

The set of values of an arithmetic function

Carl Pomerance, **Dartmouth College**

based on joint work with

K. Ford, F. Luca, and P. Pollack

Let us introduce our cast of characters:

- **Euler's** function — $\varphi(n)$ is the cardinality of $(\mathbb{Z}/n\mathbb{Z})^\times$.
- **Carmichael's** function — $\lambda(n)$ is the exponent of $(\mathbb{Z}/n\mathbb{Z})^\times$.
- σ is the sum-of-divisors function.
- $s(n) = \sigma(n) - n$, the sum-of-proper-divisors function.

The oldest of these functions is $s(n) = \sigma(n) - n$, going back to [Pythagoras](#). He was interested in fixed points ($s(n) = n$) and 2-cycles ($s(n) = m, s(m) = n$) in the dynamical system given by iterating s .

Very little is known after millennia of study, but we do know the number of n to x with $s(n) = n$ is at most x^ϵ ([Hornfeck & Wirsing](#)) and that the number of n to x with n in a 2-cycle is at most $x / \exp((\log x)^{1/3})$ for x large ([P](#)).

The study of the comparison of $s(n)$ to n led to the theorems of [Schoenberg](#), [Davenport](#), and [Erdős & Wintner](#).

Erdős was the first to consider the set of values of $s(n)$. Note that if $p \neq q$ are primes, then $s(pq) = p + q + 1$, so that if a slightly stronger form of Goldbach's conjecture holds (*all even integers at least 8 are the sum of 2 unequal primes*), then all odd numbers at least 9 are values of s . Also, $s(2) = 1$, $s(4) = 3$, and $s(8) = 7$, so presumably the only odd number that's not an s -value is 5. It's known that this slightly stronger form of Goldbach is almost true in that the set of evens not so representable as $p + q$ has density 0. Thus, the image of s contains almost all odd numbers.

But what of even numbers? **Erdős** (1973): *There is a positive proportion of even numbers missing from the image of s .*

Unsolved: Does the image of s have an asymptotic density? Does the image of s contain a positive proportion of even numbers?

The set of values of φ was first considered by Pillai (1929):
The number $V_\varphi(x)$ of φ -values in $[1, x]$ is $O(x/(\log x)^c)$, where $c = \frac{1}{e} \log 2 = 0.254\dots$.

Pillai's idea: There are not many values $\varphi(n)$ when n has few prime factors, and if n has more than a few prime factors, then $\varphi(n)$ is divisible by a high power of 2.

Erdős (1935): $V_\varphi(x) = x/(\log x)^{1+o(1)}$.

Erdős's idea: Deal with $\Omega(\varphi(n))$ (the total number of prime factors of $\varphi(n)$, with multiplicity). This paper was seminal for the various ideas introduced. For example, the proof of the infinitude of Carmichael numbers owes much to this paper.

Again: $V_\varphi(x) = x/(\log x)^{1+o(1)}$.

But: A great deal of info may be lurking in that “ $o(1)$ ”.

After work of [Erdős & Hall](#), [Maier & P](#), and [Ford](#), we now know that $V_\varphi(x)$ is of magnitude

$$\frac{x}{\log x} \exp\left(A(\log_3 x - \log_4 x)^2 + B \log_3 x + C \log_4 x\right),$$

where \log_k is the k -fold iterated log, and A, B, C are explicit constants.

Unsolved: Is there an asymptotic formula for $V_\varphi(x)$?

Do we have $V_\varphi(2x) \sim 2V_\varphi(x)$?

Do we have $V_\varphi(2x) - V_\varphi(x) \gg V_\varphi(x)$?

Values of σ are distributed similarly.

In 1959, Erdős conjectured that the image of σ and the image of φ has an infinite intersection; that is, there are infinitely many pairs m, n with

$$\sigma(m) = \varphi(n).$$

This was proved by Ford, Luca, & P in 2010.

In the other direction:

Ford & Pollack (2012): *Most values of φ are not values of σ and vice versa.*

The situation for [Carmichael's](#) function λ has only recently become clearer. Recall that $\lambda(p^a) = \varphi(p^a)$ unless $p = 2, a \geq 3$ when $\lambda(2^a) = 2^{a-2}$, and that

$$\lambda([m, n]) = [\lambda(m), \lambda(n)].$$

It is easy to see that the image of φ has density 0, just playing with powers of 2 as did [Pillai](#). But what can be done with λ ? It's not even obvious that λ -values that are 2 mod 4 have density 0.

The solution lies in the “anatomy of integers” and in particular of shifted primes. It is known ([Erdős & Wagstaff](#)) that most numbers do not have a large divisor of the form $p - 1$ with p prime. But a λ -value has such a large divisor or it is “smooth”, so in either case, there are not many of them.

Using these thoughts, Erdős, P, & Schmutz (1991): *There is a positive constant c such that $V_\lambda(x)$, the number of λ -values in $[1, x]$, is $O(x/(\log x)^c)$.*

Friedlander & Luca (2007): *A valid choice for c is $1 - \frac{e}{2} \log 2 = 0.057 \dots$.*

Banks, Friedlander, Luca, Pappalardi, & Shparlinski (2006):
 $V_\lambda(x) \geq \frac{x}{\log x} \exp\left((A + o(1))(\log_3 x)^2\right)$.

So, $V_\lambda(x)$ is somewhere between $x/(\log x)^{1+o(1)}$ and $x/(\log x)^c$, where $c = 1 - \frac{e}{2} \log 2$.

Very recently, [Luca & P](#) (2013): $V_\lambda(x) \leq x/(\log x)^{\eta+o(1)}$, where $\eta = 1 - (1 + \log \log 2)/\log 2 = 0.086\dots$.
Further, $V_\lambda(x) \geq x/(\log x)^{0.36}$ for all large x .

Probably the “correct” exponent is η and [Ford, Luca, & P](#) may have a proof, stay tuned.

The constant η actually pops up in some other problems:

[Erdős](#) (1960): *The number of distinct entries in the $N \times N$ multiplication table is $N^2/(\log N)^{\eta+o(1)}$.*

The asymptotic density of integers with a divisor in the interval $[N, 2N]$ is $1/(\log N)^{\eta+o(1)}$. This result has its own history beginning with [Besicovitch](#) in 1934, some of the other players being [Erdős](#), [Hooley](#), [Tenenbaum](#), and [Ford](#).

Square values

Banks, Friedlander, P, & Shparlinski (2004): *There are more than $x^{0.7}$ integers $n \leq x$ with $\varphi(n)$ a square. The same goes for σ and λ .*

Remark. There are only $x^{0.5}$ squares below x . (!)

Might there be a positive proportion of integers n with n^2 a value of φ ?

Pollack & P (2013): No, the number of $n \leq x$ with n^2 a φ -value is $O(x/(\log x)^{0.0063})$. The same goes for σ .

Unsolved: Is it true that most squares are not λ -values?

THANK YOU