
Generating trees for permutations avoiding generalized patterns

Sergi Elizalde

Dartmouth College

Permutation Patterns 2006, Reykjavik

Generating trees for permutations avoiding generalized patterns

Sergi ~~Elizalde~~
Emiliosson

Dartmouth College

Permutation Patterns 2006, Reykjavik

- Definitions
 - Generalized patterns
 - Generating trees
 - Rightward generating trees
- Enumeration of permutations avoiding generalized patterns

Idea: Succession rule \longrightarrow Functional equation \longrightarrow Generating function

- Definitions
 - Generalized patterns
 - Generating trees
 - Rightward generating trees
- Enumeration of permutations avoiding generalized patterns

Idea: Succession rule \longrightarrow Functional equation \longrightarrow Generating function

- Generating trees with one label
 - $\{2-1-3, \bar{2}-31\}$ -avoiding
 - $\{2-1-3, \overset{o}{2}-31\}$ -avoiding
 - $\{2-1-3, 2-3-41, 3-2-41\}$ -avoiding
- Generating trees with two labels
 - $\{2-1-3, 12-3\}$ -avoiding
 - $\{2-1-3, 32-1\}$ -avoiding
 - 1-23-avoiding
 - 123-avoiding
- Some unsolved cases

(Mireille Bousquet-Mélou)

- Dashes can be inserted between entries in the pattern.
- Entries not separated by a dash have to be adjacent in an occurrence of the pattern in a permutation.

Examples:

$\pi = \underline{3}542\underline{7}1\underline{6}$ contains $\sigma = 12-4-3$

$\pi = 3542716$ avoids $12-43$ (it is $12-43$ -avoiding)

Generating trees (usual kind)

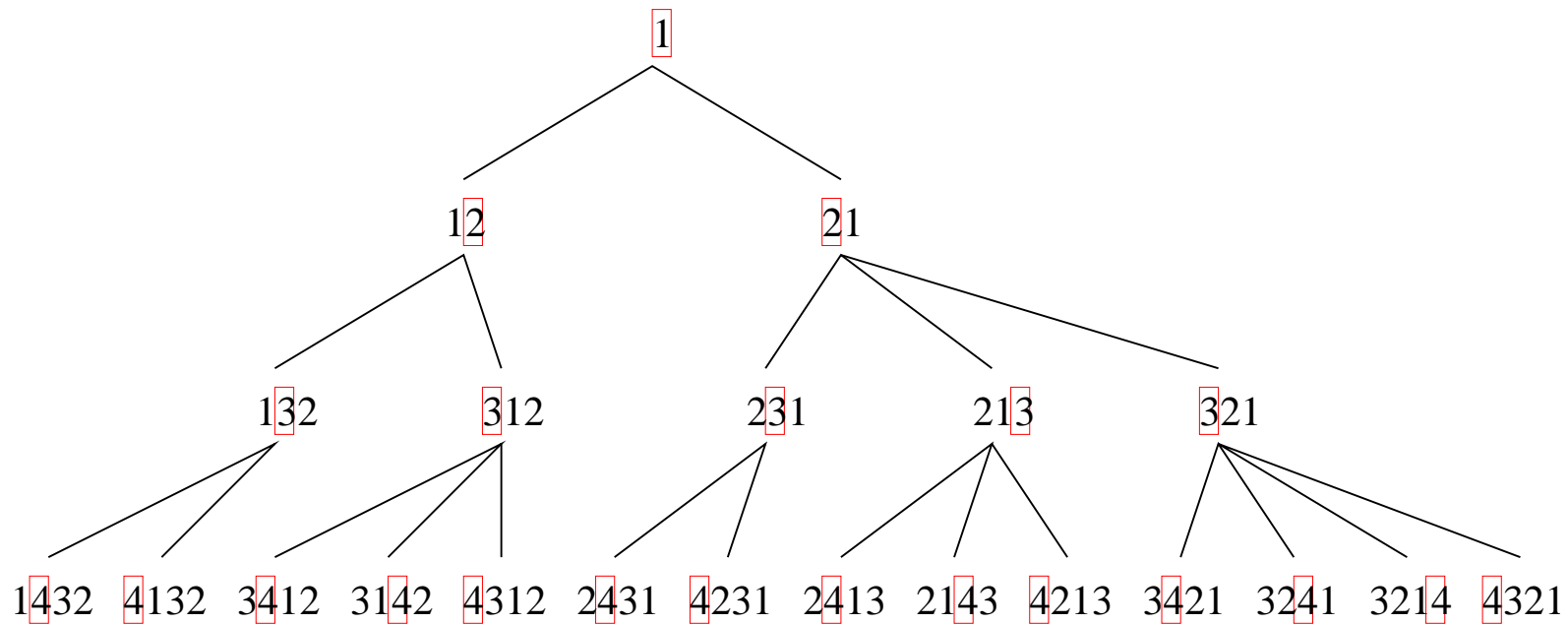
- Nodes at each level are indexed by permutations of a given length.
- There is a rule that describes the children of each node.

Generating trees (usual kind)

- Nodes at each level are indexed by permutations of a given length.
- There is a rule that describes the children of each node.

Usually, the children of a permutation are obtained by inserting the largest entry.

Example: Generating tree for 123-avoiding permutations:



Rightward generating trees (RGT)

To incorporate the adjacency condition in generalized patterns, it is more convenient to consider **rightward generating trees**.

To obtain a child of π :

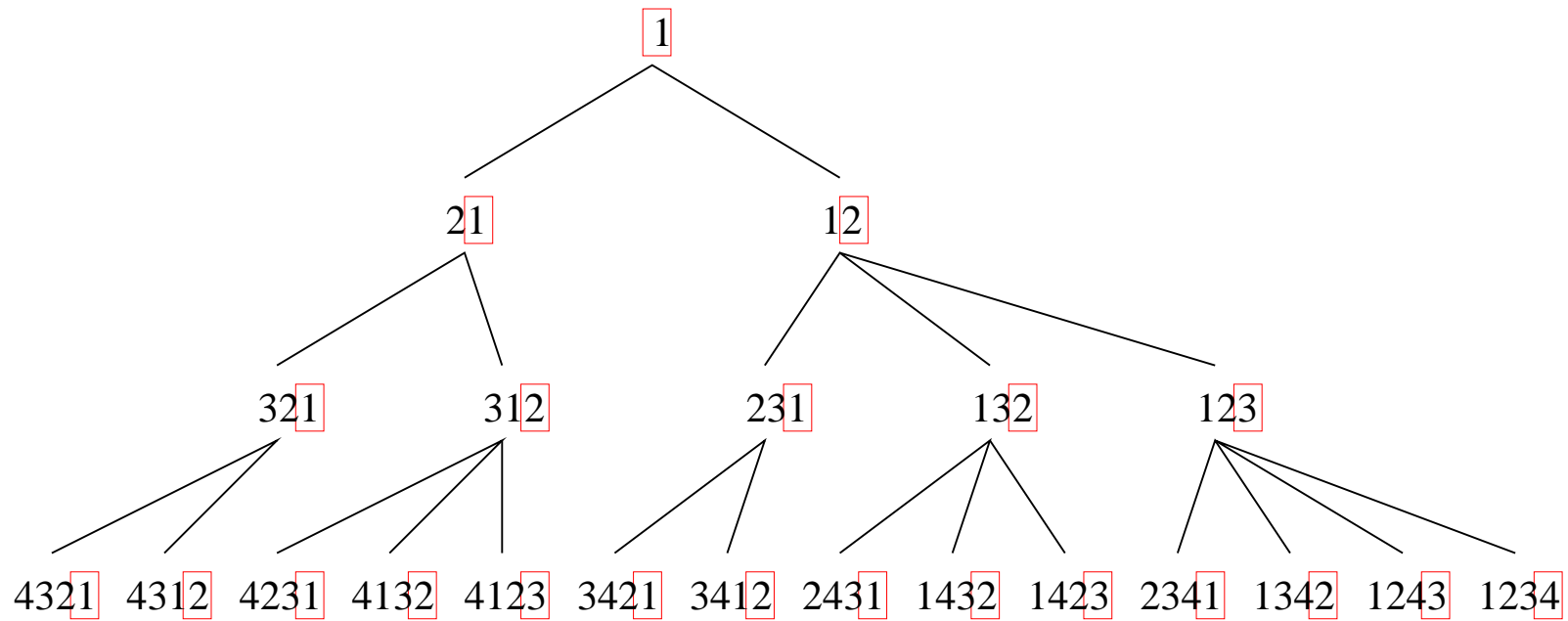
- append a new entry k to the right of π ,
- shift up by one the entries of π that were $\geq k$.

Example:

If we append **3** to the right of $\pi = 24135$, we obtain is the child **251463**.

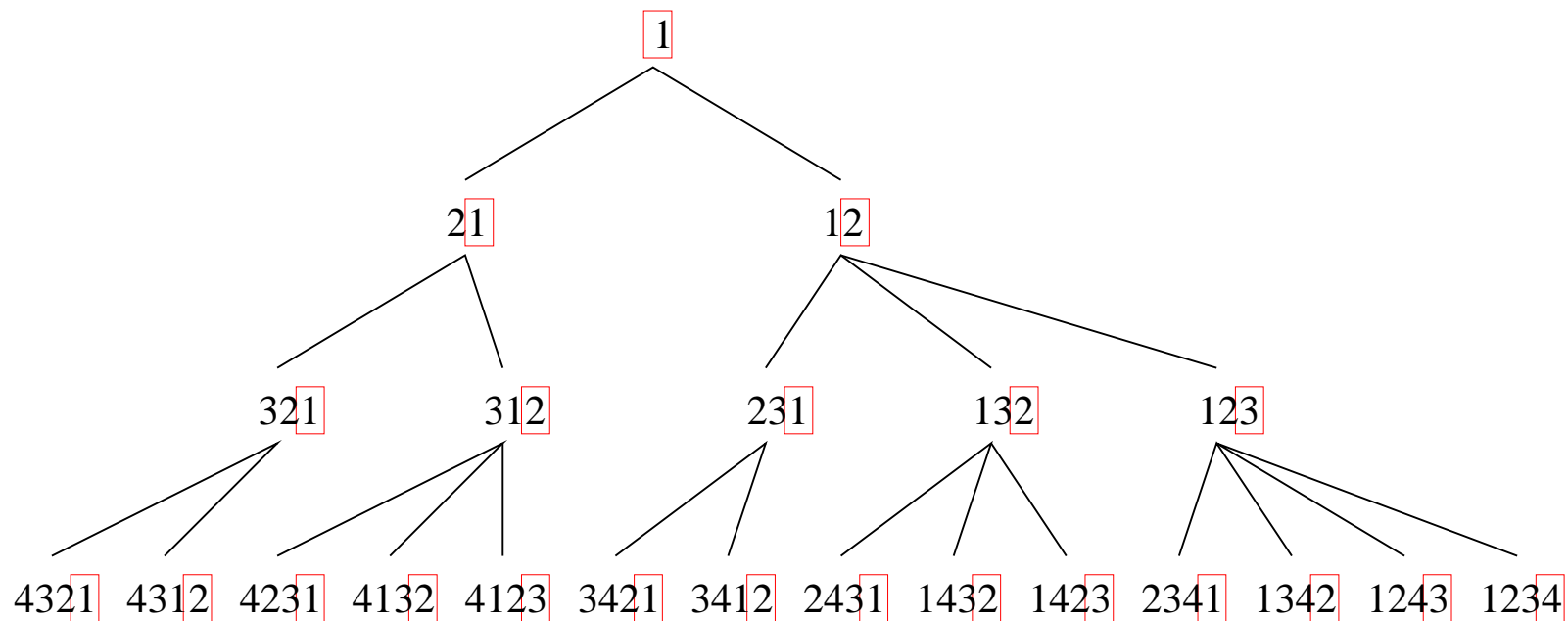
Example of RGT with one label

Generating tree for 2-13-avoiding permutations:



Example of RGT with one label

Generating tree for 2-13-avoiding permutations:



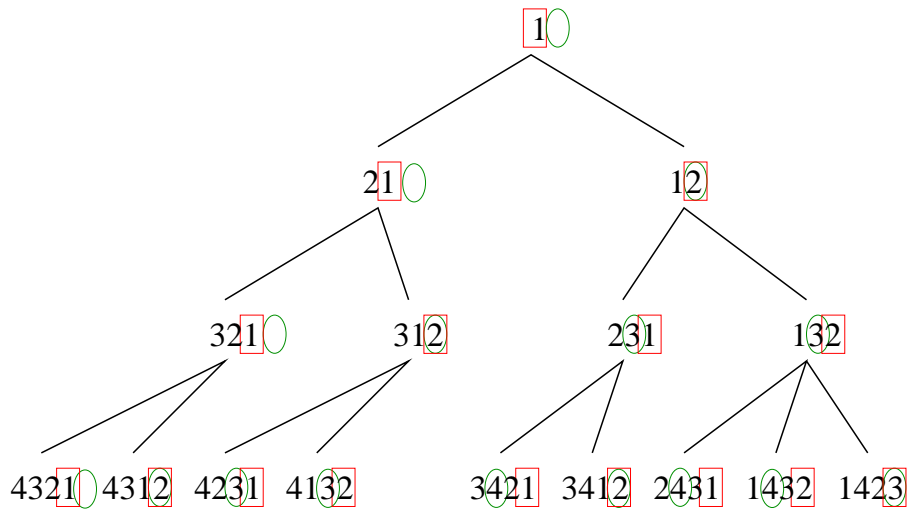
If $\pi \in \mathcal{S}_n$, let $r(\pi) = \pi_n$ be its rightmost entry.

This tree is described by the succession rule

$$\begin{aligned} & (1) \\ & (r) \longrightarrow (1) (2) \cdots (r) (r+1). \end{aligned}$$

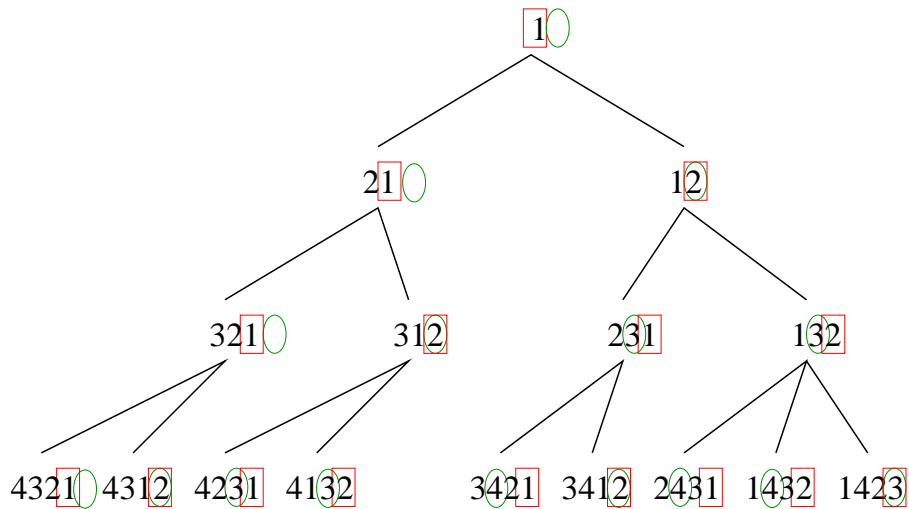
Example of RGT with two labels

Generating tree for $\{2-13, 12-3\}$ -avoiding permutations:



Example of RGT with two labels

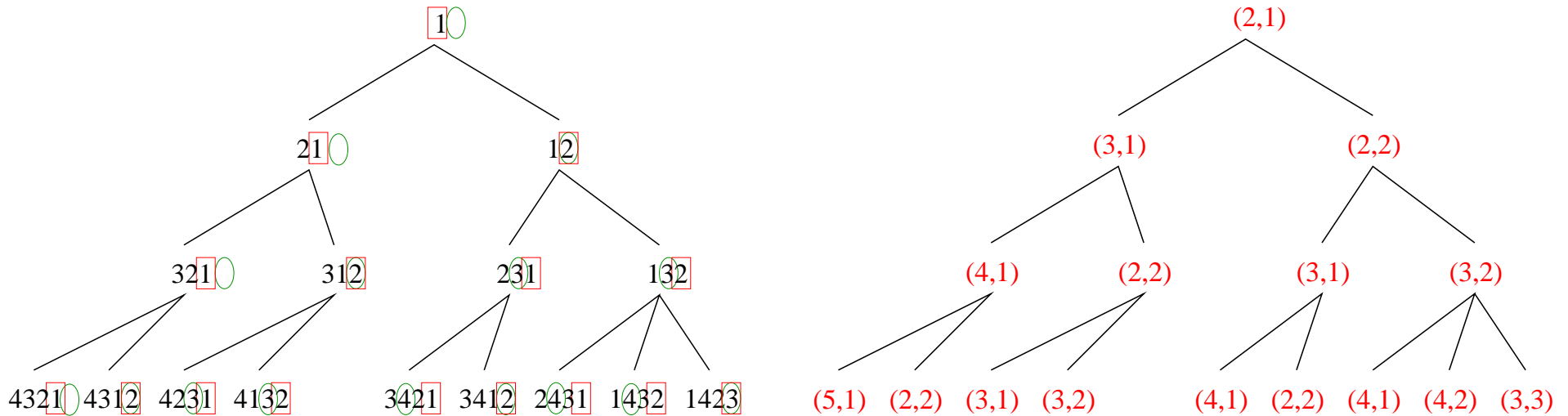
Generating tree for $\{2-13, 12-3\}$ -avoiding permutations:



$$\text{If } \pi \in \mathcal{S}_n, \text{ let } l(\pi) = \begin{cases} n + 1 & \text{if } \pi = n(n - 1) \cdots 21, \\ \min\{\pi_i : i > 1, \pi_{i-1} < \pi_i\} & \text{otherwise.} \end{cases}$$

Example of RGT with two labels

Generating tree for $\{2-13, 12-3\}$ -avoiding permutations:



If $\pi \in \mathcal{S}_n$, let $l(\pi) = \begin{cases} n + 1 & \text{if } \pi = n(n - 1) \cdots 21, \\ \min\{\pi_i : i > 1, \pi_{i-1} < \pi_i\} & \text{otherwise.} \end{cases}$

This tree is described by the succession rule

$$(2, 1)$$

$$(l, r) \longrightarrow \begin{cases} (l + 1, 1) (l + 1, 2) \cdots (l + 1, l) & \text{if } l = r, \\ (l + 1, 1) (l + 1, 2) \cdots (l + 1, r) (r + 1, r + 1) & \text{if } l > r. \end{cases}$$

RGT with one label: $\{2-1-3, \bar{2}-31\}$ -avoiding permutations (1)

π avoids $\bar{2}-31$ if every occurrence of 31 in π is part of an occurrence of 2-31

Example: $\pi = 4623751$ avoids $\bar{2}-31$

RGT with one label: $\{2-1-3, \bar{2}-31\}$ -avoiding permutations (1)

π avoids $\bar{2}-31$ if every occurrence of 31 in π is part of an occurrence of 2-31

Example: $\pi = 4623751$ avoids $\bar{2}-31$

Proposition. *The number of $\{2-1-3, \bar{2}-31\}$ -avoiding permutations of size n is the n -th Motzkin number M_n .*

RGT with one label: $\{2-1-3, \bar{2}-31\}$ -avoiding permutations (1)

π avoids $\bar{2}-31$ if every occurrence of 31 in π is part of an occurrence of 2-31

Example: $\pi = 4623751$ avoids $\bar{2}-31$

Proposition. *The number of $\{2-1-3, \bar{2}-31\}$ -avoiding permutations of size n is the n -th Motzkin number M_n .*

Proof:

The RGT for this class is described by the succession rule

$$\begin{array}{l} (1) \\ (r) \longrightarrow (1) (2) \cdots (r-1) (r+1). \end{array}$$

Let $D(t, u) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(2-1-3, \bar{2}-31)} u^{r(\pi)} t^n = \sum_{r \geq 1} D_r(t) u^r$.

The succession rule translates into

$$\begin{aligned} D(t, u) &= tu + t \sum_{r \geq 1} D_r(t) (u + u^2 + \cdots + u^{r-1} + u^{r+1}) \\ &= tu + t \sum_{r \geq 1} \left[\frac{D_r(t)(u^r - u)}{u - 1} + D_r(t) u^{r+1} \right] = tu + \frac{t}{u - 1} [D(t, u) - uD(t, 1)] + tuD(t, u) \end{aligned}$$

RGT with one label: $\{2-1-3, \bar{2}-31\}$ -avoiding permutations (2)

$$\left(1 - \frac{t}{u-1} - tu\right) D(t, u) = tu - \frac{tu}{u-1} D(t, 1)$$

Kernel method:

$$1 - \frac{t}{u_0(t)-1} - t u_0(t) = 0 \implies u_0(t) = \frac{1+t - \sqrt{1-2t-3t^2}}{2t}$$

Substitute $u = u_0(t)$ to cancel the left hand side:

RGT with one label: $\{2-1-3, \bar{2}-31\}$ -avoiding permutations (2)

$$\left(1 - \frac{t}{u-1} - tu\right) D(t, u) = tu - \frac{tu}{u-1} D(t, 1)$$

Kernel method:

$$1 - \frac{t}{u_0(t)-1} - t u_0(t) = 0 \implies u_0(t) = \frac{1+t - \sqrt{1-2t-3t^2}}{2t}$$

Substitute $u = u_0(t)$ to cancel the left hand side:

$$D(t, 1) = u_0(t) - 1 = \frac{1-t - \sqrt{1-2t-3t^2}}{2t},$$

which is the generating function for the Motzkin numbers. □

RGT with one label: $\{2-1-3, \overset{o}{2}-31\}$ -avoiding permutations (1)

π avoids $\overset{o}{2}-31$ if every occurrence of 31 in π is part of an **odd** number of occurrences of 2-31

Example: $\pi = 4623751$ avoids $\overset{o}{2}-31$

RGT with one label: $\{2-1-3, \overset{o}{2}-31\}$ -avoiding permutations (1)

π avoids $\overset{o}{2}-31$ if every occurrence of 31 in π is part of an **odd** number of occurrences of 2-31

Example: $\pi = 4623751$ avoids $\overset{o}{2}-31$

Proposition. *The number of $\{2-1-3, \overset{o}{2}-31\}$ -avoiding permutations of size n is*

$$\begin{cases} \frac{1}{2k+1} \binom{3k}{k} & \text{if } n = 2k, \\ \frac{1}{2k+1} \binom{3k+1}{k+1} & \text{if } n = 2k + 1. \end{cases}$$

RGT with one label: $\{2-1-3, \overset{o}{2}-31\}$ -avoiding permutations (1)

π avoids $\overset{o}{2}-31$ if every occurrence of 31 in π is part of an **odd** number of occurrences of 2-31

Example: $\pi = 4623751$ avoids $\overset{o}{2}-31$

Proposition. *The number of $\{2-1-3, \overset{o}{2}-31\}$ -avoiding permutations of size n is*

$$\begin{cases} \frac{1}{2k+1} \binom{3k}{k} & \text{if } n = 2k, \\ \frac{1}{2k+1} \binom{3k+1}{k+1} & \text{if } n = 2k + 1. \end{cases}$$

Proof sketch:

The RGT for this class is described by the succession rule

$$\begin{aligned} (1) \\ (r) &\longrightarrow (r+1)(r-1)(r-3)\cdots \end{aligned}$$

RGT with one label: $\{2-1-3, \overset{\circ}{2}-31\}$ -avoiding permutations (2)

Let $D(t, u) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(2-1-3, \overset{\circ}{2}-31)} u^{r(\pi)} t^n,$

RGT with one label: $\{2-1-3, \overset{\circ}{2}-31\}$ -avoiding permutations (2)

Let $\check{d}(t, u) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(2-1-3, \overset{\circ}{2}-31)} u^{r(\pi)} t^n,$

RGT with one label: $\{2-1-3, \overset{\circ}{2}-31\}$ -avoiding permutations (2)

Let $\check{d}(t, u) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(2-1-3, \overset{\circ}{2}-31)} u^{r(\pi)} t^n,$

and $\check{d}^e(t, u) =$ terms in $\check{d}(t, u)$ with even exponent in u .

The succession rule translates into

$$\left(1 - \frac{tu^3}{u^2 - 1}\right) \check{d}(t, u) = tu - \frac{tu^2}{u^2 - 1} \check{d}(t, 1) + \frac{tu(u - 1)}{u^2 - 1} \check{d}^e(t, 1)$$

Using two different roots $u_1(t)$ and $u_2(t)$ of the Kernel, we get two equations relating $\check{d}(t, 1)$ and $\check{d}^e(t, 1)$.

Solve for $\check{d}(t, 1)$.

□

RGT with one label: $\{2-1-3, \overset{o}{2}-31\}$ -avoiding permutations (2)

Let $\check{d}(t, u) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(2-1-3, \overset{o}{2}-31)} u^{r(\pi)} t^n,$

and $\check{d}^e(t, u) =$ terms in $\check{d}(t, u)$ with even exponent in u .

The succession rule translates into

$$\left(1 - \frac{tu^3}{u^2 - 1}\right) \check{d}(t, u) = tu - \frac{tu^2}{u^2 - 1} \check{d}(t, 1) + \frac{tu(u - 1)}{u^2 - 1} \check{d}^e(t, 1)$$

Using two different roots $u_1(t)$ and $u_2(t)$ of the Kernel, we get two equations relating $\check{d}(t, 1)$ and $\check{d}^e(t, 1)$.

Solve for $\check{d}(t, 1)$. □

A similar argument gives the number of $\{2-1-3, \overset{e}{2}-31\}$ -avoiding permutations.

(π avoids $\overset{e}{2}-31$ if every occurrence of 31 in π is part of an **even** number of occurrences of 2-31)

RGT with one label: {2-1-3, 2-3-41, 3-2-41}-avoiding perms.

Let $K(t, u) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(2-1-3, 2-3-41, 3-2-41)} u^{r(\pi)} t^n = \sum_{r \geq 1} K_r(t) u^r$.

Proposition.

$$K(t, u) = \frac{1 - t - 2tu - \sqrt{1 - 2t - 3t^2}}{2t\left(\frac{1}{u} + 1 + u\right) - 2}.$$

RGT with one label: {2-1-3, 2-3-41, 3-2-41}-avoiding perms.

Let $K(t, u) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(2-1-3, 2-3-41, 3-2-41)} u^{r(\pi)} t^n = \sum_{r \geq 1} K_r(t) u^r$.

Proposition.

$$K(t, u) = \frac{1 - t - 2tu - \sqrt{1 - 2t - 3t^2}}{2t\left(\frac{1}{u} + 1 + u\right) - 2}.$$

Proof sketch:

Succession rule:

$$\begin{aligned} & (1) \\ (r) & \longrightarrow \begin{cases} (r-1) (r) (r+1) & \text{if } r > 1, \\ (r) (r+1) & \text{if } r = 1. \end{cases} \end{aligned}$$

RGT with one label: {2-1-3, 2-3-41, 3-2-41}-avoiding perms.

Let $K(t, u) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(2-1-3, 2-3-41, 3-2-41)} u^{r(\pi)} t^n = \sum_{r \geq 1} K_r(t) u^r$.

Proposition.

$$K(t, u) = \frac{1 - t - 2tu - \sqrt{1 - 2t - 3t^2}}{2t\left(\frac{1}{u} + 1 + u\right) - 2}.$$

Proof sketch:

Succession rule:

$$(1) \\ (r) \longrightarrow \begin{cases} (r-1) (r) (r+1) & \text{if } r > 1, \\ (r) (r+1) & \text{if } r = 1. \end{cases}$$

Functional equation:

$$\left[1 - t \left(\frac{1}{u} + 1 + u\right)\right] K(t, u) = tu - tK_1(t).$$

Apply Kernel method to find $K_1(t)$, and then find $K(t, u)$. □

RGT with one label: $\{2-1-3, 2-3-41, 3-2-41\}$ -avoiding perms.

Let $K(t, u) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(2-1-3, 2-3-41, 3-2-41)} u^{r(\pi)} t^n = \sum_{r \geq 1} K_r(t) u^r$.

Proposition.

$$K(t, u) = \frac{1 - t - 2tu - \sqrt{1 - 2t - 3t^2}}{2t\left(\frac{1}{u} + 1 + u\right) - 2}.$$

Proof sketch:

Succession rule:

$$(1) \\ (r) \longrightarrow \begin{cases} (r-1) (r) (r+1) & \text{if } r > 1, \\ (r) (r+1) & \text{if } r = 1. \end{cases}$$

Functional equation:

$$\left[1 - t \left(\frac{1}{u} + 1 + u \right) \right] K(t, u) = tu - tK_1(t).$$

Apply Kernel method to find $K_1(t)$, and then find $K(t, u)$. □

Known (Mansour): $K(t, 1)$ also enumerates $\{1-3-2, 123-4\}$ -avoiding perms.

Open: Bijective proof of $|\mathcal{S}_n(2-1-3, 2-3-41, 3-2-41)| = |\mathcal{S}_n(1-3-2, 123-4)|$?

RGT with two labels: $\{2-1-3, 12-3\}$ -avoiding permutations (1)

Known (Claesson): $|\mathcal{S}_n(2-1-3, 12-3)| = M_n$.

RGT with two labels: $\{2-1-3, 12-3\}$ -avoiding permutations (1)

Known (Claesson): $|\mathcal{S}_n(2-1-3, 12-3)| = M_n$.

The RGT for $\{2-1-3, 12-3\}$ -avoiding permutations is described by

$$(2, 1)$$
$$(l, r) \longrightarrow \begin{cases} (l+1, 1) (l+1, 2) \cdots (l+1, l) & \text{if } l = r, \\ (l+1, 1) (l+1, 2) \cdots (l+1, r) (r+1, r+1) & \text{if } l > r, \end{cases}$$

where $l(\pi)$ is the smallest value of the top of a rise in π .

RGT with two labels: $\{2-1-3, 12-3\}$ -avoiding permutations (1)

Known (Claesson): $|\mathcal{S}_n(2-1-3, 12-3)| = M_n$.

The RGT for $\{2-1-3, 12-3\}$ -avoiding permutations is described by

$$(2, 1)$$

$$(l, r) \longrightarrow \begin{cases} (l+1, 1) (l+1, 2) \cdots (l+1, l) & \text{if } l = r, \\ (l+1, 1) (l+1, 2) \cdots (l+1, r) (r+1, r+1) & \text{if } l > r, \end{cases}$$

where $l(\pi)$ is the smallest value of the top of a rise in π .

Let

$$M(t, u, v) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(2-1-3, 12-3)} u^{l(\pi)} v^{r(\pi)} t^n = \sum_{l, r} M_{l, r}(t) u^l v^r$$

Proposition.

$$M(t, u, v) = \frac{[(1-u)v + c_1 t + c_2 t^2 + c_3 t^3 + c_4 t^4 - ((1-u)v + tu + t^2 u^2 v) \sqrt{1 - 2t - 3t^2}] u^2 v}{2(1-u - tu(1-u) + t^2 u^2)(1 - uv + tuv + t^2 u^2 v^2)},$$

where $c_1 = 2 - u - v - uv + 2u^2 v$, $c_2 = u(-1 + (2-u)v + 2(u-1)v^2)$,
 $c_3 = u^2 v(-3 + 2v - 2uv)$, $c_4 = -2u^3 v^2$.

RGT with two labels: $\{2-1-3, 12-3\}$ -avoiding permutations (2)

Proof sketch: The succession rule

$$(2, 1) \\ (l, r) \longrightarrow \begin{cases} (l+1, 1) (l+1, 2) \cdots (l+1, l) & \text{if } l = r, \\ (l+1, 1) (l+1, 2) \cdots (l+1, r) (r+1, r+1) & \text{if } l > r, \end{cases}$$

translates into

$$M(t, u, v) = tu^2v + t \sum_l M_{l,l}(t) u^{l+1} (v + v^2 + \cdots + v^l) + t \sum_{l>r} M_{l,r}(t) [u^{l+1} (v + v^2 + \cdots + v^r) + u^{r+1} v^{r+1}]$$

RGT with two labels: $\{2-1-3, 12-3\}$ -avoiding permutations (2)

Proof sketch: The succession rule

$$(2, 1)$$

$$(l, r) \longrightarrow \begin{cases} (l+1, 1) (l+1, 2) \cdots (l+1, l) & \text{if } l = r, \\ (l+1, 1) (l+1, 2) \cdots (l+1, r) (r+1, r+1) & \text{if } l > r, \end{cases}$$

translates into

$$M(t, u, v) = tu^2v + t \sum_l M_{l,l}(t) u^{l+1} (v + v^2 + \cdots + v^l) + t \sum_{l>r} M_{l,r}(t) [u^{l+1} (v + v^2 + \cdots + v^r) + u^{r+1} v^{r+1}]$$

Let $M_{>}(t, u, v)$ = terms in $M(t, u, v)$ where exponent of $u >$ exponent of v .

$$M_{>}(t, u, v) = tu^2v + \frac{tuv}{v-1} [tuv M_{>}(t, 1, uv) - tu M_{>}(t, 1, u) + M_{>}(t, u, v) - M_{>}(t, u, 1)].$$

RGT with two labels: $\{2-1-3, 12-3\}$ -avoiding permutations (2)

Proof sketch:

$$M(t, u, v) = tu^2v + t \sum_l M_{l,l}(t) u^{l+1} (v + v^2 + \dots + v^l) + t \sum_{l>r} M_{l,r}(t) [u^{l+1} (v + v^2 + \dots + v^r) + u^{r+1} v^{r+1}]$$

Let $M_{>}(t, u, v)$ = terms in $M(t, u, v)$ where exponent of $u >$ exponent of v .

$$M_{>}(t, u, v) = tu^2v + \frac{tuv}{v-1} [tuv M_{>}(t, 1, uv) - tu M_{>}(t, 1, u) + M_{>}(t, u, v) - M_{>}(t, u, 1)].$$

For $u = 1$,

$$\left(1 - \frac{t^2v^2}{v-1} - \frac{tv}{v-1}\right) M_{>}(t, 1, v) = tv - \frac{t(t+1)v}{v-1} M_{>}(t, 1, 1).$$

Apply the Kernel method to find $M_{>}(t, 1, 1)$ and $M_{>}(t, 1, v)$.

RGT with two labels: $\{2-1-3, 12-3\}$ -avoiding permutations (2)

Proof sketch:

$$M(t, u, v) = tu^2v + t \sum_l M_{l,l}(t) u^{l+1} (v + v^2 + \dots + v^l) + t \sum_{l>r} M_{l,r}(t) [u^{l+1} (v + v^2 + \dots + v^r) + u^{r+1} v^{r+1}]$$

Let $M_{>}(t, u, v)$ = terms in $M(t, u, v)$ where exponent of $u >$ exponent of v .

$$M_{>}(t, u, v) = tu^2v + \frac{tuv}{v-1} [tuv M_{>}(t, 1, uv) - tu M_{>}(t, 1, u) + M_{>}(t, u, v) - M_{>}(t, u, 1)].$$

For $u = 1$,

$$\left(1 - \frac{t^2v^2}{v-1} - \frac{tv}{v-1}\right) M_{>}(t, 1, v) = tv - \frac{t(t+1)v}{v-1} M_{>}(t, 1, 1).$$

Apply the Kernel method to find $M_{>}(t, 1, 1)$ and $M_{>}(t, 1, v)$.

Now apply the Kernel method to

$$\left(1 - \frac{tuv}{v-1}\right) M_{>}(t, u, v) = tu^2v + \frac{tuv}{v-1} [tuv M_{>}(t, 1, uv) - tu M_{>}(t, 1, u) - M_{>}(t, u, 1)]$$

to find $M_{>}(t, u, 1)$ and $M_{>}(t, u, v)$.

Finally, $M(t, u, v) = M_{>}(t, u, v) + tuv M_{>}(t, 1, uv)$. □

RGT with two labels: $\{2-1-3, 32-1\}$ -avoiding permutations

Known (Claesson): $|\mathcal{S}_n(2-1-3, 32-1)| = 2^{n-1}$.

RGT with two labels: $\{2-1-3, 32-1\}$ -avoiding permutations

Known (Claesson): $|\mathcal{S}_n(2-1-3, 32-1)| = 2^{n-1}$.

Let

$$h(\pi) = \begin{cases} 0 & \text{if } \pi = 12 \cdots n, \\ \max\{\pi_i : i > 1, \pi_{i-1} > \pi_i\} & \text{otherwise.} \end{cases}$$

The RGT for $\{2-1-3, 32-1\}$ -avoiding permutations is described by

$$\begin{aligned} & (0, 1) \\ (h, r) & \longrightarrow (h + 1, h + 1) (h + 1, h + 2) \cdots (r, r) (h, r + 1). \end{aligned}$$

RGT with two labels: $\{2-1-3, 32-1\}$ -avoiding permutations

Known (Claesson): $|\mathcal{S}_n(2-1-3, 32-1)| = 2^{n-1}$.

Let

$$h(\pi) = \begin{cases} 0 & \text{if } \pi = 12 \cdots n, \\ \max\{\pi_i : i > 1, \pi_{i-1} > \pi_i\} & \text{otherwise.} \end{cases}$$

The RGT for $\{2-1-3, 32-1\}$ -avoiding permutations is described by

$$\begin{aligned} &(0, 1) \\ &(h, r) \longrightarrow (h + 1, h + 1) (h + 1, h + 2) \cdots (r, r) (h, r + 1). \end{aligned}$$

Let $N(t, u, v) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(2-1-3, 32-1)} u^{h(\pi)} v^{r(\pi)} t^n$.

From the succession rule,

$$N(t, u, v) = tv + tvN(t, u, v) + \frac{tuv[N(t, 1, uv) - N(t, uv, 1)]}{uv - 1}.$$

RGT with two labels: $\{2-1-3, 32-1\}$ -avoiding permutations

Known (Claesson): $|\mathcal{S}_n(2-1-3, 32-1)| = 2^{n-1}$.

Let

$$h(\pi) = \begin{cases} 0 & \text{if } \pi = 12 \cdots n, \\ \max\{\pi_i : i > 1, \pi_{i-1} > \pi_i\} & \text{otherwise.} \end{cases}$$

The RGT for $\{2-1-3, 32-1\}$ -avoiding permutations is described by

$$(0, 1) \\ (h, r) \longrightarrow (h + 1, h + 1) (h + 1, h + 2) \cdots (r, r) (h, r + 1).$$

Let $N(t, u, v) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(2-1-3, 32-1)} u^{h(\pi)} v^{r(\pi)} t^n$.

From the succession rule,

$$N(t, u, v) = tv + tvN(t, u, v) + \frac{tuv[N(t, 1, uv) - N(t, uv, 1)]}{uv - 1}.$$

Solving this functional equation we get

$$N(t, u, v) = \frac{tv(1 - t + tu - tuv)}{(1 - tv)(1 - t - tuv)}.$$

RGT with two labels: 1-23-avoiding permutations

Known (Claesson): $|\mathcal{S}_n(1-23)| = B_n$, the n -th Bell number.

RGT with two labels: 1-23-avoiding permutations

Known (Claesson): $|\mathcal{S}_n(1-23)| = B_n$, the n -th **Bell number**.

The RGT for 1-23-avoiding permutations is described by

$$(1, 1)$$
$$(r, n) \longrightarrow \begin{cases} (1, n+1) (2, n+1) \cdots (n+1, n+1) & \text{if } r = 1, \\ (1, n+1) (2, n+1) \cdots (r, n+1) & \text{if } r > 1. \end{cases}$$

RGT with two labels: 1-23-avoiding permutations

Known (Claesson): $|\mathcal{S}_n(1-23)| = B_n$, the n -th Bell number.

The RGT for 1-23-avoiding permutations is described by

$$(1, 1)$$
$$(r, n) \longrightarrow \begin{cases} (1, n+1) (2, n+1) \cdots (n+1, n+1) & \text{if } r = 1, \\ (1, n+1) (2, n+1) \cdots (r, n+1) & \text{if } r > 1. \end{cases}$$

Let $G(t, u) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(1-23)} u^{r(\pi)} t^n$. From the succession rule,

$$\left(1 - \frac{tu}{u-1}\right) G(t, u) = tu + t^2 u^2 + \frac{tu}{u-1} [tu^2 G(tu, 1) - (1+tu)G(t, 1)]$$

RGT with two labels: 1-23-avoiding permutations

Known (Claesson): $|\mathcal{S}_n(1-23)| = B_n$, the n -th Bell number.

The RGT for 1-23-avoiding permutations is described by

$$(1, 1)$$
$$(r, n) \longrightarrow \begin{cases} (1, n+1) (2, n+1) \cdots (n+1, n+1) & \text{if } r = 1, \\ (1, n+1) (2, n+1) \cdots (r, n+1) & \text{if } r > 1. \end{cases}$$

Let $G(t, u) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(1-23)} u^{r(\pi)} t^n$. From the succession rule,

$$\left(1 - \frac{tu}{u-1}\right) G(t, u) = tu + t^2 u^2 + \frac{tu}{u-1} [tu^2 G(tu, 1) - (1+tu)G(t, 1)]$$

Applying the Kernel method,

$$G(t, 1) = \frac{t}{1-t} \left(1 + G\left(\frac{t}{1-t}, 1\right)\right)$$

RGT with two labels: 1-23-avoiding permutations

Known (Claesson): $|\mathcal{S}_n(1-23)| = B_n$, the n -th Bell number.

The RGT for 1-23-avoiding permutations is described by

$$(1, 1) \\ (r, n) \longrightarrow \begin{cases} (1, n+1) (2, n+1) \cdots (n+1, n+1) & \text{if } r = 1, \\ (1, n+1) (2, n+1) \cdots (r, n+1) & \text{if } r > 1. \end{cases}$$

Let $G(t, u) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(1-23)} u^{r(\pi)} t^n$. From the succession rule,

$$\left(1 - \frac{tu}{u-1}\right) G(t, u) = tu + t^2 u^2 + \frac{tu}{u-1} [tu^2 G(tu, 1) - (1+tu)G(t, 1)]$$

Applying the Kernel method,

$$G(t, 1) = \frac{t}{1-t} \left(1 + G\left(\frac{t}{1-t}, 1\right)\right)$$

$$G(t, 1) = \frac{t}{1-t} \left(1 + \frac{t}{1-2t} \left(1 + \frac{t}{1-3t} (1 + \cdots)\right)\right) = \sum_{k \geq 1} \frac{t^k}{(1-t)(1-2t) \cdots (1-kt)}$$

We can also get a formula for $G(t, u)$.

RGT with two labels: 123-avoiding permutations (1)

Known (E, Noy): The exponential GF for 123-avoiding permutations is

$$\frac{\sqrt{3}}{2} \frac{e^{t/2}}{\cos\left(\frac{\sqrt{3}}{2}t + \frac{\pi}{6}\right)}.$$

RGT with two labels: 123-avoiding permutations (1)

Known (E, Noy): The exponential GF for 123-avoiding permutations is

$$\frac{\sqrt{3}}{2} \frac{e^{t/2}}{\cos\left(\frac{\sqrt{3}}{2}t + \frac{\pi}{6}\right)}.$$

Define labels:

$$\pi \longrightarrow \begin{cases} (\pi_n, n) & \text{if } \pi_{n-1} > \pi_n \text{ or } n = 1, \\ (\pi_n, n)' & \text{if } \pi_{n-1} < \pi_n. \end{cases}$$

RGT with two labels: 123-avoiding permutations (1)

Known (E, Noy): The exponential GF for 123-avoiding permutations is

$$\frac{\sqrt{3}}{2} \frac{e^{t/2}}{\cos\left(\frac{\sqrt{3}}{2}t + \frac{\pi}{6}\right)}.$$

Define labels:

$$\pi \longrightarrow \begin{cases} (\pi_n, n) & \text{if } \pi_{n-1} > \pi_n \text{ or } n = 1, \\ (\pi_n, n)' & \text{if } \pi_{n-1} < \pi_n. \end{cases}$$

The RGT for 123-avoiding permutations is described by

$$\begin{aligned} (1, 1) & \\ (r, n) & \longrightarrow (1, n+1) (2, n+1) \cdots (r, n+1) (r+1, n+1)' (r+2, n+1)' \cdots (n+1, n+1)' \\ (r, n)' & \longrightarrow (1, n+1) (2, n+1) \cdots (r, n+1) \end{aligned}$$

RGT with two labels: 123-avoiding permutations (1)

Known (E, Noy): The exponential GF for 123-avoiding permutations is

$$\frac{\sqrt{3}}{2} \frac{e^{t/2}}{\cos\left(\frac{\sqrt{3}}{2}t + \frac{\pi}{6}\right)}.$$

Define labels:

$$\pi \longrightarrow \begin{cases} (\pi_n, n) & \text{if } \pi_{n-1} > \pi_n \text{ or } n = 1, \\ (\pi_n, n)' & \text{if } \pi_{n-1} < \pi_n. \end{cases}$$

The RGT for 123-avoiding permutations is described by

$$\begin{aligned} (1, 1) & \\ (r, n) & \longrightarrow (1, n+1) (2, n+1) \cdots (r, n+1) (r+1, n+1)' (r+2, n+1)' \cdots (n+1, n+1)' \\ (r, n)' & \longrightarrow (1, n+1) (2, n+1) \cdots (r, n+1) \end{aligned}$$

Let $C(t, u) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(123)} u^{r(\pi)} t^n = A(t, u) + B(t, u)$, where A (resp. B) are the terms with a label of the form $(,)$ (resp. $(,)'$).

RGT with two labels: 123-avoiding permutations (2)

The succession rule translates into

$$A(t, u) = tu + \frac{tu}{u-1} [C(t, u) - C(t, 1)]$$

$$B(t, u) = \frac{tu}{u-1} [uA(tu, 1) - A(t, u)]$$

RGT with two labels: 123-avoiding permutations (2)

The succession rule translates into

$$A(t, u) = tu + \frac{tu}{u-1} [C(t, u) - C(t, 1)]$$

$$B(t, u) = \frac{tu}{u-1} [uA(tu, 1) - A(t, u)]$$

Solved by **Bousquet-Mélou**:

$$C(t, 1) = \frac{3 + i\sqrt{3}}{2(3t - i\sqrt{3})} C\left(\frac{t}{1 + i\sqrt{3}t}, 1\right) - \frac{3(2t + 1 - i\sqrt{3})t}{(2t - 1 - i\sqrt{3})(3t - i\sqrt{3})}.$$

RGT with two labels: 123-avoiding permutations (2)

The succession rule translates into

$$A(t, u) = tu + \frac{tu}{u-1} [C(t, u) - C(t, 1)]$$

$$B(t, u) = \frac{tu}{u-1} [uA(tu, 1) - A(t, u)]$$

Solved by **Bousquet-Mélou**:

$$C(t, 1) = \frac{3 + i\sqrt{3}}{2(3t - i\sqrt{3})} C\left(\frac{t}{1 + i\sqrt{3}t}, 1\right) - \frac{3(2t + 1 - i\sqrt{3})t}{(2t - 1 - i\sqrt{3})(3t - i\sqrt{3})}.$$

From this, one can obtain a recurrence for the coefficients of $C(t, 1)$, and derive their exponential generating function.

Unsolved RGT with three labels: 1-2-34-avoiding perms. (1)

If $\pi \in \mathcal{S}_n$, let $m(\pi) = \begin{cases} n + 1 & \text{if } \pi = n(n - 1) \cdots 21, \\ \min\{\pi_i : \exists j < i \text{ with } \pi_j < \pi_i\} & \text{otherwise.} \end{cases}$

Unsolved RGT with three labels: 1-2-34-avoiding perms. (1)

If $\pi \in \mathcal{S}_n$, let $m(\pi) = \begin{cases} n + 1 & \text{if } \pi = n(n - 1) \cdots 21, \\ \min\{\pi_i : \exists j < i \text{ with } \pi_j < \pi_i\} & \text{otherwise.} \end{cases}$

The RGT for 1-2-34-avoiding permutations is described by:

$$(2, 1, 1)$$

$$(m, r, n) \longrightarrow \begin{cases} (m + 1, 1, n + 1) (2, 2, n + 1) (3, 3, n + 1) \cdots (m, m, n + 1) \\ \quad (m, m + 1, n + 1) \cdots (m, n + 1, n + 1) & \text{if } r = 1, \\ (m + 1, 1, n + 1) (2, 2, n + 1) (3, 3, n + 1) \cdots (m, m, n + 1) \\ \quad (m, m + 1, n + 1) \cdots (m, n + 1, n + 1) & \text{if } m = r, \\ (m + 1, 1, n + 1) (2, 2, n + 1) (3, 3, n + 1) \cdots (m, m, n + 1) \\ \quad (m, m + 1, n + 1) \cdots (m, r, n + 1) & \text{if } m < r. \end{cases}$$

Unsolved RGT with three labels: 1-2-34-avoiding perms. (1)

$$\text{If } \pi \in \mathcal{S}_n, \text{ let } m(\pi) = \begin{cases} n + 1 & \text{if } \pi = n(n-1)\cdots 21, \\ \min\{\pi_i : \exists j < i \text{ with } \pi_j < \pi_i\} & \text{otherwise.} \end{cases}$$

The RGT for 1-2-34-avoiding permutations is described by:

$$(2, 1, 1)$$

$$(m, r, n) \longrightarrow \begin{cases} (m+1, 1, n+1) (2, 2, n+1) (3, 3, n+1) \cdots (m, m, n+1) \\ \quad (m, m+1, n+1) \cdots (m, n+1, n+1) & \text{if } r = 1, \\ (m+1, 1, n+1) (2, 2, n+1) (3, 3, n+1) \cdots (m, m, n+1) \\ \quad (m, m+1, n+1) \cdots (m, n+1, n+1) & \text{if } m = r, \\ (m+1, 1, n+1) (2, 2, n+1) (3, 3, n+1) \cdots (m, m, n+1) \\ \quad (m, m+1, n+1) \cdots (m, r, n+1) & \text{if } m < r. \end{cases}$$

$$\text{Let } G(t, u, v) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(1-2-34)} u^{m(\pi)} v^{r(\pi)} t^n.$$

Unsolved RGT with three labels: 1-2-34-avoiding perms. (2)

Functional equation:

$$\begin{aligned} \left(1 - \frac{tv}{v-1}\right) G(t, u, v) &= \left(tuv - \frac{t^2uv^2}{v-1}\right) G(t, u, 1) - \frac{t(u-1)v + t^2uv^2}{(v-1)(uv-1)} G(t, uv, 1) \\ &+ \left(\frac{t^2u^2v^3}{(v-1)(uv-1)} - \frac{tu^2v^2}{uv-1}\right) G(t, 1, 1) + \frac{t^2u^2v^3}{(u-1)(v-1)} G(tv, u, 1) \\ &\quad - \frac{t^2u^2v^3}{(u-1)(v-1)} G(tv, 1, 1) + tu^2v + tu^2v^2 \end{aligned}$$

Unsolved RGT with three labels: 1-2-34-avoiding perms. (2)

Functional equation:

$$\begin{aligned} \left(1 - \frac{tv}{v-1}\right) G(t, u, v) &= \left(tuv - \frac{t^2 uv^2}{v-1}\right) G(t, u, 1) - \frac{t(u-1)v + t^2 uv^2}{(v-1)(uv-1)} G(t, uv, 1) \\ &+ \left(\frac{t^2 u^2 v^3}{(v-1)(uv-1)} - \frac{tu^2 v^2}{uv-1}\right) G(t, 1, 1) + \frac{t^2 u^2 v^3}{(u-1)(v-1)} G(tv, u, 1) \\ &- \frac{t^2 u^2 v^3}{(u-1)(v-1)} G(tv, 1, 1) + tu^2 v + tu^2 v^2 \end{aligned}$$

Don't know how to solve it...

Unsolved RGT with three labels: 12-34-avoiding perms.

The RGT for 12-34-avoiding permutations is described by:

$(2, 1, 1)$

$$(l, r, n) \longrightarrow \begin{cases} (l + 1, 1, n + 1) (l + 1, 2, n + 1) \cdots (l + 1, r, n + 1) (r + 1, r + 1, n + 1) \\ \quad (r + 2, r + 2, n + 1) \cdots (l, l, n + 1) (l, l + 1, n + 1) \cdots (l, n + 1, n + 1) & \text{if } l \geq r, \\ (l + 1, 1, n + 1) (l + 1, 2, n + 1) \cdots (l + 1, l, n + 1) \\ \quad (l, l + 1, n + 1) (l, l + 2, n + 1) \cdots (l, r, n + 1) & \text{if } l < r. \end{cases}$$

Unsolved RGT with three labels: 12-34-avoiding perms.

The RGT for 12-34-avoiding permutations is described by:

$(2, 1, 1)$

$$(l, r, n) \longrightarrow \begin{cases} (l+1, 1, n+1) (l+1, 2, n+1) \cdots (l+1, r, n+1) (r+1, r+1, n+1) \\ \quad (r+2, r+2, n+1) \cdots (l, l, n+1) (l, l+1, n+1) \cdots (l, n+1, n+1) & \text{if } l \geq r, \\ (l+1, 1, n+1) (l+1, 2, n+1) \cdots (l+1, l, n+1) \\ \quad (l, l+1, n+1) (l, l+2, n+1) \cdots (l, r, n+1) & \text{if } l < r. \end{cases}$$

Let $H(t, u, v) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(12-34)} u^{l(\pi)} v^{r(\pi)} t^n$,

$J(t, u, v) =$ terms of $H(t, u, v)$ with $l \geq r$.

Functional equations:

$$\begin{aligned} \left(1 - \frac{tv}{v-1}\right) H(t, u, v) &= -\frac{tv}{v-1} H(t, uv, 1) + \left(1 - \frac{tv}{v-1}\right) J(t, u, v) + \frac{tv^2}{v-1} J(tv, u, 1) \\ \left(1 - \frac{tuv}{v-1}\right) J(t, u, v) &= \frac{tuv}{v-1} H(t, uv, 1) - \frac{tuv}{v-1} H(t, u, 1) \\ &\quad + tuv \left(\frac{1}{uv-1} - \frac{1}{v-1}\right) J(t, uv, 1) - \frac{tuv}{uv-1} J(t, 1, uv) + tu^2v \end{aligned}$$

Unsolved RGT with three labels: 12-34-avoiding perms.

The RGT for 12-34-avoiding permutations is described by:

$(2, 1, 1)$

$$(l, r, n) \longrightarrow \begin{cases} (l+1, 1, n+1) (l+1, 2, n+1) \cdots (l+1, r, n+1) (r+1, r+1, n+1) \\ \quad (r+2, r+2, n+1) \cdots (l, l, n+1) (l, l+1, n+1) \cdots (l, n+1, n+1) & \text{if } l \geq r, \\ (l+1, 1, n+1) (l+1, 2, n+1) \cdots (l+1, l, n+1) \\ \quad (l, l+1, n+1) (l, l+2, n+1) \cdots (l, r, n+1) & \text{if } l < r. \end{cases}$$

Let $H(t, u, v) = \sum_{n \geq 1} \sum_{\pi \in \mathcal{S}_n(12-34)} u^{l(\pi)} v^{r(\pi)} t^n$,

$J(t, u, v) =$ terms of $H(t, u, v)$ with $l \geq r$.

Functional equations:

$$\begin{aligned} \left(1 - \frac{tv}{v-1}\right) H(t, u, v) &= -\frac{tv}{v-1} H(t, uv, 1) + \left(1 - \frac{tv}{v-1}\right) J(t, u, v) + \frac{tv^2}{v-1} J(tv, u, 1) \\ \left(1 - \frac{tuv}{v-1}\right) J(t, u, v) &= \frac{tuv}{v-1} H(t, uv, 1) - \frac{tuv}{v-1} H(t, u, 1) \\ &\quad + tuv \left(\frac{1}{uv-1} - \frac{1}{v-1}\right) J(t, uv, 1) - \frac{tuv}{uv-1} J(t, 1, uv) + tu^2v \end{aligned}$$

Don't know how to solve either...

TAKK