

Problem Set # 6 (due via Canvas upload by 5 pm, Friday, November 8)

1. To prove that a pair of functors  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  are an adjoint pair, it suffices to construct natural transformations  $\eta : I_{\mathcal{C}} \rightarrow G \circ F$  and  $\varepsilon : F \circ G \rightarrow I_{\mathcal{D}}$  called the **unit** and **counit** of adjunction such that the following counit-unit equations are satisfied: for every  $X \in \text{Ob}(\mathcal{C})$  and  $Y \in \text{Ob}(\mathcal{D})$  we have

$$\text{id}_{F(X)} = \varepsilon_{F(X)} \circ F(\eta_X), \quad \text{id}_{G(Y)} = G(\varepsilon_Y) \circ \eta_{G(Y)}.$$

- (a) Practice constructing the unit and counit of adjunction and using the counit-unit equations to prove that the free vector space functor  $F\langle - \rangle : \mathbf{Set} \rightarrow \mathbf{Vect}_F$  is left adjoint to the forgetful functor  $\mathbf{Vect}_F \rightarrow \mathbf{Set}$ .
- (b) Let  $K/k$  be a field extension. Prove that the extension of scalars functor  $(-)_K : k\text{-Alg} \rightarrow K\text{-Alg}$  is left adjoint to the restriction of scalars functor  ${}_k(-) : K\text{-Alg} \rightarrow k\text{-Alg}$ .
- (c) Let  $G$  be a group and  $H \subset G$  be a subgroup. Recall from the daily homework that the category  $\mathbf{Rep}_G$  of representations of  $G$  is isomorphic to the category  $\mathbb{Z}\langle G \rangle\text{-Mod}$  of modules over the group ring. The restriction functor  $\text{res}_H^G : \mathbf{Rep}_G \rightarrow \mathbf{Rep}_H$  is defined by simply viewing a  $G$ -representation as an  $H$ -representation by restricting the action, and similarly on morphisms. The induction functor  $\text{ind}_H^G : \mathbf{Rep}_H \rightarrow \mathbf{Rep}_G$  is defined, for an  $H$ -representation  $W$ , as

$$\text{ind}_H^G(W) = \{f : G \rightarrow W : f(gh^{-1}) = h \cdot f(g) \text{ for all } g \in G, h \in H\}$$

where the  $G$ -action on  $\text{ind}_H^G(W)$  is  $(g \cdot f)(x) = f(g^{-1}x)$  for  $g \in G$  and  $\text{ind}_H^G(\phi)(f) = \phi \circ f$  on morphisms  $\phi : W \rightarrow V$  between  $H$ -representations. Prove Frobenius reciprocity, the statement that  $\text{ind}_H^G$  is left adjoint to  $\text{res}_H^G$ . **Hint.** Use the fact that, via the isomorphism of categories  $\mathbf{Rep}_G \rightarrow \mathbb{Z}\langle G \rangle\text{-Mod}$  the induction functor is identified with the functor  $M \mapsto \mathbb{Z}\langle G \rangle \otimes_{\mathbb{Z}\langle H \rangle} M$ .

Do you see a similarity between the later two?

2. Let  $R$  be a commutative ring. Let  $J$  be an ideal of  $R$ .

- (a) For  $M$  a  $R$ -module, let

$$JM = \left\{ \sum_{i=1}^n a_i x_i : a_i \in J, x_i \in M \right\}.$$

Show that  $JM$  is an  $R$ -submodule of  $M$ .

- (b) If  $\phi : M \rightarrow N$  is an isomorphism of  $R$ -modules, show that  $\phi|_{JM}$  induces an isomorphism  $JM \simeq JN$ .
- (c) Let  $\{M_i\}_{i \in I}$  be  $R$ -modules and let  $N_i \subset M_i$  be  $R$ -submodules for all  $i$ . Prove that

$$\left( \bigoplus_{i \in I} M_i \right) / \left( \bigoplus_{i \in I} N_i \right) \simeq \bigoplus_{i \in I} M_i / N_i.$$

- (d) If  $M \simeq \bigoplus_{i \in I} R$  is a free  $R$ -module, show that

$$M/JM \simeq \bigoplus_{i \in I} R/J$$

as  $R$ -modules.

- (e) Suppose that  $R$  is not the zero ring. Prove that two free  $R$ -modules are isomorphic if and only if they have  $R$ -bases of the same cardinality, in particular  $R^n \simeq R^m$  if and only if  $n = m$ . **Hint.** Apply (d) with  $J$  a maximal ideal of  $R$ .

3. Let  $R$  be an integral domain and let  $M$  be a finitely generated  $R$ -module. The *rank* of  $M$  is the maximal number of  $R$ -linearly independent elements of  $M$ .

We already defined the rank of a free  $R$ -module with basis  $\beta$  to be  $\#\beta$ , and in the previous exercise we showed it is well-defined. But if we are going to use the same word *rank*, we should show that the

two notions coincide when  $M$  is free (over a domain)! (If this is confusing to you, use the term *free rank* for free modules while you work on this exercise, then afterwards you can drop the “free”.)

- (a) Suppose that  $M$  has rank  $n$  and that  $x_1, \dots, x_n$  is any maximal set of  $R$ -linearly independent elements of  $M$ . Let  $N = Rx_1 + \dots + Rx_n$  be the  $R$ -submodule generated by  $x_1, \dots, x_n$ . Prove that  $N$  is isomorphic to  $R^n$  and that the quotient  $M/N$  is a torsion  $R$ -module. **Hint.** Show that the map  $R^n \rightarrow N$  which sends the  $i$ th standard basis vector to  $x_i$  is an isomorphism of  $R$ -modules.
- (b) Prove conversely that if  $M$  contains a submodule  $N$  that is free of rank  $n$  (i.e.,  $N \simeq R^n$ ) such that the quotient  $M/N$  is a torsion  $R$ -module then  $M$  has rank  $n$ . **Hint.** Let  $y_1, \dots, y_{n+1}$  be any  $n+1$  elements of  $M$ . Use the fact that  $M/N$  is torsion to write  $r_i y_i$  as a linear combination of a basis for  $N$  for some nonzero elements  $r_i$  of  $R$ . Show that the  $r_i y_i$ , and hence also the  $y_i$ , are linearly dependent, working over the field of fractions.
- (c) Conclude that  $M = R^n$  has rank  $n$ , i.e., that the maximum number of  $R$ -linear independent elements in  $R^n$  is  $n$  (the same as the cardinality of the standard basis).
- (d) Let  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  be an exact sequence of finitely generated  $R$ -modules. Prove that the rank of  $M$  is the sum of the ranks of  $M'$  and  $M''$ .

**4.** Let  $R$  be a commutative ring. A **unimodular row** (of length  $n$  over  $R$ ) is a row vector  $a = (a_1, \dots, a_n)$  such that  $a_i \in R$  collectively generate  $R$ .

- (a) Define a map  $\phi_a : R^n \rightarrow R$  by  $\phi_a(v) = av$ , where  $av$  denotes the usual product of a row by a column to get an element of  $R$ . Prove that  $P_a = \ker \phi_a$  is a projective  $R$ -module of rank  $n - 1$ . **Hint.** Check that  $a$  is a unimodular row if and only if there exist  $b_i \in R$  with  $\sum_{i=1}^n a_i b_i = 1$ .
- (b) For unimodular rows  $a, b$  of length  $n$  over  $R$ , prove that  $P_a \cong P_b$  if and only if there exists  $G \in \text{GL}_n(R)$  such that  $aG = b$ , in which case we write  $a \sim b$ . This determines an equivalence relation on unimodular rows.
- (c) We say that a unimodular row  $a$  is **trivial** if  $a \sim e_1$ , where  $e_1 \in R^n$  is the first standard basis vector. Prove that a unimodular row  $a$  is trivial if and only if  $P_a$  is a free  $R$ -module.
- (d) A vector  $v \in R^n$  is called **completable** if  $v$  can be completed to an  $R$ -basis of  $R^n$ . Prove that  $v \in R^n$  is completable if and only if  $v$  is the first column of an element of  $\text{GL}_n(R)$  if and only if  $v = a^T$  for a trivial unimodular row  $a$ .
- (e) Prove that any unimodular row  $(a_1, a_2)$  of length 2 over  $R$  is trivial. **Hint.** Consider  $(-b_2, b_1)$ .
- (f) Prove that if  $R$  is a PID then every unimodular row is trivial.

The topology of the 2-sphere can be used to prove that over  $R = \mathbb{R}[x, y, z]/(x^2 + y^2 + z^2 - 1)$ , the unimodular row  $(x, y, z)$  is not trivial. **History.** In 1976, Quillen and Suslin independently proved that any unimodular row over  $k[x_1, \dots, x_n]$  is trivial, settling a problem posed by Serre in 1955. Quillen’s 1978 Fields Medal was given in part for this work.

**5.** Let  $V$  be a  $k$ -vector space of finite dimension  $n$  and  $\phi : V \rightarrow V$  an  $k$ -linear endomorphism, which gives  $V$  the structure of a  $k[x]$ -module. The point of this problem is to describe this  $k[x]$ -module by generators and relations, i.e., as the quotient of a free module.

Fixing a basis  $\beta = \{v_1, \dots, v_n\}$  of  $V$ , we consider the surjective  $R$ -module homomorphism

$$\begin{aligned} \pi : k[x]\langle \beta \rangle &\rightarrow V \\ v_i &\mapsto v_i \end{aligned}$$

Then identifying  $k[x]\langle \beta \rangle = k[x]^n$ , we have an isomorphism of  $k[x]$ -modules  $V \cong k[x]^n / \ker \pi$ .

- (a) Show that the elements  $xv_i - \phi(v_i)$  for  $i = 1, \dots, n$  belong to  $\ker \pi$ . Here  $\phi(v_i)$  is considered via the inclusion  $V \cong k\langle \beta \rangle \hookrightarrow k[x]\langle \beta \rangle$ .
- (b) Let  $N = \langle xv_i - \phi(v_i) \rangle_{i=1, \dots, n}$  be the  $k[x]$ -submodule of  $k[x]\langle \beta \rangle$  generated by the elements  $xv_i - \phi(v_i)$  for  $i = 1, \dots, n$ . Show that  $N = \ker \pi$ . **Hint.** Let  $\sum_{i=1}^n f_i(x)v_i \in \ker \pi$ . If all the  $f_i(x) = c_i \in k$  are constant, conclude that  $c_i = 0$  for all  $i$ . Finish by induction on the maximum degree of the  $f_i(x)$  by writing  $f_i(x) = xg_i(x) + c_i$  with  $c_i \in F$ , by noting that  $\sum_{i=1}^n f_i(x)v_i - \sum_{i=1}^n c_i v_i - \sum_{i=1}^n g_i(x)\phi(v_i) \in N$ .
- (c) Conclude that the invariant factors of  $V$  as a  $k[x]$ -module are obtained from the Smith normal form of the relations matrix  $[\phi - x \text{id}_V]_\beta \in M_n(k[x])$ .