

# The set of values of an arithmetic function

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based on joint work with

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Let us introduce our cast of characters:

**Euler's** function —  $\varphi(n)$  is the cardinality of  $(\mathbb{Z}/n\mathbb{Z})^\times$ .

**Carmichael's** function —  $\lambda(n)$  is the exponent of  $(\mathbb{Z}/n\mathbb{Z})^\times$ .

$\sigma$  is the sum-of-divisors function.

$s(n) = \sigma(n) - n$ , the sum-of-proper-divisors function.

The oldest of these functions is  $s(n) = \sigma(n) - n$ , going back to [Pythagoras](#). He was interested in fixed points ( $s(n) = n$ ) and 2-cycles ( $s(n) = m, s(m) = n$ ) in the dynamical system given by iterating  $s$ .

Very little is known after millennia of study, but we do know the number of  $n$  to  $x$  with  $s(n) = n$  is at most  $x^\epsilon$  ([Hornfeck & Wirsing](#)) and that the number of  $n$  to  $x$  with  $n$  in a 2-cycle is at most  $x / \exp((\log x)^{1/3})$  for  $x$  large ([P](#)).

The study of the comparison of  $s(n)$  to  $n$  led to the theorems of [Schoenberg](#), [Davenport](#), and [Erdős & Wintner](#).

**Erdős** was the first to consider the set of values of  $s(n)$ . Note that if  $p \neq q$  are primes, then  $s(pq) = p + q + 1$ , so that if a slightly stronger form of Goldbach's conjecture holds (all even integers at least 8 are the sum of 2 unequal primes), then all odd numbers at least 9 are values of  $s$ . Also,  $s(2) = 1$ ,  $s(4) = 3$ , and  $s(8) = 7$ , so presumably the only odd number that's not an  $s$ -value is 5. It's known that this slightly stronger form of Goldbach is almost true in that the set of evens not so representable as  $p + q$  has density 0. Thus, the image of  $s$  contains almost all odd numbers.

But what of even numbers? **Erdős** (1973): *There is a positive proportion of even numbers missing from the image of  $s$ .*

Unsolved: Does the image of  $s$  have an asymptotic density? Does the image of  $s$  contain a positive proportion of even numbers?

The set of values of  $\varphi$  was first considered by Pillai (1929):  
*The number  $V_\varphi(x)$  of  $\varphi$ -values in  $[1, x]$  is  $O(x/(\log x)^c)$ , where  $c = \frac{1}{e} \log 2 = 0.254\dots$ .*

Pillai's idea: There are not many values  $\varphi(n)$  when  $n$  has few prime factors, and if  $n$  has more than a few prime factors, then  $\varphi(n)$  is divisible by a high power of 2.

Erdős (1935):  $V_\varphi(x) = x/(\log x)^{1+o(1)}$ .

Erdős's idea: Deal with  $\Omega(\varphi(n))$  (the total number of prime factors of  $\varphi(n)$ , with multiplicity). This paper was seminal for the various ideas introduced. For example, the proof of the infinitude of Carmichael numbers owes much to this paper.

Again:  $V_\varphi(x) = x/(\log x)^{1+o(1)}$ .

But: A great deal of info may be lurking in that “ $o(1)$ ”.

After work of [Erdős & Hall](#), [Maier & P](#), and [Ford](#), we now know that  $V_\varphi(x)$  is of magnitude

$$\frac{x}{\log x} \exp\left(A(\log_3 x - \log_4 x)^2 + B \log_3 x + C \log_4 x\right),$$

where  $\log_k$  is the  $k$ -fold iterated log, and  $A, B, C$  are explicit constants.

Unsolved: Is there an asymptotic formula for  $V_\varphi(x)$ ?

Do we have  $V_\varphi(2x) \sim 2V_\varphi(x)$ ?

The same results and unsolved problems pertain as well for the image of  $\sigma$ .

In 1959, [Erdős](#) conjectured that the image of  $\sigma$  and the image of  $\varphi$  has an infinite intersection; that is, there are infinitely many pairs  $m, n$  with

$$\sigma(m) = \varphi(n).$$

It is amazing how many famous conjectures imply that the answer is yes!

Yes, if there are infinitely many twin primes:

If  $p, p + 2$  are both prime, then

$$\varphi(p + 2) = p + 1 = \sigma(p).$$

Yes, if there are infinitely many Mersenne primes:

If  $2^p - 1$  is prime, then

$$\varphi(2^{p+1}) = 2^p = \sigma(2^p - 1).$$

Yes, if the Extended Riemann Hypothesis holds.



It would seem a promising strategy to prove that there are at most finitely many solutions to  $\sigma(m) = \varphi(n)$ ; it has some amazing and unexpected corollaries!

However, [Ford, Luca, & P \(2010\)](#): There are indeed infinitely many solutions to  $\sigma(m) = \varphi(n)$ .

We gave several proofs, but one proof uses a conditional result of [Heath-Brown](#): *If there are infinitely many Siegel zeros, then there are infinitely many twin primes.*

Some further results:

**Garaev (2011)**: *For each fixed number  $a$ , the number  $V_{\varphi,\sigma}(x)$  of common values of  $\varphi$  and  $\sigma$  in  $[1, x]$  exceeds  $\exp((\log \log x)^a)$  for  $x$  sufficiently large.*

**Ford & Pollack (2011)**: *Assuming a strong form of the prime  $k$ -tuples conjecture,  $V_{\varphi,\sigma}(x) = x/(\log x)^{1+o(1)}$ .*

**Ford & Pollack (2012)**: *Most values of  $\varphi$  are not values of  $\sigma$  and vice versa.*

The situation for [Carmichael's](#) function  $\lambda$  has only recently become clearer. Recall that  $\lambda(p^a) = \varphi(p^a)$  unless  $p = 2, a \geq 3$  when  $\lambda(2^a) = 2^{a-2}$ , and that

$$\lambda([m, n]) = [\lambda(m), \lambda(n)].$$

It is easy to see that the image of  $\varphi$  has density 0, just playing with powers of 2 as did [Pillai](#). But what can be done with  $\lambda$ ? It's not even obvious that  $\lambda$ -values that are 2 mod 4 have density 0.

The solution lies in the “anatomy of integers” and in particular of shifted primes. It is known ([Erdős & Wagstaff](#)) that most numbers do not have a large divisor of the form  $p - 1$  with  $p$  prime. But a  $\lambda$ -value has such a large divisor or it is “smooth”, so in either case, there are not many of them.

Using these thoughts, Erdős, P, & Schmutz (1991): *There is a positive constant  $c$  such that  $V_\lambda(x)$ , the number of  $\lambda$ -values in  $[1, x]$ , is  $O(x/(\log x)^c)$ .*

Friedlander & Luca (2007): *A valid choice for  $c$  is  $1 - \frac{e}{2} \log 2 = 0.057 \dots$ .*

Banks, Friedlander, Luca, Pappalardi, & Shparlinski (2006):  
 $V_\lambda(x) \geq \frac{x}{\log x} \exp\left((A + o(1))(\log_3 x)^2\right)$ .

So,  $V_\lambda(x)$  is somewhere between  $x/(\log x)^{1+o(1)}$  and  $x/(\log x)^c$ , where  $c = 1 - \frac{e}{2} \log 2$ .

Very recently, [Luca & P](#) (2013):  $V_\lambda(x) \leq x/(\log x)^{\eta+o(1)}$ , where  $\eta = 1 - (1 + \log \log 2)/\log 2 = 0.086\dots$ .  
Further,  $V_\lambda(x) \geq x/(\log x)^{0.36}$  for all large  $x$ .

Probably the “correct” exponent is  $\eta$  and [Ford, Luca, & P](#) may have a proof, stay tuned.

The constant  $\eta$  actually pops up in some other problems:

[Erdős](#) (1960): *The number of distinct entries in the  $N \times N$  multiplication table is  $N^2/(\log N)^{\eta+o(1)}$ .*

*The asymptotic density of integers with a divisor in the interval  $[N, 2N]$  is  $1/(\log N)^{\eta+o(1)}$ .* This result has its own history beginning with [Besicovitch](#) in 1934, some of the other players being [Erdős](#), [Hooley](#), [Tenenbaum](#), and [Ford](#).

## Square values

Banks, Friedlander, P, & Shparlinski (2004): *There are more than  $x^{0.7}$  integers  $n \leq x$  with  $\varphi(n)$  a square. The same goes for  $\sigma$  and  $\lambda$ .*

Remark. There are only  $x^{0.5}$  squares below  $x$ . (!)

Might there be a positive proportion of integers  $n$  with  $n^2$  a value of  $\varphi$ ?

Pollack & P (2013): No, the number of  $n \leq x$  with  $n^2$  a  $\varphi$ -value is  $O(x/(\log x)^{0.0063})$ . The same goes for  $\sigma$ .

Unsolved: Is it true that most squares are not  $\lambda$ -values?

**GRACIAS, OBRIGADO, MERCI, & THANK YOU**