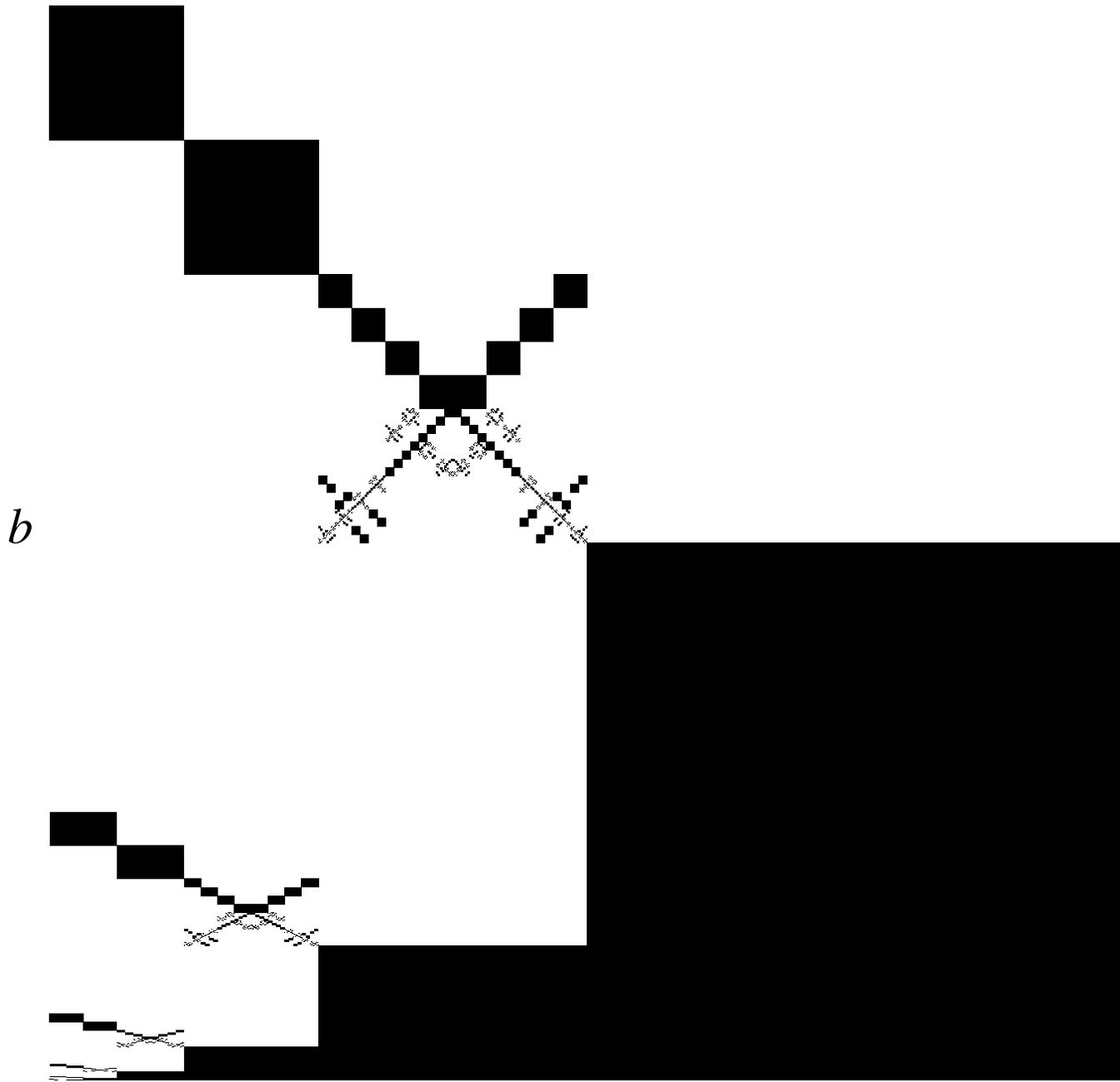


The probability that a  $p$ -adic  
polynomial splits.

Asher Auel, University of Pennsylvania

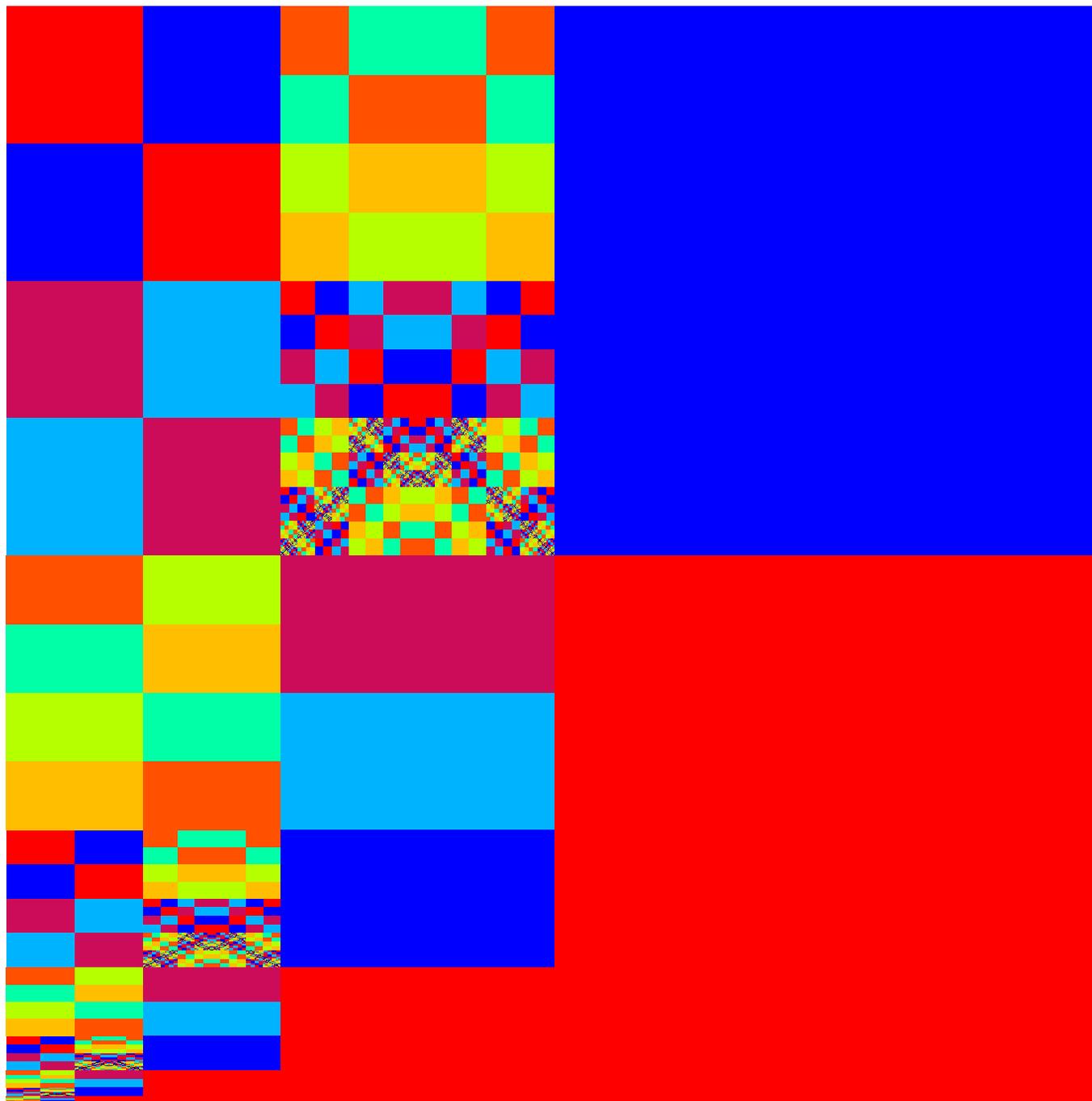
Joint work with:

Joe P. Buhler, CCR Labs



$x^2 + ax + b$  splits?

$a, b$  in  $\mathbf{Z}_2$



## The $p$ -adic integers

The ring  $\mathbf{Z}_p$  is a local ring, with unique maximal ideal  $p\mathbf{Z}_p$  and units

$$\mathbf{Z}_p^* = \mathbf{Z}_p \setminus p\mathbf{Z}_p = \{a_0 + a_1 p + \cdots \in \mathbf{Z}_p : a_0 \neq 0\}.$$

If  $a \in \mathbf{Z}_p$  is not a unit, then

$$\begin{aligned} a &= a_k p^k + a_{k+1} p^{k+1} + \cdots \\ &= p^k (a_k + a_{k+1} p + \cdots) \\ &= p^k u, \quad \text{for some } u \in \mathbf{Z}_p^*, \end{aligned}$$

so there's a disjoint union

$$\mathbf{Z}_p \setminus \{0\} = \bigcup_{k=0}^{\infty} p^k \mathbf{Z}_p^*.$$

## Absolute Value (Norm)

### Definition 1.

$$|a|_p = \begin{cases} p^{-v_p(a)} & \text{if } a \neq 0 \\ 0 & \text{if } a = 0 \end{cases},$$

where

$$v_p(a) = \begin{cases} \min(a_v : a_v \neq 0) & \text{if } a \neq 0 \\ \infty & \text{if } a = 0 \end{cases},$$

is called the valuation of  $a = a_0 + a_1 p + a_2 p^2 + \dots$ .

The  $p$ -adic absolute value has all the properties any absolute value should and more,

$$|ab|_p = |a|_p |b|_p,$$

$$|a + b|_p \leq \max(|a|_p, |b|_p).$$

The ring  $\mathbf{Z}_p$  with  $|\cdot|_p$  is a compact metric space, in fact, a compact topological group.

# Integration

**Theorem.** Let  $G$  be a compact topological group, then there exists a unique *Haar measure (integral)* on  $G$ , i.e. a map

$$\int_G : C(G, \mathbf{R}) \rightarrow \mathbf{R},$$

such that

- it's normalized :  $\int_G \mathbf{1} = 1$
- positive:  $f > 0 \Rightarrow \int_G f > 0$
- continuous in the sup-norm topology of  $C(G, \mathbf{R})$
- linear
- translation invariant:  $\int_G f(x + a) = \int_G f(x)$ .

**Example.** We will integrate the continuous function  $x \mapsto |x|_p : \mathbf{Z}_p \rightarrow \mathbf{R}$ . First, by the decomposition of the  $p$ -adic integers,

$$\int_{\mathbf{Z}_p} |x|_p = \sum_{k=0}^{\infty} \int_{p^k \mathbf{Z}_p^*} |x|_p = \sum_{k=0}^{\infty} \int_{p^k \mathbf{Z}_p^*} |p^k u|_p = \sum_{k=0}^{\infty} \frac{1}{p^k} \int_{p^k \mathbf{Z}_p^*} \mathbf{1}.$$

Now note that we have the disjoint union

$$\mathbf{Z}_p = \bigcup_{r=0}^{p-1} (r + p\mathbf{Z}_p),$$

of sets which are all translates, so they all have the same volume, namely  $1/p$ , thus we have

$$\int_{\mathbf{Z}_p^*} \mathbf{1} = \frac{p-1}{p},$$

and by similar arguments,

$$\int_{p^k \mathbf{Z}_p^*} \mathbf{1} = \frac{1}{p^k} \frac{p-1}{p}.$$

Continuing on, we have

$$\int_{\mathbf{Z}_p} |x|_p = \sum_{k=0}^{\infty} \frac{1}{p^k} \int_{p^k \mathbf{Z}_p^*} \mathbf{1} = \sum_{k=0}^{\infty} \frac{1}{p^k} \frac{1}{p^k} \frac{p-1}{p} = \frac{p-1}{p} \frac{1}{1 - \frac{1}{p^2}} = \frac{p}{p+1}.$$

## The quadratic case

Consider the map parametrizing the split quadratic polynomials,

$$\begin{aligned}\varphi : \mathbf{Z}_p^2 &\rightarrow \text{Split}_p(2) \subset \mathbf{Z}_p[x] \\ (a, b) &\mapsto (x - a)(x - b) = x^2 - (a + b)x + ab.\end{aligned}$$

It's a surjective (almost everywhere) 2-to-1 map. We have an isomorphism of topological groups

$$\begin{aligned}\mathbf{Z}_p[x]_2 &\xrightarrow{\sim} \mathbf{Z}_p^2 \\ x^2 - cx + d &\mapsto (c, d),\end{aligned}$$

and so the composition

$$\begin{aligned}\tilde{\varphi} : \mathbf{Z}_p^2 &\rightarrow \mathbf{Z}_p^2 \\ (a, b) &\mapsto (a + b, ab).\end{aligned}$$

So now we just need to compute the integral,

$$s_p(2) = \int_{\text{Split}_p(2)} \mathbf{1} = \int_{\varphi(\mathbf{Z}_p^2)} \mathbf{1} = \frac{1}{2} \int_{\mathbf{Z}_p^2} |\det(J\tilde{\varphi})|_p.$$

$$\begin{aligned} s_p(2) &= \frac{1}{2} \int_{\mathbf{Z}_p^2} |a - b|_p \, da \, db \\ &= \frac{1}{2} \int_{b \in \mathbf{Z}_p} \left( \int_{a \in \mathbf{Z}_p} |a - b|_p \, da \right) db \\ &= \frac{1}{2} \int_{\mathbf{Z}_p} |a|_p \, da \\ &= \frac{1}{2} \frac{p}{p+1}. \end{aligned}$$

So in particular

$$s_2(2) = \frac{1}{3}.$$

Also note that

$$\lim_{p \rightarrow \infty} s_p(2) = \frac{1}{2}.$$

## The general split case

Now define a map

$$\begin{aligned}\varphi_n : \mathbf{Z}_p^n &\rightarrow \text{Split}_p(n) \subset \mathbf{Z}_p[x] \\ a = (a_1, \dots, a_n) &\mapsto \prod_{j=1}^n (x - a_j)\end{aligned}$$

Then  $\varphi_n$  is a (almost everywhere)  $n!$ -to-1 mapping. Again, by the standard isomorphism of topological groups,

$$\begin{aligned}\tilde{\varphi}_n : \mathbf{Z}_p^n &\rightarrow \mathbf{Z}_p[x] \xrightarrow{\sim} \mathbf{Z}_p^n \\ (a_1, \dots, a_n) &\mapsto (a_1 + \dots + a_n, \dots, a_1 \cdots a_n).\end{aligned}$$

So we have to compute

$$\begin{aligned}s_p(n) &= \text{vol}(\text{Split}_p(n) = \tilde{\varphi}_n(\mathbf{Z}_p)) \mathbf{1} = \frac{1}{n!} \int_{\mathbf{Z}_p^n} |\det(J\tilde{\varphi}_n)|_p \\ &= \frac{1}{n!} \int_{\mathbf{Z}_p^n} \prod_{i < j} |a_i - a_j|_p \, da.\end{aligned}$$

**Theorem.** Let  $p$  be a prime. Denote by  $s_p(n)$  the probability that a monic polynomial of degree  $n$  with  $p$ -adic integer coefficients will split completely, then we have the following recursion

$$s_p(n) = \sum_{\lambda} \prod_{k=0}^{p-1} p^{-\binom{\lambda_k+1}{2}} I_{\lambda_k},$$

where the sum is taken over all  $\lambda = (\lambda_0, \lambda_1, \dots, \lambda_{p-1}) \in \mathbf{N}^p$  such that  $\lambda_0 + \dots + \lambda_{p-1} = n$ . I define  $I_0 = 1$ , and  $I_1 = 1$  is obvious.

**Corollary.** With the above notation,

$$\lim_{p \rightarrow \infty} s_p(n) = \frac{1}{n!}.$$

For  $p = 2$  the recursion is

$$s_2(n) = \sum_{r+s=n} 2^{-\binom{r+1}{2} - \binom{s+1}{2}} s_2(r) s_2(s),$$

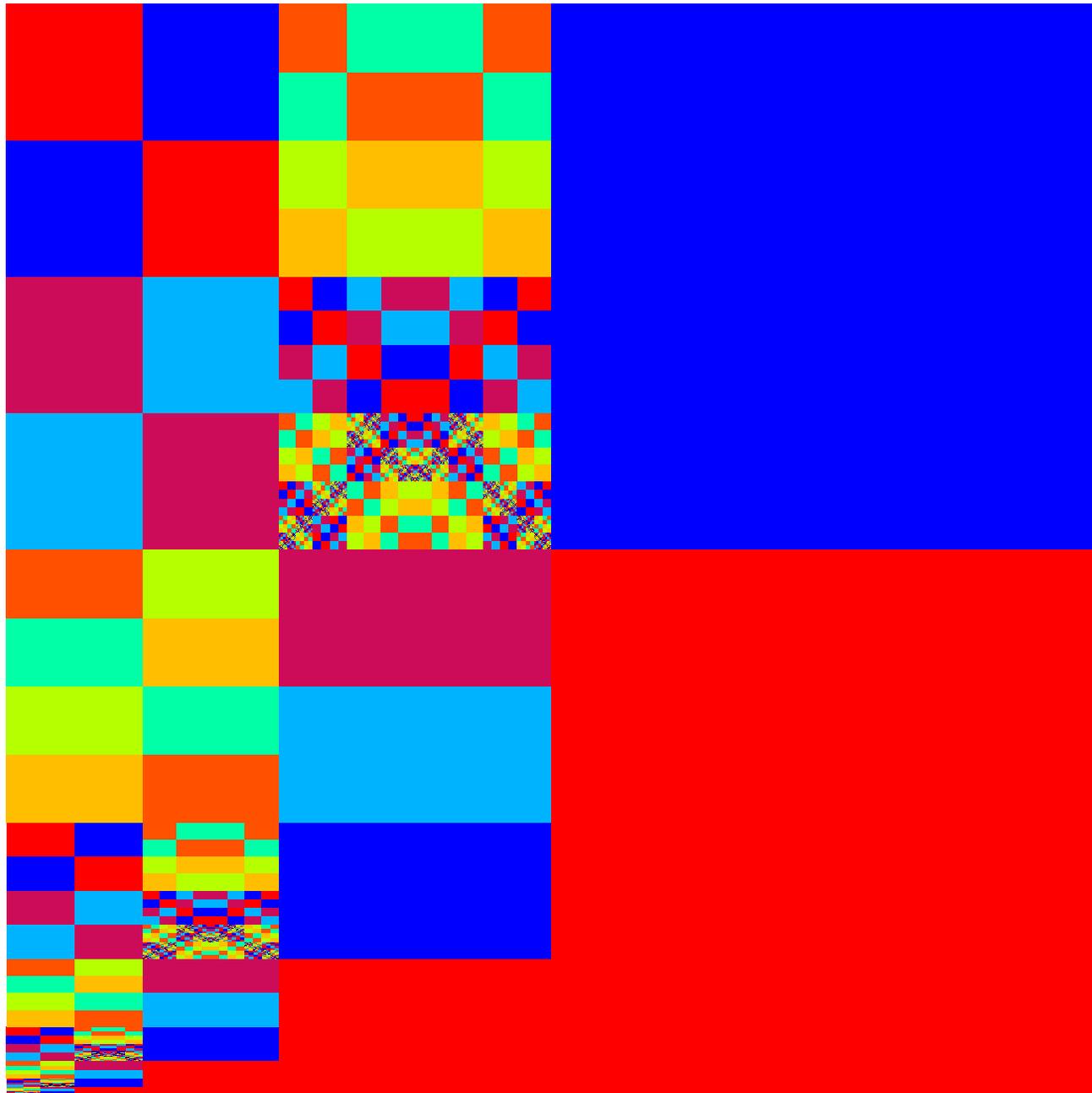
where the sum is taken over all non-negative integers  $r$  and  $s$  with  $r+s = n$ .

Setting

$$r_n := 2^{-\binom{n+1}{2}} s_2(n),$$

we can write this recursion as

$$2^{\binom{n+1}{2}} r_n = \sum_{i=0}^n r_i r_{n-i}.$$



# Extension to Extensions

The  $p$ -adic integers  $\mathbf{Z}_p$  are the ring of integers of the field of  $p$ -adic numbers  $\mathbf{Q}_p$ . One extension of this problem is to ask

“What is the probability that a polynomial will have roots in a given algebraic extension of  $\mathbf{Q}_p$ ?”

There are in fact only a finite number of extensions of a given degree over  $\mathbf{Q}_p$ . For example, over  $\mathbf{Q}_2$ , there are 7 different quadratic extensions. Below I give a list of these extensions and the probability that a monic irreducible quadratic polynomial has roots in that extension:

$$\begin{array}{ccccccc} \mathbf{Q}_2(\zeta_3) & \mathbf{Q}_2(\sqrt{3}) & \mathbf{Q}_2(\sqrt{7}) & \mathbf{Q}_2(\sqrt{2}) & \mathbf{Q}_2(\sqrt{6}) & \mathbf{Q}_2(\sqrt{10}) & \mathbf{Q}_2(\sqrt{14}) \\ \frac{1}{3} & \frac{1}{12} & \frac{1}{12} & \frac{1}{24} & \frac{1}{24} & \frac{1}{24} & \frac{1}{24} \end{array}$$

As we computed, the completely splitting polynomials have probability  $1/3$ , as these are the only ways that the polynomials can factor, the sum of these probabilities is

$$\frac{1}{3} + \frac{1}{3} + \frac{1}{12} + \frac{1}{12} + \frac{1}{24} + \frac{1}{24} + \frac{1}{24} + \frac{1}{24} = 1.$$

