.
- 2 Create a list G of length m .
- 3 For each $i = 1, 2, \dots, m$:
 - Calculate $R_i \equiv N \pmod{N_i^2}$.
 - Set $G_i = \gcd(N_i, R_i/N_i) = \gcd(N_i, N/N_i)$.

Batch GCD: Algorithm

Given a list $\{N_1, N_2, \dots, N_m\}$ of RSA keys:

- 1 Calculate $N = N_1 N_2 \cdots N_m$.
- 2 Create a list G of length m .
- 3 For each $i = 1, 2, \dots, m$:
 - Calculate $R_i \equiv N \pmod{N_i^2}$.
 - Set $G_i = \gcd(N_i, R_i/N_i) = \gcd(N_i, N/N_i)$.
- 4 Return G .

Batch GCD: Considerations

$\{N_i \mid G_i > 1\}$ is the set of keys that share a factor with some other key

- 1 G_i prime $\implies G_i$ nontrivial factor of N_i
- 2 $G_i = 1$ or composite \implies find pairwise GCDs until (1)

Complexity:

$$O(m (\ln n)^2)$$

For RSA-specific cracks, may be much more effective than any other method listed

Used to crack thousands of RSA keys from a set of size $O(10^7)$ (2011)

Future of Factorization

Ever-growing push for better methods
Current research