

On the proportion of numbers coprime to a given integer

PAUL ERDŐS

FLORIAN LUCA

Instituto de Matemáticas
Universidad Nacional Autónoma de México
C.P. 58089, Morelia, Michoacán, México
fluca@matmor.unam.mx

CARL POMERANCE

Department of Mathematics
Dartmouth College
Hanover, NH 03755–3551, USA
carl.pomerance@dartmouth.edu

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Abstract

For a positive integer n and its Euler function $\phi(n)$ we write $\phi(n)/n = a/b$, where $a = a(n)$ and $b = b(n)$ are coprime. For a fixed integer a , we consider the number of integers b for which the above relation holds for some n , and we also fix b and count corresponding a 's. We discuss the greatest common divisor of n and $\phi(n)$, applying it to the relation $\phi(n) \mid f(n)$ for f a polynomial.

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1 Introduction

For a positive integer n we write $\phi(n)$ for the Euler function of n , namely the number of integers in $[1, n]$ coprime to n . The fraction $\phi(n)/n$ is thus the asymptotic density of the set of the positive integers relatively prime to n . This proportion has been extensively studied. For example, it was known to Euler that $\{\phi(n)/n\}_{n \geq 1}$ is dense in $[0, 1]$, and one of the earliest results concerning the distribution of values of arithmetic functions is Schoenberg's 1928 theorem (see [15]) to the effect that $\phi(n)/n$ possesses a continuous distribution in $[0, 1]$. That is, $D(u)$, defined as the asymptotic density of the set of those positive integers n such that $\phi(n)/n \leq u$, exists for every real number u . In addition, $D(u)$ is continuous and strictly increasing on $[0, 1]$ (and clearly $D(0) = 0$ and $D(1) = 1$). This result might be argued to mark the dawn of probabilistic number theory.

This paper discusses several arithmetic functions that are directly related to the ratio $\phi(n)/n$. First, it is natural to reduce this fraction to its lowest terms: $\phi(n)/n = a/b$. We write $a = a(n)$ and $b = b(n)$, so that

$$\phi(n)/n = a(n)/b(n), \quad \gcd(a(n), b(n)) = 1.$$

Let $\text{rad}(n)$ denote the largest squarefree number that divides n . Since

$$\phi(n)/n = \prod_{p|n, p \text{ prime}} (1 - 1/p) = \phi(\text{rad}(n))/\text{rad}(n),$$

we have

$$a(n) = a(\text{rad}(n)), \quad b(n) = b(\text{rad}(n))$$

for every positive integer n . For positive integers a, b , we put

$$f(a) = \#\{n \text{ squarefree} : a(n) = a\},$$

$$g(b) = \#\{n \text{ squarefree} : b(n) = b\}.$$

For example, $f(1)$ is the number of squarefree n with $\phi(n) | n$. It is easy to see that $n = 1, 2, 6$ are the only such numbers, so that $f(1) = 3$, a fact recorded in [16], p. 232.

As we shall see in the next section, the function $n \mapsto \phi(n)/n$ is one-to-one when restricted to squarefree numbers, so we have the alternate definitions

$$f(a) = \#\{b : \gcd(a, b) = 1 \text{ and } a/b = \phi(n)/n \text{ for some } n\},$$

$$g(b) = \#\{a : \gcd(a, b) = 1 \text{ and } a/b = \phi(n)/n \text{ for some } n\}.$$

For any given numbers a, b , we present simple finite procedures for computing the values $f(a), g(b)$. We discuss the maximal orders of the arithmetic functions $f(a), g(b)$, and we show these functions are normally 0. We also discuss $\gcd(n, \phi(n)) = n/b(n) = \phi(n)/a(n)$. We show that normally it is the largest divisor of n composed of primes at most $\log \log n$, and on average it is bounded by $n^{o(1)}$. We also consider its maximal order when restricted to squarefree values of n . Our result on the average order of $\gcd(n, \phi(n))$ has an application in the counting of solutions of certain polynomial congruences.

Throughout this paper, we use the order symbols \gg, \ll, \asymp, O , and o with their usual meanings in analytic number theory. They are all absolute except where specified differently as in Theorem 11 and in Section 6. For a positive real number x , we use $\log x$ for the natural logarithm of x , $\log_1 x = \max\{1, \log x\}$, and if $k \geq 2$ we use $\log_k x$ for the k -fold iterated composition of the function \log_1 evaluated at x . We use p and q with or without subscripts for prime numbers. We use $\pi(x)$ and $\pi(x; b, a)$ for the number of primes $p \leq x$ and the number of primes $p \leq x$ in the arithmetic progression $p \equiv a \pmod{b}$, respectively. We use the notation $v_p(n)$ for the exponent on the prime p in the prime factorization of the natural number n . (In particular, if $p \mid n$, then $p^{v_p(n)} \parallel n$, and if $p \nmid n$, then $v_p(n) = 0$.) We let $P(n)$ denote the greatest prime factor of n if $n > 1$, and we let $P(1) = 1$. We let $\tau(n)$ denote the number of divisors of n , and $\omega(n)$ denotes the number of these divisors that are prime. We let p_i denote the i -th prime. We also use c_0, c_1, \dots for positive computable constants.

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2 An algorithm

In this section we give simple procedures for the evaluation of the functions $f(a)$ and $g(b)$. There is no emphasis on efficiency, only on the existence of a deterministic procedure for the evaluations. We begin with a simple lemma.

Lemma 1. *Let a, b be coprime natural numbers. If there is a natural number m with $\phi(m)/m = a/b$, then*

- (i) $0 < a/b \leq 1$;
- (ii) b is squarefree;
- (iii) there is a unique squarefree number n with $\phi(n) = a/b$;
- (iv) $P(b) = P(n)$;
- (v) $\gcd(b, \phi(b)) = 1$;
- (vi) $\omega(n) \leq v_2(a) + 2$.

Proof. The first assertion follows immediately from $0 < \phi(m) \leq m$ for all m . As we saw in the Introduction, if $\phi(m)/m = a/b$, then $n = \text{rad}(m)$ is squarefree and $\phi(n)/n = a/b$. Thus, $b \mid n$, so that b is squarefree. Suppose n_1, n_2 are squarefree numbers with $\phi(n_i)/n_i = a/b$ for $i = 1, 2$. Since $\phi(n) < n$ for $n > 1$, it follows that $n_1 = n_2 = 1$ if $a/b = 1$. Suppose that $a/b < 1$, so that $n_1, n_2 > 1$. As $P(n_i) \nmid \phi(n_i)$, we have $P(n_i) \mid b$. But $b \mid n_i$ implies $P(b) \leq P(n_i)$ for $i = 1, 2$, so that $P(n_1) = P(n_2) = P(b)$. That is, if n_1, n_2 are squarefree and $\phi(n_1)/n_1 = \phi(n_2)/n_2$, then $P(n_1) = P(n_2)$. We thus may replace n_i with $n_i/P(n_i)$ and iterate, coming to the conclusion that $n_1 = n_2$. This proves the uniqueness assertion in (iii), and in the course of the proof, we saw (iv).

To prove (v), assume not and let p be a common prime factor of b and $\phi(b)$. Let n be squarefree with $a/b = \phi(n)/n$, so that $b \mid n$, and $\phi(b) \mid \phi(n)$. It follows that $v_p(\phi(n)) \geq 1 = v_p(n)$, so that in the reduction $\phi(n)/n$ to a/b , the denominator b is not divisible by p after all. Thus it must be that $\gcd(b, \phi(b)) = 1$.

To see the last assertion, let $k = \omega(n)$. Then n is divisible by at least $k - 1$ odd prime factors, so that $v_2(\phi(n)) \geq k - 1$. But n is squarefree, so that $v_2(n) \leq 1$ and $v_2(a) = v_2(\phi(n)) - v_2(n) \geq k - 2$. \square

So, given coprime natural numbers a, b we might ask how we might determine if a/b is in the range of $\phi(n)/n$, and if it is, how we might find the unique squarefree pre-image n . The following algorithm gives such a procedure.

Algorithm A. Let a, b be coprime natural numbers. If there is a squarefree number n with $\phi(n)/n = a/b$, this algorithm finds n . If there is no such number n , this algorithm reports NONE.

1. Let $n = 1$;
2. If $a = b$, report n ;
3. If $a > b$, report NONE;
4. If b is not squarefree, report NONE;
5. Let $p = P(b)$, $d = \gcd(a, p-1)$, $a = a/d$, $b = (p-1)b/pd$, $n = pn$, and go to step 2;

It is clear from Lemma 1 that the algorithm correctly reports NONE when it does so. The iteration in the last step is based on the fact that if a squarefree number n exists with $\phi(n)/n = a/b$, then Lemma 1 implies that $P(n) = P(b)$, so that if $p = P(n)$ and $N = n/p$, we have

$$\frac{\phi(N)}{N} = \frac{a/(p-1)}{b/p} = \frac{a/\gcd(a, p-1)}{(p-1)/\gcd(a, (p-1)) \cdot b/p} = \frac{a'}{b'}$$

say. We thus may reduce the problem to the new pair a', b' , justifying the last step of the algorithm.

We may use Algorithm A to compute $f(a)$, $g(b)$ as follows. Given a natural number b , run Algorithm A for each pair a, b with $1 \leq a \leq b$ and $\gcd(a, b) = 1$. Count 1 for each time the algorithm reports a value of n with $\phi(n)/n = a/b$, the total count being $g(b)$. For the $f(a)$ computation, note that if $\phi(n)/n = a/b$ for some n and b with b coprime to a , then from Lemma 1, we have $k := v_2(a) + 2 \geq \omega(n)$. If such a number n exists, then $\phi(n)/n \geq \prod_{i=1}^k (1 - 1/p_i)$. Let this product be denoted $z = z(a)$. Then $b = an/\phi(n) \leq a/z$. So, to compute $f(a)$, for each integer b coprime to a with $a \leq b \leq a/z$, run Algorithm A and count 1 for each such b where the algorithm reports a value for n with $\phi(n)/n = a/b$. The total count is $g(b)$.

We remark that the algorithms in this section have not been optimized, our point merely being that there exists a deterministic procedure. The issue of computing the solutions n to $\phi(n) = m$ has been discussed from the point of view of complexity in [4].

3 The function $f(a)$

We start with the maximal order of $f(a)$.

Theorem 2. *The inequality $f(a) \leq (1+o(1))a \log_2 a / \log_3 a$ holds as $a \rightarrow \infty$. On the other hand, there exists a positive constant c_0 such that $f(a) > a^{c_0}$ holds for infinitely many a .*

Proof. Assume that n is a positive integer such that $\phi(n)/n = a/b$ for some integer b coprime to a . As we saw at the end of the last section, we have

$$b \leq B := a \prod_{i=1}^k (1 - 1/p_i)^{-1},$$

where $k = v_2(a) + 2$. But $v_2(a) \leq \log a / \log 2$, so that by the prime number theorem, $p_k \leq (1 + o(1)) \log a \log \log a / \log 2$. Thus, by Mertens's theorem,

$$b \leq B \leq (e^\gamma + o(1))a \log \log a, \tag{1}$$

where γ is the Euler–Mascheroni constant. But, by Lemma 1,

$$f(a) \leq \#\{b \leq B : \gcd(b, \phi(b)) = 1\}.$$

By a result of Erdős (see [6]), the cardinality of the set

$$\{n \leq y : \gcd(n, \phi(n)) = 1\}$$

is $(e^{-\gamma} + o(1))y / \log_3 y$ as $y \rightarrow \infty$. Applying this with $y = B$ and using our upper bound for B , we get the first claim of the theorem.

For the second claim, it is known that there exists a positive constant c_1 such that for infinitely many positive integers m , the inequality

$$\mathcal{A}_m = \#\{n : \mu^2(n) = 1 \text{ and } \phi(n) = m\} \geq m^{c_1}$$

holds. Here, $\mu(n)$ is the Möbius function of n . The above result is due to Erdős and appears in [5]. Let m be one of these integers, and let $n \in \mathcal{A}_m$. Then $\phi(n)/n = m/n = a/b$ for some divisor a of m . Thus, there are at least $\#\mathcal{A}_m / \tau(m) \geq m^{c_1} / \tau(m)$ values of n corresponding to the same value of a . Since for each such n we have that $b = na/m$, we get that the values of b are distinct. Since $\tau(m) = m^{o(1)}$ as m tends to infinity, the second claim of the theorem follows with any constant c_0 smaller than c_1 . \square

The best (largest) known constant c_1 above is $0.7067\dots$ and is due to Baker and Harman [2]. We conjecture that $f(a) \geq a^{1-(1+o(1))\log_3 a/\log_2 a}$ holds for infinitely many positive integers a . In fact, we conjecture that this function of a is also an upper bound for $f(a)$ as $a \rightarrow \infty$, but we cannot even prove that the inequality $f(a) < a$ holds for all sufficiently large values of a .

Theorem 3. *We have $f(a) = 0$ for almost all positive integers a .*

Proof. We prove more. Namely, we show that if we put

$$\mathcal{A}(x) = \{a \leq x : f(a) \neq 0\},$$

then

$$\#\mathcal{A}(x) \leq x \exp\left(-(\log 2 + o(1))\log_2 x / \log_3 x\right) \quad \text{as } x \rightarrow \infty. \quad (2)$$

We let x be large, let $k < \log_2 x$ be a positive integer tending to infinity with x in a way which will be specified later, and let $K = \lfloor 2\log_2 x \rfloor$. Let \mathcal{A}_1 be the set of $a \leq x$ such that $2^{k-1} \mid a$ and \mathcal{A}_2 be the set of $a \leq x$ such that $\omega(a) > K$. It is obvious that

$$\#\mathcal{A}_1 \leq \frac{x}{2^{k-1}}. \quad (3)$$

Further, it follows by a result of Hardy and Ramanujan [10] that

$$\#\mathcal{A}_2 \ll \frac{x}{(\log x)^{2\log 2 - 1}}. \quad (4)$$

Let \mathcal{A}_3 be the set of all $a \leq x$ not in $\mathcal{A}_1 \cup \mathcal{A}_2$ for which $f(a) \neq 0$. Let $a \in \mathcal{A}_3$ and assume that $a/b = \phi(n)/n$ for some squarefree integers b, n , where a and b are coprime. Write $n = uv$ where $u = \gcd(n, \phi(n))$, so that $a = \phi(v)\phi(u)/u$. Since $\omega(u) \leq \omega(n) \leq k < \log_2 x$, we have

$$\phi(v) \leq xu/\phi(u) \ll x \log_3 x. \quad (5)$$

We fix a value $w = \phi(v)$ of the Euler function that satisfies (5) and ask how many values of u with $w\phi(u)/u \in \mathcal{A}_3$ can correspond to it. We will show that for a given w there are at most $O((K+k)^k)$ choices for u that can work, and it will remain to multiply this quantity by the number of choices for w .

Write $u = q_1 \dots q_l$ where $q_1 < \dots < q_l$. Since $v_2(a) \leq k-2$ and $a = w\phi(u)/u$, it follows that $v_2(\phi(u)) \leq k-1$ and $l = \omega(u) \leq k$. Note too that

for any valid choice for u , we have $\omega(w\phi(u)) = \omega(au) \leq \omega(a) + \omega(u) \leq K + k$. Since $q_l \nmid \phi(u)$, we must have $q_l \mid w$, so there are at most $K + k$ choices for q_l . Once q_l is chosen, note that $q_{l-1} \nmid \phi(u/q_l)$, so $q_{l-1} \mid w\phi(q_l)$ and there are at most $K + k$ choices for q_{l-1} . In general, $q_{l-i} \mid w\phi(q_{l-i+1} \dots q_l)$, so that once q_{l-i+1}, \dots, q_l are chosen, there are at most $K + k$ choices for q_{l-i} . Thus the number of choices for u is at most $\sum_{l=0}^k (K + k)^l \ll (K + k)^k$.

For any positive real number y put

$$V(y) = \{\phi(m) : m \text{ is a positive integer, } \phi(m) \leq y\}.$$

In 1988, Maier and Pomerance [14] showed that there is a positive constant c_2 with

$$\#V(y) = \frac{y}{\log y} \exp((c_2 + o(1))(\log_3 y)^2) \quad \text{as } y \rightarrow \infty.$$

(The exact order of magnitude of $V(y)$ has been determined by Ford in [8].) Therefore, from (5),

$$\#\mathcal{A}_3 \leq \frac{x}{\log x} \exp(O((\log_3 x)^2)) (K + k)^k.$$

We equate this bound for $\#\mathcal{A}_3$ with the bound for $\#\mathcal{A}_1$ in (3), leading us to choose

$$k = \left\lfloor \frac{\log_2 x}{\log_3 x} - 2 \log 2 \frac{\log_2 x}{(\log_3 x)^2} \right\rfloor.$$

With this value of k we have (2) and the theorem. \square

We remark that it may be the case that there is some positive constant c such that $\#\mathcal{A}(x) \ll x/(\log x)^c$, but we have not been able to prove this. Since $p - 1 \in \mathcal{A}(x)$ for every prime $p \leq x + 1$, we have $\#\mathcal{A}(x) \gg x/\log x$.

4 The function $g(b)$

The first result here addresses the maximal order of the function $g(b)$.

Theorem 4. *We have $g(b) \leq b^{(1+o(1)) \log_3 b / \log_2 b}$ as $b \rightarrow \infty$.*

Proof. Let $a/b = \phi(n)/n$ for some squarefree integer n . Then $an = b\phi(n)$, therefore $n \mid b\phi(n)$. Let $r(n) = \text{rad}(\phi(n))$ and let r_k be the k -fold iteration of r , with $r_1 = r$ and r_0 the identity. Note that if u is squarefree and $u \mid vw$, then $r(u) \mid r(v)r(w)$. Since $n \mid br(n)$, it follows by induction on $k \geq 0$, that $r_k(n) \mid r_k(b)r_{k+1}(n)$. Let $k(b)$ be the smallest positive integer k such that $r_k(b) = 1$. The above divisibility relation shows that $r_{k(b)}(n) \mid r_{k(b)+1}(n)$, which easily leads to the conclusion that $r_{k(b)}(n) = 1$. Hence, writing

$$F(b) = \prod_{0 \leq k \leq k(b)} r_k(b), \quad (6)$$

we get

$$n \mid br(n) \mid br(b)r_2(n) \mid \dots \mid F(b).$$

Thus, $a \mid \phi(n) \mid \phi(F(b))$ and also $a \leq b$. By a generalization of a result of Pratt, see Theorem 4.6 in [7], we have that $\omega(F(b)) < \log b / \log 2 + 1$. Put $t = \lfloor \log b / \log 2 \rfloor + 1$ and assume that b is large. Let \mathcal{P}_b be the set of all prime factors of $F(b)$ and $\Psi_{\mathcal{P}}(x)$ denote the number of positive integers $a \leq x$ all whose prime factors are in \mathcal{P} . Then $g(b) \leq \Psi_{\mathcal{P}_b}(b)$. The prime number theorem (or estimates of Chebyshev) imply that $p_k \leq 2k \log k$ holds for all sufficiently large k . Put $P(n)$ for the largest prime factor of n . The above argument shows that if b is large enough, then

$$g(b) \leq \Psi_{\mathcal{P}_b}(b) \leq \Psi(b, p_t) \leq \Psi(b, 2t \log t), \quad (7)$$

where we use

$$\Psi(x, y) = \#\{n \leq x : P(n) \leq y\}.$$

By the de Bruijn estimates for the function $\Psi(x, y)$ (see, for example, Theorem 2 on p. 359 in [17]), we have

$$\Psi(b, 2t \log t) \leq \exp \left((1 + o(1)) \frac{\log b}{\log t} \log \left(1 + \frac{2t \log t}{\log b} \right) \right). \quad (8)$$

Comparing estimates (7) and (8) and recalling the definition of t , we get the conclusion of the theorem as $b \rightarrow \infty$. \square

A natural question is the average order of $g(b)$, but we have not been able to substantially improve on the estimate afforded by Theorem 4. Using this theorem, we can get the following result for the average order of $f(a)$.

Corollary 5. As $x \rightarrow \infty$, we have $\sum_{a \leq x} f(a) \leq x^{1+(1+o(1)) \log_3 x / \log_2 x}$.

Proof. If $\gcd(a, b) = 1$ and $a/b = \phi(n)/n$ for some integer n , then for a large, (1) implies that $b \leq 2a \log_2 a$. Thus, for x large,

$$\sum_{a \leq x} f(a) \leq \sum_{b \leq 2x \log_2 x} g(b),$$

and so the result follows from Theorem 4. □

Theorem 6. We have $g(b) = 0$ for almost all positive integers b . In fact the number of integers $b \leq x$ with $g(b) > 0$ is $\sim e^{-\gamma} x / \log_3 x$ as $x \rightarrow \infty$.

Proof. From Lemma 1, if $g(b) > 0$, then $\gcd(b, \phi(b)) = 1$. Conversely, if $\gcd(b, \phi(b)) = 1$, then $\phi(b)/b$ is already reduced, so $g(b) > 0$. Thus the theorem follows immediately from the result of Erdős [6] quoted in the proof of Theorem 2. □

5 The greatest common divisor of n and $\phi(n)$

Our first result in this direction addresses the maximal order of the greatest common divisor of n and $\phi(n)$.

Theorem 7. *The inequality*

$$\gcd(n, \phi(n)) \leq 2n \exp\left(-\sqrt{\log 2 \log n}\right)$$

holds for all squarefree $n \geq 1$. On the other hand, there is an infinite set \mathcal{S} of squarefree numbers n such that

$$\gcd(n, \phi(n)) \geq n^{1-(1+o(1)) \log_3 n / \log_2 n}$$

as $n \rightarrow \infty$, $n \in \mathcal{S}$.

Proof. Assume that $n \geq 3$ is squarefree. Write $d = \gcd(n, \phi(n))$. Since n is squarefree, we have that $P(n) \nmid \phi(n)$, which shows that $d \leq n/P(n)$. Thus, the first inequality follows immediately if $P(n) > \exp(\sqrt{\log 2 \log n})$ even without the factor of 2 on the right hand side. On the other hand, if $P(n) \leq \exp(\sqrt{\log 2 \log n})$, then, using that n is squarefree, we get

$$\omega(n) \geq \frac{\log n}{\log P(n)} \geq \sqrt{\log n / \log 2}. \tag{9}$$

Let $\beta = v_2(\phi(n))$. If n is odd we have $\beta \geq \omega(n)$ and $d \mid \phi(n)/2^\beta$, so that $d \leq n/2^{\omega(n)}$. Thus (9) gives the first inequality, again without the factor of 2. Finally, if n is even, we have $d \mid \phi(n)/2^{\beta-1}$, since n is squarefree. But in this case $\beta \geq \omega(n) - 1$ and $\phi(n) \leq n/2$, so that $d \leq n/2^\beta \leq n/2^{\omega(n)-1}$. Using (9) gives the first inequality for d .

For the lower bound, note that from Theorem 3 in [13], there is a set of numbers \mathcal{T} having asymptotic density 1, such that for $t \rightarrow \infty$, $t \in \mathcal{T}$, we have $\text{rad}(F(t)/t) > t^{(1+o(1)) \log_2 t / \log_3 t}$, where $F(t)$ is defined in (6). Note too that for any squarefree number t we have $\text{gcd}(\text{rad}(F(t)), \phi(\text{rad}(F(t))))$ equal to $\text{rad}(F(t)/t)$. Let \mathcal{S} be the set of numbers $n = \text{rad}(F(t))$ for $t \in \mathcal{T}$ with t squarefree. The second inequality of the theorem follows. \square

Our next result addresses the normal order of the greatest common divisor of n and $\phi(n)$.

Theorem 8. *For almost all n , $\text{gcd}(n, \phi(n))$ is the largest divisor of n supported on the prime divisors of n in the interval $[1, \log \log n]$.*

Proof. Let x be a large positive real number. We first note that most numbers $n \leq x$ are “nearly” squarefree, in that $v_p(n) \leq 1$ for all primes $p \geq \log_3 x$. Indeed if $\mathcal{E}_0(x)$ is the set of integers n which violate this property, then

$$\#\mathcal{E}_0(x) \leq \sum_{p \geq \log_3 x} \frac{x}{p^2} \sim \frac{x}{\log_3 x \log_4 x} = o(x).$$

Assume $n \leq x$ and $n \notin \mathcal{E}_0(x)$. Let $\mathcal{E}_1(x)$ be the set of such n with $p \mid \text{gcd}(n, \phi(n))$ for some prime $p \geq \log_2 x$. To bound $\#\mathcal{E}_1(x)$, we fix a prime $p \geq \log_2 x$ and look at the number of $n \leq x$ such that $p \mid \text{gcd}(n, \phi(n))$. It is clear that either $p^2 \mid n$ or $pq \mid n$ for some prime $q \equiv 1 \pmod{p}$. Since $n \notin \mathcal{E}_0(x)$ the first choice does not occur. Thus,

$$\begin{aligned} \#\mathcal{E}_1(x) &\leq \sum_{p \geq \log_2 x} \sum_{\substack{q \leq x/p \\ q \equiv 1 \pmod{p}}} \left\lfloor \frac{x}{pq} \right\rfloor \leq x \sum_{p \geq \log_2 x} \frac{1}{p} \sum_{\substack{q \leq x \\ q \equiv 1 \pmod{p}}} \frac{1}{q} \\ &\ll x \sum_{p \geq \log_2 x} \frac{\log_2 x}{p(p-1)} \ll \frac{x}{\log_2 x \log_3 x} = o(x), \end{aligned} \quad (10)$$

where we used the estimate

$$\sum_{\substack{q \leq x \\ q \equiv 1 \pmod{b}}} \frac{1}{q} \ll \frac{\log_2 x}{\phi(b)}, \quad (11)$$

which is uniform for $2 \leq b \leq x$ (see, for example, inequality (3.1) in [7]). Thus we may assume that $n \notin \mathcal{E}_1(x)$.

In Lemma 2 in [12] it is shown that there exists a positive constant c_3 such that if we put $M(x)$ for the least common multiple of all numbers $m \leq B := c_3 \log_2 x / \log_3 x$, then all numbers $n \leq x$ have the property that $M(x) \mid \phi(\text{rad}(n)) \mid \phi(n)$ except for a set $\mathcal{E}_2(x)$ of them of cardinality $o(x)$.

We now consider the set of all $n \leq x$ which do not belong to $\mathcal{E}_0(x) \cup \mathcal{E}_1(x) \cup \mathcal{E}_2(x)$. If $\gcd(n, \phi(n))$ is not the divisor of n concentrated on the prime factors of n from $[1, \log_2 n]$, then one of the following must hold:

- (i) there is a prime factor p of n in the interval $I := [B, \log_2 x]$.
- (ii) there is a prime $p \leq B$ and a positive integer a such that $p^a > B$ and $p^a \mid n$.

Let $\mathcal{E}_3(x)$ and $\mathcal{E}_4(x)$ be the subsets of such $n \leq x$ satisfying (i) and (ii), respectively.

A simple computation with Mertens's theorem shows that $\sum_{p \in I} 1/p = o(1)$, so $\#\mathcal{E}_3(x) \leq \sum_{p \in I} x/p = o(x)$.

We now bound $\#\mathcal{E}_4(x)$. Note that $a \geq 2$, so that $n \notin \mathcal{E}_0(x)$ implies that $p < \log_3 x$. Then $B < p^a < (\log_3 x)^a$, which implies that $a \geq K := \lceil \log B / \log_4 x \rceil$ if x is sufficiently large. Thus, n is a multiple of p^K for some prime p , so the number of such $n \leq x$ does not exceed

$$\sum_{p \geq 2} \frac{x}{p^K} \leq x(\zeta(K) - 1) \ll \frac{x}{2^K} = o(x).$$

Thus $\#\mathcal{E}_4(x) = o(x)$, which, together with our estimates for the other counts $\#\mathcal{E}_j(x)$ for $j = 0, 1, 2, 3$, completes the proof of the theorem. \square

Theorem 8 has the following consequences.

Corollary 9. *The normal order of $\omega(\gcd(n, \phi(n)))$ is $\log_4 n$.*

Proof. This result follows from Theorem 8 and the fact that the normal order for the number of prime factors of n below $\log_2 n$ is $\log_4 n$, see Theorem 8 on p. 312 of [17]. \square

Note that Corollary 9 was stated without proof in [6].

Corollary 10. *For each real number $u > 0$, the asymptotic density of the set of natural numbers n with*

$$\gcd(n, \phi(n)) > (\log \log n)^u$$

is $e^{-\gamma} \int_u^\infty \rho(t) dt$, where ρ is the Dickman–de Bruijn function.

Proof. In light of Theorem 8 we may replace the function $\gcd(n, \phi(n))$ in the corollary with the function $D(n)$, defined as the largest divisor of n supported on the prime factors of n in the interval $[1, \log \log n]$. Let $y = y(x) = \log \log x$ and let $D_y(n)$ be the largest y -smooth divisor of n . That is, $D_y(n)$ is the largest divisor of n supported on the prime factors of n in $[1, y]$. Since the function $\log \log x$ grows so slowly, it suffices to show that the number of n in $[1, x]$ with $D_y(x) > y^u$ is $\sim \delta_u x$, where $\delta_u = e^{-\gamma} \int_u^\infty \rho(t) dt$.

First note that the number of $n \leq x$ with $D_y(n) \geq x^{1/2}$ is $o(x)$. Fix a positive real number u . Then the number of integers $n \leq x$ with $D_y(n) > y^u$ is

$$\sum_{m > y^u, P(m) \leq y} \sum_{n \leq x, D_y(n) = m} 1 = \sum_{x^{1/2} > m > y^u, P(m) \leq y} \sum_{n \leq x, D_y(n) = m} 1 + o(x).$$

Let L denote the product of the primes in $[1, y]$. The inner sum above is

$$\sum_{k \leq x/m, \gcd(k, L) = 1} 1 = \left(\frac{\phi(L)}{L} + o(1) \right) \frac{x}{m}$$

uniformly for all m in consideration, where we use a complete inclusion-exclusion over the primes in L . By the theorem of Mertens, we have $\phi(L)/L \sim e^{-\gamma}/\log y$, so that our count is

$$(1 + o(1)) \frac{x}{e^\gamma \log y} \sum_{x^{1/2} > m > y^u, P(m) \leq y} \frac{1}{m}.$$

Our corollary then follows by partial summation and standard results on the distribution of y -smooth integers m . \square

Our last result in this section addresses the average value of $\gcd(n, \phi(n))$.

Theorem 11. *Let*

$$A(x) = \frac{1}{x} \sum_{n \leq x} \gcd(n, \phi(n)).$$

Then, for any $k > 0$ we have

$$(\log x)^k \leq A(x) \leq x^{(1+o(1)) \log_3 x / \log_2 x}$$

as $x \rightarrow \infty$.

Proof. We start with the upper bound since it is easier. We have

$$\begin{aligned} \sum_{n \leq x} \gcd(n, \phi(n)) &= \sum_{\substack{r \leq x \\ r \text{ squarefree}}} \sum_{\substack{m \leq x/r \\ \text{rad}(m)|r}} \gcd(rm, \phi(rm)) \\ &= \sum_{\substack{r \leq x \\ r \text{ squarefree}}} \gcd(r, \phi(r)) \sum_{\substack{m \leq x/r \\ \text{rad}(m)|r}} m \leq x \sum_{\substack{r \leq x \\ r \text{ squarefree}}} \frac{\gcd(r, \phi(r))}{r} \sum_{\substack{m \leq x \\ \text{rad}(m)|r}} 1. \end{aligned}$$

We estimate the inner sum, call it $S(r)$. It is majorized by replacing r with $P_j = p_1 \dots p_j$ where $j = \omega(r)$, so we have $S(r) \leq S(P_j) = \Psi(x, p_j)$. Since $p_j \leq (1 + o(1)) \log x$, it follows from Theorem 2 (of de Bruijn) on p. 359 of [17] that $S(r) \leq x^{(\log 4 + o(1)) / \log_2 x}$ as $x \rightarrow \infty$, uniformly in r . Using this, we have for large x ,

$$\begin{aligned} \sum_{n \leq x} \gcd(n, \phi(n)) &\leq x^{1+2/\log_2 x} \sum_{\substack{r \leq x \\ r \text{ squarefree}}} \frac{\gcd(r, \phi(r))}{r} \\ &= x^{1+2/\log_2 x} \sum_{b \leq x} \frac{g(b)}{b} \leq x^{1+(1+o(1)) \log_3 x / \log_2 x}, \end{aligned}$$

where for the last estimate we used Theorem 4. Dividing by x , we have the asserted upper bound for $A(x)$.

We now deal with the lower bound. Let \mathcal{D} be the set of positive integers d such that

$$\sum_{\substack{p \leq d^{10} \\ p \equiv 1 \pmod{d}}} \frac{1}{p} \geq \frac{1}{2d}.$$

We state the following lemma for future use.

Lemma 12. *The set \mathcal{D} contains all positive integers except for at most $O(x/\log x)$ of them.*

Proof. Indeed, let x be large and let $d \in (x/\log x, x)$. Theorem 2.1 in [1] shows that there exists a constant c_4 such that the inequality

$$\pi(y; 1, d) \geq \frac{\pi(y)}{2\phi(d)}$$

holds for large x and for all positive integers $d \in (x/\log x, x)$ uniformly in the range $y \in (x^{2.6}, x^{10})$ except for a set $\mathcal{D}'(x)$ of such d , where $\mathcal{D}'(x)$ consists of all positive integers $d \in (x/\log x, x)$ which are divisible by one of at most c_4 positive integers $d_i = d_i(x)$, all of which exceed $\log x$. Certainly,

$$\#\mathcal{D}'(x) \leq \sum_{i \leq c_4} \left\lfloor \frac{x}{d_i} \right\rfloor \ll \frac{x}{\log x}.$$

Assume now that $d \notin \mathcal{D}'(x)$. Then $d^3 \geq (x/\log x)^3 \geq x^{2.6}$ when x is large, therefore

$$\begin{aligned} \sum_{\substack{p \leq d^{10} \\ p \equiv 1 \pmod{d}}} \frac{1}{p} &\geq \int_{d^3}^{d^{10}} \frac{d\pi(y; 1, d)}{y} \geq \frac{\pi(y)}{2\phi(d)y} \Big|_{y=d^3}^{y=d^{10}} + \int_{d^3}^{d^{10}} \frac{\pi(y)dy}{2\phi(d)y^2} \\ &= \frac{1}{2\phi(d)} \sum_{d^3 < p \leq d^{10}} \frac{1}{p} = \frac{\log(10/3) + o(1)}{2\phi(d)} \geq \frac{1}{2d}, \end{aligned}$$

which shows that $(x/\log x, x) \setminus \mathcal{D}'(x) \subset \mathcal{D} \cap [1, x]$. This completes the proof. \square

Assume that k is a positive integer. We put $\alpha_i = 2^{-1}11^{-2(i+2)}$ for $i = 1, \dots, k+1$. Set $y = x^{\alpha_{k+1}}$. For each $i = 1, \dots, k$, we put $\mathcal{I}_i = [x^{\alpha_i}, x^{10\alpha_i}]$. We consider k -tuples of positive integers (d_1, \dots, d_k) such that

- (i) $d_i \in \mathcal{D} \cap \mathcal{I}_i$ for all $i = 1, \dots, k$;
- (ii) $P(d_i) \leq y$ for all $i = 1, \dots, k$;
- (iii) $\omega(d_i) \leq 2 \log_2 x$ for all $i = 1, \dots, k$;
- (iv) $\gcd(d_i, d_j) = 1$ for all $i \neq j$;

(v) the smallest prime factor of d_i exceeds $(\log_2 x)^4$ for all $i = 1, \dots, k$.

We now let \mathcal{E} be the set of such k -tuples (d_1, \dots, d_k) . For each k -tuple $\mathbf{d} = (d_1, \dots, d_k)$ in \mathcal{E} we consider the set $\mathcal{F}_{\mathbf{d}}$ of k -tuples of primes $\mathbf{p} = (p_1, \dots, p_k)$ with $p_i \in \mathcal{P}_{\mathbf{d},i}$, where $\mathcal{P}_{\mathbf{d},i}$ consists of primes with the following properties:

- (i) $p_i \leq d_i^{10}$;
- (ii) $p_i \equiv 1 \pmod{d_i}$;
- (iii) $\omega(p_i - 1) \leq 10 \log_2 x$;
- (iv) $p_i - 1$ is coprime to $\prod_{j \neq i} d_j$,

for all $i = 1, \dots, k$. Finally, for a fixed k -tuple \mathbf{d} in \mathcal{E} and a fixed k -tuple of primes \mathbf{p} in $\mathcal{F}_{\mathbf{d}}$ consider the set $\mathcal{G}_{\mathbf{d},\mathbf{p}}$ of all positive integers

$$m \in \left[\frac{x}{2 \prod_{i=1}^k d_i p_i}, \frac{x}{\prod_{i=1}^k d_i p_i} \right].$$

which are coprime to $(p_1 - 1) \dots (p_k - 1)$ and have $P(m) \leq y$.

We shall first prove that

Lemma 13. *The estimate*

$$\#\mathcal{G}_{\mathbf{d},\mathbf{p}} \gg_k \frac{x}{\log_2 x \prod_{i=1}^k d_i p_i}.$$

holds for all $\mathbf{d} \in \mathcal{E}$ and $\mathbf{p} \in \mathcal{F}_{\mathbf{d}}$.

Proof. For a positive integer Q let

$$\Psi_Q(x, y) = \sum_{\substack{n \leq x \\ P(n) \leq y \\ \gcd(n, Q) = 1}} 1$$

denote the number of y -smooths in $[1, x]$ coprime to Q . We fix \mathbf{d} and \mathbf{p} and put $P = \prod_{i=1}^k d_i p_i$ and $Q = \prod_{i=1}^k (p_i - 1)$. Then

$$\#\mathcal{G}_{\mathbf{d},\mathbf{p}} = \Psi_Q(x/P, y) - \Psi_Q(x/2P, y).$$

Note that

$$Q \leq P \leq (d_1 \dots d_k)^{11} \leq x^{11(\alpha_1 + \dots + \alpha_k)} = x^{(1+11^{-2} + \dots + 11^{-2(k-1)})/242} < x^{1/240}.$$

It thus follows from Theorem 1 in [9] that

$$\#\mathcal{G}_{\mathbf{d},\mathbf{p}} = \frac{\phi(Q)}{Q}(\Psi(x/P, y) - \Psi(x/2P, y)) (1 + O_k((\log_2 x)^2/\log x)).$$

We have $\phi(Q)/Q \gg 1/\log_2 x$ and $\Psi(x/P, y) - \Psi(x/2P, y) \gg_k x/P$, so the result follows. \square

We now look at numbers of the form $n = d_1 \dots d_k p_1 \dots p_k m$, where $\mathbf{d} = (d_1, \dots, d_k) \in \mathcal{E}$, $\mathbf{p} \in \mathcal{F}_{\mathbf{d}}$ and $m \in \mathcal{G}_{\mathbf{d},\mathbf{p}}$. Clearly, $n \in (x/2, x)$. We show that each such n arises from a unique triple $(\mathbf{d}, \mathbf{p}, m)$. Note first that since

$$p_i \leq d_i^{10} < x^{100\alpha_i} < x^{\alpha_{i-1}} \leq d_{i-1} < p_{i-1}$$

holds for all $i \geq 2$, while $y = x^{1/2(11)^{2(k+3)}} < d_k$, it follows that $p_1 > p_2 > \dots > p_k > y \geq P(d_1 \dots d_k m)$. Now assume that the same n arises also from $\mathbf{d}' = (d'_1, \dots, d'_k)$, $\mathbf{p}' = (p'_1, \dots, p'_k)$ and m' . Then $d_1 \dots d_k p_1 \dots p_k m = d'_1 \dots d'_k p'_1 \dots p'_k m'$. By identifying the k largest prime factors of n , we get that $p_i = p'_i$ for $i = 1, \dots, k$. Once p_1, \dots, p_k are known, then $d_1 \dots d_k = \gcd(n, (p_1 - 1) \dots (p_k - 1))$, so $m = m'$. Thus, $d_1 \dots d_k = d'_1 \dots d'_k$ and the unicity of the d_i 's follows from the fact that $d_i = \gcd(d_1, \dots, d_k, p_i - 1)$. Hence, each such n arises from a unique triple $(\mathbf{d}, \mathbf{p}, m)$.

Note now that if n arises from $(\mathbf{d}, \mathbf{p}, m)$, then $d_1 \dots d_k \mid \gcd(n, \phi(n))$. Thus, we get

$$\begin{aligned} A(x) &\geq x^{-1} \sum_{\mathbf{d} \in \mathcal{E}} d_1 \dots d_k \sum_{\mathbf{p} \in \mathcal{F}_{\mathbf{d}}} \sum_{m \in \mathcal{G}_{\mathbf{d},\mathbf{p}}} 1 = x^{-1} \sum_{\mathbf{d} \in \mathcal{E}} d_1 \dots d_k \sum_{\mathbf{p} \in \mathcal{F}_{\mathbf{d}}} \#\mathcal{G}_{\mathbf{d},\mathbf{p}} \\ &\gg_k \frac{1}{\log_2 x} \sum_{\mathbf{d} \in \mathcal{E}} \sum_{\mathbf{p} \in \mathcal{F}_{\mathbf{d}}} \frac{1}{p_1 \dots p_k} = \frac{1}{\log_2 x} \sum_{\mathbf{d} \in \mathcal{E}} \prod_{i=1}^k \left(\sum_{p \in \mathcal{P}_{\mathbf{d},i}} \frac{1}{p} \right), \end{aligned} \quad (12)$$

where in the above chain of inequalities we used Lemma 13.

We shall also need the following two lemmas whose proofs we postpone for the moment.

Lemma 14. *There exists x_k such that the inequality*

$$\sum_{p \in \mathcal{P}_{\mathbf{d},i}} \frac{1}{p} \geq \frac{1}{3d_i}$$

holds for all $i = 1, \dots, k$ and all $\mathbf{d} \in \mathcal{E}$ provided that $x \geq x_k$.

Lemma 15. *There exists x_k and $\beta(k) > 0$ such that the inequality*

$$\sum_{\mathbf{d} \in \mathcal{E}} \frac{1}{d_1 \dots d_k} \geq \frac{1}{2} \left(\beta(k) \frac{\log x}{\log_3 x} \right)^k$$

holds for all $x \geq x_k$.

Clearly, estimate (12) and Lemmas 14 and 15 show that if x is sufficiently large (with respect to k), then

$$\begin{aligned} A(x) &\gg_k \frac{1}{\log_2 x} \sum_{\mathbf{d} \in \mathcal{E}} \prod_{i=1}^k \left(\sum_{p \in \mathcal{P}_{\mathbf{d},i}} \frac{1}{p} \right) && \text{(by (12))} \\ &\gg \frac{1}{3^k \log_2 x} \sum_{\mathbf{d} \in \mathcal{E}} \frac{1}{d_1 \dots d_k} && \text{(by Lemma 14)} \\ &\gg_k \frac{1}{\log_2 x} \left(\beta(k) \frac{\log x}{\log_3 x} \right)^k && \text{(by Lemma 15)} \\ &\geq (\log x)^{k/2} \end{aligned}$$

if x is sufficiently large with respect to k , which completes the proof of the lower bound asserted by Theorem 11, since k was arbitrary. \square

It remains to prove Lemmas 14 and 15.

Proof of Lemma 14. Fix \mathbf{d} in \mathcal{E} and $i = 1, \dots, k$. We let \mathcal{P}_1 be the set of primes satisfying conditions (i) and (ii) from the definition of the p_i 's, \mathcal{P}_2 be the subset of \mathcal{P}_1 failing (iii) and \mathcal{P}_3 be the subset of \mathcal{P}_1 failing (iv). From the fact that $d_i \in \mathcal{D}$, we have that

$$S_1 = \sum_{p \in \mathcal{P}_1} \frac{1}{p} \geq \frac{1}{2d_i}.$$

Since

$$\sum_{p \in \mathcal{P}_{\mathbf{d},i}} \frac{1}{p} \geq S_1 - S_2 - S_3,$$

where

$$S_j = \sum_{p \in \mathcal{P}_j} \frac{1}{p}$$

for $j = 2, 3$, it suffices to show that both $S_2 = o(d_i^{-1})$ and $S_3 = o(d_i^{-1})$ hold as x goes to infinity. If $p \in \mathcal{P}_2$, it then follows that $p - 1 = d_i m$, where $\omega(m) \geq \omega(p-1) - \omega(d_i) \geq 6 \log_2 x$ because of condition (iii) on the d_i . Putting $K = \lfloor 6 \log_2 x \rfloor$ and using the multinomial formula, unique factorization, the Stirling formula and the known fact that

$$\sum_{p^\alpha \leq t} \frac{1}{p^\alpha} = \log_2 t + O(1)$$

uniformly in $t \geq 3$, we get that

$$\begin{aligned} S_2 &= \sum_{p \in \mathcal{P}_2} \frac{1}{p} \leq \sum_{p \in \mathcal{P}_2} \frac{1}{p-1} \leq \frac{1}{d_i} \sum_{\substack{m \leq x \\ \omega(m) \geq K}} \frac{1}{m} \\ &= \frac{1}{d_i} \sum_{\ell \geq K} \sum_{\substack{m \leq x \\ \omega(m) = \ell}} \frac{1}{m} \leq \frac{1}{d_i} \sum_{\ell \geq K} \frac{1}{\ell!} \left(\sum_{p^\alpha \leq x} \frac{1}{p^\alpha} \right)^\ell \\ &= \frac{1}{d_i} \sum_{\ell \geq K} \left(\frac{e \log_2 x + O(1)}{\ell} \right)^\ell \leq \frac{1}{d_i} \sum_{\ell \geq K} \frac{1}{2^\ell} \ll \frac{1}{2^K d_i} = o(d_i^{-1}) \end{aligned}$$

as $x \rightarrow \infty$, where we used also the fact that $6 > 2e$, therefore $(e \log_2 x + O(1))/K < 1/2$ if x is sufficiently large.

For S_3 , let \mathcal{Q}_i be the set of prime factors of $\prod_{j \neq i} d_j$. Note that $\#\mathcal{Q}_i \leq 2(k-1) \log_2 x$ (by the property (iii) on the d_j 's), and that if q_1 is the smallest element in \mathcal{Q}_i then $q_1 \geq (\log_2 x)^4$ (by the property (v) on the d_j 's). If $p \in \mathcal{P}_3$, there exists $q \in \mathcal{Q}_i$ such that $p \equiv 1 \pmod{q}$. Since q divides d_j for some $j \neq i$, it does not divide d_i (by the property (iv) of the d_i 's), so $p \equiv 1 \pmod{d_i q}$. Hence,

$$\begin{aligned} S_3 &\leq \sum_{q \in \mathcal{Q}_i} \sum_{\substack{p \leq x \\ p \equiv 1 \pmod{d_i q}}} \frac{1}{p} \ll \sum_{q \in \mathcal{Q}_i} \frac{\log_2 x}{\phi(d_i q)} = \frac{\log_2 x}{\phi(d_i)} \sum_{q \in \mathcal{Q}_i} \frac{1}{q-1} \\ &\leq \frac{(\log_2 x)^2 \#\mathcal{Q}_i}{d_i(q_1 - 1)} \ll_k \frac{(\log_2 x)^3}{d_i (\log_2 x)^4} = \frac{1}{d_i \log_2 x} = o(d_i^{-1}) \end{aligned}$$

as $x \rightarrow \infty$, where we used aside from the minimal order $\phi(d_i)/d_i \geq 1/\log_2 x$ of the Euler function for $d_i \in [1, x]$ also the estimate (11). \square

Proof of Lemma 15. We let \mathcal{D}_i be the set of all positive integers d_i satisfying all the properties (i)–(v) *except* (iv). We shall later show that

$$T_i := \sum_{d \in \mathcal{D}_i} \frac{1}{d} \asymp_k \frac{\log x}{\log_3 x}. \quad (13)$$

Assuming estimate (13), let $\mathcal{E}_1 = \times_{i=1}^k \mathcal{D}_i$ and \mathcal{E}_2 be the subset of \mathcal{E}_1 consisting in k -tuples $\mathbf{d} = (d_1, \dots, d_k)$ such that there exist $i \neq j$ with $\gcd(d_i, d_j) \neq 1$. It is clear that $\mathcal{E} = \mathcal{E}_1 \setminus \mathcal{E}_2$, so

$$\sum_{\mathbf{d} \in \mathcal{E}} \frac{1}{d_1 \dots d_k} = S_1 - S_2, \quad (14)$$

where $S_j = \sum_{\mathbf{d} \in \mathcal{E}_j} (d_1 \dots d_k)^{-1}$ for $j = 1, 2$. By estimate (13), we have

$$S_1 = \prod_{i=1}^k T_i \asymp_k \left(\frac{\log x}{\log_3 x} \right)^k.$$

Furthermore, note that

$$\begin{aligned} S_2 &\leq \sum_{i \neq j} \left(\prod_{\substack{1 \leq \ell \leq k \\ \ell \neq i, j}} T_\ell \right) \left(\sum_{p \geq (\log_2 x)^4} \sum_{\substack{d_i \leq x \\ p|d_i}} \sum_{\substack{d_j \leq x \\ p|d_j}} \frac{1}{d_i d_j} \right) \\ &\ll_k \left(\frac{\log x}{\log_3 x} \right)^{k-2} \left(\sum_{p \geq (\log_2 x)^4} \frac{1}{p^2} \right) \left(\sum_{u \leq x} \frac{1}{u} \right)^2 \\ &\ll \left(\frac{\log x}{\log_3 x} \right)^{k-2} \left(\frac{1}{(\log_2 x)^4 \log_3 x} \right) (\log x)^2 \\ &= \frac{(\log x)^k}{(\log_3 x)^{k-1} (\log_2 x)^4} = O \left(\frac{S_1 \log_3 x}{(\log_2 x)^4} \right) = o(S_1), \end{aligned}$$

as $x \rightarrow \infty$, which together with inequality (14) completes the proof of the lemma. Hence, it suffices to prove estimate (13).

Let $i = 1, \dots, k$ be fixed. Let $R = \prod_{q \leq (\log_2 x)^3} q$. As in the proof of Lemma 13, one checks that if we put $\mathcal{D}'_i(t)$ for the set of positive integers in $[1, t]$ satisfying (ii) and (v), then

$$\#\mathcal{D}'_i(t) = \rho(v_t) \frac{\phi(R)}{R} t \left(1 + O \left(\frac{(\log_2 x)^3}{\log x} \right) \right)$$

uniformly for $t \in \mathcal{I}_i$, where $v_t = (\log t)/\log y$. Of these numbers, the number of numbers that fail (iii) are $O_k(x/(\log x)^{c_3})$ with $c_3 = 1 - 2\log(2/e) = 0.38629436122\dots$, while the number of numbers that fail (i) is, by Lemma 12, $O(t/\log t) = O_k(t/\log x)$. Hence,

$$\#\mathcal{D}_i(t) = \rho(v_t) \frac{\phi(R)}{R} t \left(1 + O_k \left(\frac{(\log_2 x)^3}{\log x} \right) \right) \asymp_k \frac{t}{\log_3 x},$$

where the last estimate above follows from Mertens's formula. By partial summation, we get that

$$\begin{aligned} T_i &= \sum_{d \in \mathcal{D}_i} \frac{1}{d} = \int_{x^{\alpha_i}}^{x^{10\alpha_i}} \frac{d\#\mathcal{D}(t)}{t} \\ &\geq -1 + \int_{x^{\alpha_i}}^{x^{10\alpha_i}} \frac{\#\mathcal{D}(t) dt}{t^2} \asymp_k \frac{\log t}{\log_3 x} \Big|_{t=x^{\alpha_i}}^{t=x^{10\alpha_i}} \asymp_k \frac{\log x}{\log_3 x}, \end{aligned}$$

which completes the proof of Lemma 15 and so the proof of Theorem 11. \square

We remark that an examination of the proof (and the tool we used from [9]) shows that we may take k in the theorem of size $c \log_3 x$ for some small positive constant c , and so obtain the lower bound $A(x) > (\log x)^{c \log_3 x}$. We are not sure what to suggest for the true order of $A(x)$.

6 An application

For a nonzero polynomial $f(X) \in \mathbb{Z}[X]$, let $\mathcal{L}_f(x)$ denote the set of integers $n \leq x$ with $\phi(n) \mid f(n)$. In the recent paper [3], it was shown that there are certain ‘‘canonical’’ solutions to this relation of the form pm where m is a positive integral root of f and p is a prime in one of a few prescribed residue classes mod $\phi(m)$, and that non-canonical solutions are few in number. In particular, it was shown that

Theorem 16. *Let $f(X) \in \mathbb{Z}[X]$ be a polynomial of degree k , with $f(0) \neq 0$, whose roots all have multiplicity at most ν . For each positive integral root m of $f(X)$, there exist certain residue classes $\{\alpha_{j,m} \pmod{\phi(m)} : j = 1, \dots, r_m\}$ for which the following estimate holds:*

$$\begin{aligned} \#\mathcal{L}_f(x) &= \sum_{\substack{m \in \mathbb{N} \\ f(m)=0}} \sum_{j=1}^{r_m} \pi(x/m; \phi(m), \alpha_{j,m}) \\ &\quad + O \left(x^{1-1/(2\nu+1)+o(1)} + x^{1-1/(k+1)+o(1)} \right), \end{aligned} \tag{15}$$

where the functions implied by $o(1)$ and the constant implied by O depend only on f .

In the case $f(0) = 0$ a weaker error estimate of the shape $x^{1-o(1)}$ was obtained in [3]. Here, we use the results from Section 5 to show that Theorem 16 holds without the assumption that $f(0) \neq 0$.

Theorem 17. *The conclusion of Theorem 16 holds even when $f(0) = 0$.*

Proof. We follow the proof of Theorem 5 in [3]. Put

$$\alpha = \frac{\nu}{2\nu + 1}.$$

It is shown in the proof of Theorem 5 in [3] that the number of $n \leq x$ with $P(n) > x^\alpha$ for which $\phi(n) \mid f(n)$ is given by the right hand side of formula (15), and this argument from [3] does not use the fact that $f(0) \neq 0$. Thus, it remains to show that the number $N(x)$ of $n \leq x$ with $P(n) \leq x^\alpha$ for which $\phi(n) \mid f(n)$ satisfies

$$N(x) \leq x^{1-\alpha/\nu+o(1)}. \quad (16)$$

Such a number n clearly has a divisor m with $x^\alpha < m \leq x^{2\alpha}$. We fix a number m in this interval and ask how many integers $r \leq x/m$ there are with $\phi(mr) \mid f(mr)$. Let this count be denoted $N_m(x)$.

We assume that $f(0) = 0$. Let $F(X)$ denote the product of the distinct irreducible factors (in $\mathbb{Z}[X]$) of $f(X)$ and the content of f (the gcd of the coefficients of f). Let

$$t(m) = \prod_{p^k \parallel \phi(m)} p^{\lceil k/\nu \rceil},$$

so that $\phi(m) \mid \phi(mr)$ and $\phi(mr) \mid f(mr)$ imply that $t(m) \mid F(mr)$. Also, let $F(X) = XG(X)$, where $G(X) \in \mathbb{Z}[X]$, and let

$$s(m) = t(m)/\gcd(m, t(m)).$$

Then $t(m) \mid mrG(mr)$ implies that $s(m) \mid rG(mr)$. For $d \mid s(m)$, we consider separately those r with $\gcd(r, s(m)) = d$. For these values of r we have $s(m) \mid rG(mr)$ if and only if $s(m)/d \mid G(mr)$. We let $r = du$, where $u \leq x/md$ and u is coprime to $s(m)/d$. Dropping the coprimality condition, let

$$N_{m,d}(x) = \#\{u \leq x/md : s(m)/d \mid G(mdu)\},$$

so that

$$N_m(x) \leq \sum_{d|s(m)} N_{m,d}(x).$$

We use the Nagell–Ore theorem, a strong form of it appearing in [11]. It asserts that for a squarefree polynomial $g(X)$ in $\mathbb{Z}[X]$, the number of solutions to the congruence $g(u) \equiv 0 \pmod{n}$ in a complete residue system mod n is bounded above by $\deg(g)^{\omega(n)} D(g)^2$, where $D(g)$ is the discriminant of g . Say p is a prime and $p^a \parallel s(m)/d$. If p does not divide md , then the number of solutions to $G(mdu) \equiv 0 \pmod{p^a}$ in a complete residue system mod p^a is equal to the number of solutions of $G(u) \equiv 0 \pmod{p^a}$, which is $O(1)$, by the Nagell–Ore theorem, the constant depending on the polynomial $G(X)$ (which in turn depends on $f(X)$). So, say p does divide md , say $p^b \parallel md$. If $G(mdu) \equiv 0 \pmod{p^a}$ has any solutions at all, we must have $p^{\min\{a,b\}} \mid G(0)$. Since $G(0) \neq 0$, we have that p is in a finite set depending on $G(X)$, and that $\min\{a,b\}$ is bounded as well. If $a = \min\{a,b\}$, we take p^a as the (trivial) upper bound for the number of solutions to $G(mdu) \equiv 0 \pmod{p^a}$. If $b = \min\{a,b\}$, we consider the polynomial $H(X) = G(p^b X)$ and again use the Nagell–Ore theorem. The discriminant of H is $p^{b(l^2-l)}$ times the discriminant of G , where $l = \deg G$, so that the number of solutions to $H(u) \equiv 0 \pmod{p^a}$ is bounded by $O(p^{2bl^2}) = O(1)$, again with the constant depending ultimately on f . But mdp^{-b} is coprime to p , so the number of solutions to $H(mdp^{-b}u) \equiv 0 \pmod{p^a}$ is exactly the same quantity as with $H(u)$, and it only remains to note that $H(mdp^{-b}X) = G(mdX)$. So, in each case, the number of solutions to $G(mdu) \equiv 0 \pmod{p^a}$ is at most some constant C that depends only on f . Thus, by the Chinese remainder theorem,

$$N_{m,d}(x) \leq C^{\omega(s(m)/d)} \left(\frac{x}{mds(m)/d} + 1 \right) = C^{\omega(s(m)/d)} \left(\frac{x}{ms(m)} + 1 \right).$$

Thus,

$$N_m(x) \leq \tau(s(m)) C^{\omega(s(m))} \left(\frac{x}{ms(m)} + 1 \right) = m^{o(1)} \left(\frac{x}{ms(m)} + 1 \right),$$

where we use the well-known maximal orders for the functions τ and ω .

Note that

$$s(m) \geq \frac{\phi(m)^{1/\nu}}{\gcd(m, \phi(m))} = \frac{m^{1/\nu+o(1)}}{\gcd(m, \phi(m))}.$$

Thus,

$$\begin{aligned} N(x) &\leq \sum_{x^\alpha < m \leq x^{2\alpha}} N_m(x) \leq x^{o(1)} \sum_{x^\alpha < m \leq x^{2\alpha}} \left(\frac{x \operatorname{gcd}(m, \phi(m))}{m^{1+1/\nu}} + 1 \right) \\ &= x^{1+o(1)} \sum_{x^\alpha < m \leq x^{2\alpha}} \frac{\operatorname{gcd}(m, \phi(m))}{m^{1+1/\nu}} + x^{2\alpha+o(1)}. \end{aligned}$$

Let S denote the last sum above. To compute S , we use Theorem 11 and partial summation and get that it is equal to

$$\begin{aligned} x^{-2\alpha(1+1/\nu)} \sum_{x^\alpha < m \leq x^{2\alpha}} \operatorname{gcd}(m, \phi(m)) &+ \int_{x^\alpha}^{x^{2\alpha}} \frac{1+1/\nu}{t^{2+1/\nu}} \sum_{x^\alpha < m \leq t} \operatorname{gcd}(m, \phi(m)) dt \\ &\leq x^{2\alpha+o(1)-2\alpha(1+1/\nu)} + x^{o(1)} \int_{x^\alpha}^{x^{2\alpha}} \frac{dt}{t^{1+1/\nu}} = x^{-\alpha/\nu+o(1)}. \end{aligned}$$

Thus,

$$N(x) \leq x^{1-\alpha/\nu+o(1)} + x^{2\alpha+o(1)} = x^{1-\alpha/\nu+o(1)}.$$

This last estimate establishes (16) and so completes the proof of the theorem. \square

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