

## Section 4

# Embedding dynamical systems in operator algebras

# Dirac–von Neumann axioms of quantum mechanics

- ① States are **density operators**, i.e., positive, trace-class operators  $\rho : H \rightarrow H$  on a Hilbert space  $H$ , with  $\text{tr } \rho = 1$ .
- ② Observables are **self-adjoint operators**,  $A : D(A) \rightarrow H$ .
- ③ Measurement expectation and probability:  $\mathcal{L} \subseteq H$ , domain of  $A$

$$\mathbb{E}_\rho A = \text{tr}(\rho A), \quad \mathbb{P}_\rho(\Omega) = \mathbb{E}_\rho(E(\Omega)), \quad A = \int_{\mathbb{R}} a dE(a).$$

$E : \mathcal{B}(\mathbb{R}) \rightarrow \mathcal{B}(H)$   
 $PVM$

- ④ Unitary dynamics between measurements:

$$\rho_t = U^{t*} \rho_0 U^t. \quad U^t : H \xrightarrow{\text{unitary}} H$$

- ⑤ Projective measurement:

$$\rho|_e = \frac{\sqrt{e}\rho\sqrt{e}}{\text{tr}(\sqrt{e}\rho\sqrt{e})}, \quad 0 < e \leq 1.$$

↑  
quantum effect  
“non abelian fuzzy event”

# Algebraic formulation: States and observables

- ① Associated with a physical system is a unital  $C^*$ -algebra  $\mathcal{A}$ .
- ② The set of states of the system is the state space  $S(\mathcal{A})$  of  $\mathcal{A}$ .
- ③ The set of observables of the system is the set of self-adjoint elements  $\mathcal{A}_{\text{sa}}$  of  $\mathcal{A}$ .
- ④ The set of values that can be obtained in a measurement of  $a \in \mathcal{A}_{\text{sa}}$  corresponds to the spectrum  $\sigma(a) \subset \mathbb{R}$ .
- ⑤ The expected value of a measurement of  $a \in \mathcal{A}_{\text{sa}}$  when the system is in state  $\varphi \in S(\mathcal{A})$  is given by  $\varphi(a)$ .

Abelian

$$\mathcal{A} = L^\infty(\Gamma)$$

$$\mathcal{A}_{\text{sa}} = \{f \in \mathcal{A} \mid \text{real valued}\}$$

$$\sigma(f) = \text{essential range of } f$$

Non-abelian

$$\mathcal{A} = B(H)$$

$$\mathcal{A}_{\text{sa}} = \{a \in \mathcal{B}(H) \mid \langle \varphi, a\varphi \rangle \geq 0 \forall \varphi \in S(\mathcal{A})\}$$

$$\sigma(a) = \text{spectrum of operator } a$$

## Algebraic formulation: Events and measurement probabilities

- The set of events (or effects) that can be observed is the set of positive elements  $e \in \mathcal{A}_+$  such that  $0 \leq e \leq \mathbb{1}$ . If the system is in state  $\varphi \in S(\mathcal{A})$ , the probability to observe  $e$  is given by  $\varphi(e)$ .
- Supposing, further, that  $\mathcal{A}$  is a  $W^*$ -algebra, the measurement probability for  $a$  to take value in a set  $S \in \mathcal{B}(\mathbb{R})$  is given by  $\varphi(E(S))$ , where  $E : \mathcal{B}(\mathbb{R}) \rightarrow \mathcal{A}$  is the PVM satisfying  $a = \int_{\mathbb{R}} \lambda dE(\lambda)$ .

Completely positive maps

e.g.  $M_2(\mathbb{A})$

$$\begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix} = \begin{pmatrix} a_{11}b_{11} + a_{12}b_{21} & a_{11}b_{12} + a_{12}b_{22} \\ a_{21}b_{11} + a_{22}b_{21} & a_{21}b_{12} + a_{22}b_{22} \end{pmatrix}$$

**Notation.**

Given a  $C^*$ -algebra  $\mathcal{A}$ ,  $M_n(\mathcal{A})$  is the  $C^*$ -algebra of  $n \times n$  matrices with entries in  $\mathcal{A}$ .

### Definition 4.1.

Let  $T : \mathcal{A} \rightarrow \mathcal{B}$  be a linear map between  $C^*$ -algebras  $\mathcal{A}$  and  $\mathcal{B}$ . Given  $n \in \mathbb{N}$ , we say that the map  $T^{(n)} : M_n(\mathcal{A}) \rightarrow M_n(\mathcal{B})$  defined as

$$T^{(n)}([a_{ij}]) = [T(a_{ij})]$$

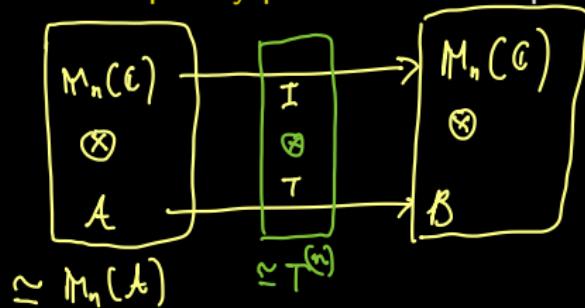
is a **matrix amplification** of  $T$ .  $a \in C^*$

Recall,  $T$  positive  $\Leftrightarrow T(a) \geq 0$  whenever  $a \geq 0$

### Definition 4.2.

A linear map  $T : \mathcal{A} \rightarrow \mathcal{B}$  between  $C^*$ -algebras  $\mathcal{A}$  and  $\mathcal{B}$  is said to be:

- **$n$ -positive** if  $T^{(n)}$  is positive.
- **Completely positive** if it is  $n$ -positive for every  $n \in \mathbb{N}$ .



Example of a positive map which is not completely positive

$$A = M_2(\mathbb{C}), \quad T: A \rightarrow A, \quad T \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a & c \\ b & d \end{pmatrix} \quad (\text{matrix transpose})$$

For any  $x \in \mathbb{C}^2$ ,  $a \in A_+$ , we have  $\langle x, T(a)x \rangle = \langle x, (a^*x^*)^* \rangle = \langle x^*, a^*x^* \rangle^*$   
 $= \underbrace{\langle ax^*, x^* \rangle}_{}^* \geq 0 \text{ since } a \in A_+$   $\Rightarrow T$  is positive.

Claim:  $T$  is not 2-positive. Indeed,

$$T^{(2)} \left( \begin{array}{cc|cc} 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 \end{array} \right) = \left( \begin{array}{cc|cc} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ \hline 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{array} \right) \Rightarrow T \text{ is not 2-positive.}$$

positive as an element  
of  $M_2(A)$

not positive since the  
determinant is  $= -1$

# Completely positive maps

## Theorem 4.3 (Stinespring).

Let  $\mathcal{A}$  be a  $C^*$ -algebra and  $H$  a Hilbert space. A linear map

$T : \mathcal{A} \rightarrow B(H)$  is completely positive iff there is a Hilbert space  $K$ , a representation  $\pi : \mathcal{A} \rightarrow B(K)$  and a bounded linear map  $V : K \rightarrow H$  such that

$$Ta = V(\pi a)V^*, \quad \forall a \in \mathcal{A}.$$

## Proposition 4.4.

With notation as above, if  $\mathcal{A}$  is abelian then  $T : \mathcal{A} \rightarrow B(H)$  is completely positive iff it is positive.

## Theorem 4.5 (Choi).

Let  $K$  and  $H$  be finite-dimensional Hilbert spaces of dimension  $m$  and  $n$ , respectively. Then, any completely positive map  $T : B(K) \rightarrow B(H)$  takes the form  $T(a) = \sum_{i=1}^{mn} V_i a V_i^*$  for some operators  $V_i : K \rightarrow H$ .

↳ Kraus operators

# Quantum operations, quantum channels

## Definition 4.6.

A linear map  $T : \mathcal{B} \rightarrow \mathcal{A}$  between unital  $C^*$ -algebras  $\mathcal{B}$  and  $\mathcal{A}$  is said to be a **quantum operation** if:

- ①  $T$  is completely positive.
- ②  $T\mathbb{1}_{\mathcal{B}} \leq \mathbb{1}_{\mathcal{A}}$ .

If  $T\mathbb{1}_{\mathcal{B}} = \mathbb{1}_{\mathcal{A}}$ ,  $T$  is said to be a **quantum channel**.

## Proposition 4.7.

If  $T : \mathcal{B} \rightarrow \mathcal{A}$  is a quantum operation, then for every state  $\omega \in S(\mathcal{A})$   $T^*\omega \in \mathcal{B}^*$  is a positive functional satisfying  $(T^*\omega)\mathbb{1}_{\mathcal{B}} \leq 1$ . Moreover, if  $T$  is a quantum channel,  $(T^*\omega)\mathbb{1}_{\mathcal{B}} = 1$ .

## Corollary 4.8.

The adjoint  $T^* : \mathcal{A}^* \rightarrow \mathcal{B}^*$  of a quantum channel  $T : \mathcal{B} \rightarrow \mathcal{A}$  maps the state space  $S(\mathcal{A})$  into  $S(\mathcal{B})$ .

$$T^* \varphi = \varphi \circ T$$

# Quantum operations, quantum channels

## Proposition 4.9.

A normal (weak-\* continuous) quantum operation  $T : \mathcal{B} \rightarrow \mathcal{A}$  between  $W^*$ -algebras  $\mathcal{B}$  and  $\mathcal{A}$  has a predual, i.e.,  $T = (T_*)^*$  for a unique linear map  $T_* : \mathcal{A}_* \rightarrow \mathcal{B}_*$ .

# Algebraic formulation of measure-preserving dynamics

## State space dynamics

$$\Phi : \Omega \rightarrow \Omega$$

$$\Phi_* : \mathcal{M}(\Omega) \rightarrow \mathcal{M}(\Omega), \quad \Phi_*\alpha = \alpha \circ \Phi^{-1}$$

- $\Phi$ : Invertible measure-preserving map.
- $\mathcal{M}$ : Space of Borel measures on  $\Omega$ .
- $\Phi_*$ : Pushforward map on measures.
- $\mu$ : Invariant probability measure,  $\Phi_*\mu = \mu$ .

# Algebraic formulation of measure-preserving dynamics

## Abelian formulation

$$U : \mathcal{A} \rightarrow \mathcal{A}, \quad Uf = f \circ \Phi$$

$$P : S_*(\mathcal{A}) \rightarrow S_*(\mathcal{A}), \quad Pp = p \circ \Phi^{-1}$$

- $\mathcal{A} = L^\infty(\mu)$ : Abelian von Neumann algebra.
- $\mathcal{A}_{\text{sa}} = \{f \in \mathcal{A} : f \text{ is real-valued}\}$ : Classical observables.
- $U : \mathcal{A} \rightarrow \mathcal{A}$ : Koopman operator.
- $\mathcal{A}_* = L^1(\mu)$ : Predual.
- $S_*(\mathcal{A}) = \{p \in \mathcal{A}_* : p \geq 0, \int_{\Omega} p d\mu = 1\}$ : Probability densities.
- $\mathbb{E}_p : \mathcal{A} \rightarrow \mathbb{C}$  with  $p \in S_*(\mathcal{A})$ : Normal states,  $\mathbb{E}_p f = \int_{\Omega} fp d\mu$ .
- $P : S_*(\mathcal{A}) \rightarrow S_*(\mathcal{A})$ : Transfer operator.

# Algebraic formulation of measure-preserving dynamics

## Non-abelian formulation

$$\mathcal{U} : \mathcal{B} \rightarrow \mathcal{B}, \quad \mathcal{U}a = UaU^*$$

$$\mathcal{P} : \mathcal{S}_*(\mathcal{B}) \rightarrow \mathcal{S}_*(\mathcal{B}), \quad \mathcal{B}\rho = U^*\rho U$$

- $H = L^2(\mu)$ : Hilbert space.
- $U : H \rightarrow H$ : Unitary Koopman operator,  $Uf = f \circ \Phi$ .
- $\mathcal{B} = B(H)$ : Non-abelian von Neumann algebra.
- $\mathcal{B}_{\text{sa}} = \{a \in \mathcal{B} : a \text{ is self-adjoint}\}$ : Quantum observables.
- $\mathcal{U} : \mathcal{B} \rightarrow \mathcal{B}$ : Induced Koopman operator.
- $\mathcal{B}_* = B_1(H)$ : Predual.
- $\mathcal{S}_*(\mathcal{B}) = \{\rho \in \mathcal{B}_* : \rho \geq 0, \text{tr } \rho = 1\}$ : Density operators.
- $\mathbb{E}_\rho : \mathcal{B} \rightarrow \mathbb{C}$  with  $\rho \in \mathcal{S}_*(\mathcal{B})$ : Normal states,  $\mathbb{E}_\rho a = \text{tr}(a\rho)$ .
- $\mathcal{P} : \mathcal{S}_*(\mathcal{B}) \rightarrow \mathcal{S}_*(\mathcal{B})$ : Induced transfer operator.

# Algebraic formulation of measure-preserving dynamics

## Classical–quantum consistency

### Proposition 4.10.

The maps  $U : \mathcal{A} \rightarrow \mathcal{A}$  and  $\mathcal{U} : \mathcal{B} \rightarrow \mathcal{B}$  are quantum channels. Moreover, the following diagrams commute for the injective maps  $\pi : \mathcal{A} \rightarrow \mathcal{B}$  and  $\Gamma : S_*(\mathcal{A}) \rightarrow S_*(\mathcal{B})$ :

$$\begin{array}{ccc} \mathcal{A} & \xrightarrow{U} & \mathcal{A} \\ \pi \downarrow & & \downarrow \pi \\ \mathcal{B} & \xrightarrow{\mathcal{U}} & \mathcal{B} \end{array} \quad \begin{array}{ccc} S_*(\mathcal{A}) & \xrightarrow{P} & S_*(\mathcal{A}) \\ \Gamma \downarrow & & \downarrow \Gamma \\ S_*(\mathcal{B}) & \xrightarrow{\mathcal{P}} & S_*(\mathcal{B}) \end{array}$$

- $\pi : \mathcal{A} \rightarrow \mathcal{B}$ : Regular representation,  $\pi f = a$  with  $ag = fg$  for all  $g \in H$ .
- $\Gamma : S_*(\mathcal{A}) \rightarrow S_*(\mathcal{B})$ : Mapping of probability densities into pure quantum states,  $\Gamma(\pi) = \langle \sqrt{p}, \cdot \rangle \sqrt{p}$ .

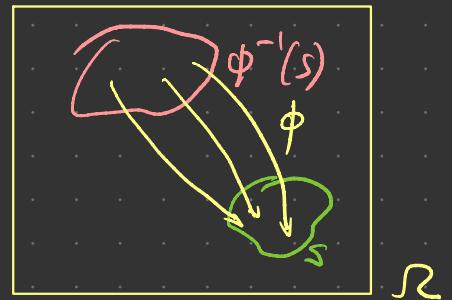
# ALGEBRAIC FORMULATION OF MEASURE-PRESERVING DYNAMICAL SYSTEMS

## State space dynamics:

$\phi: \Sigma \rightarrow \Sigma$  ( $\Sigma$ , standard Borel measurable space)

$\phi_*: \mathcal{M}(\Sigma) \rightarrow \mathcal{M}(\Sigma)$ ,  $(\phi_*)\nu(s) = \nu(\phi^{-1}(s))$

$\phi_*\mu = \mu$  (invariant probability measure)



### Abelian

von Neumann algebra

$$A = L^\infty(\mu)$$

observables

$$A_{\text{sa}} = \left\{ \text{real-valued elements} \right\}$$

of  $A$

predual

$$A_* = L^1(\mu)$$

normal states

$$S_*(A) = \left\{ p \in L^1(\mu) : p \geq 0, \int p d\mu = 1 \right\}$$

$$\mathbb{E}_p f = \int f p d\mu, \quad p \in S_*(A), \quad f \in A$$

Quantum channel

$$U: A \rightarrow A, \quad Uf = f \circ \phi$$

$$\left( f \geq 0 \Rightarrow Uf \geq 0 \right)$$

$(U \text{ is c.p. since } A \text{ is abelian})$

Predual

$$P = U_*: A_* \rightarrow A_*, \quad P_\alpha = \alpha \circ \phi^{-1}$$

### Non-abelian

$$B = B(H), \quad H = L^2(\mu)$$

$B_{\text{sa}}$  = {self-adjoint linear maps in  $B$ }

$$B_* = B_1(H)$$

$$S_*(B) = \left\{ \rho \in B_1(H) : \rho \geq 0, \text{tr}\rho = 1 \right\}$$

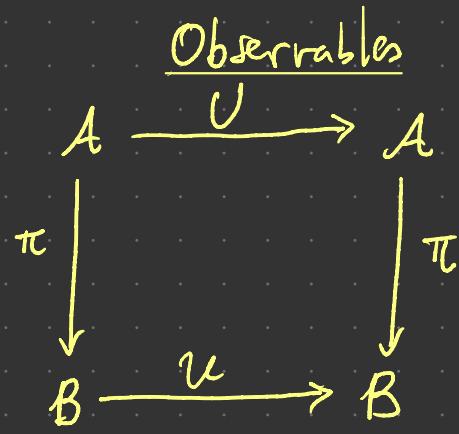
$$\mathbb{E}_\rho a = \text{tr}(\rho a), \quad \rho \in S_*(B), \quad a \in B.$$

$$U: B \rightarrow B, \quad Ua = V_a U^*$$

$(U: H \rightarrow H, \text{ unitary Koopman op.})$   
 $Uf = f \circ \phi. \quad U \text{ is cp by Stinespring thm}$

$$P = U_*: B_* \rightarrow B_*, \quad P_\rho = U_\rho^* U$$

# EMBEDDING CLASSICAL (ABELIAN) DYNAMICS INTO QUANTUM (NON-ABELIAN) DYNAMICS



Regular rep:

$$\pi: \mathcal{A} \rightarrow \mathbb{R}$$

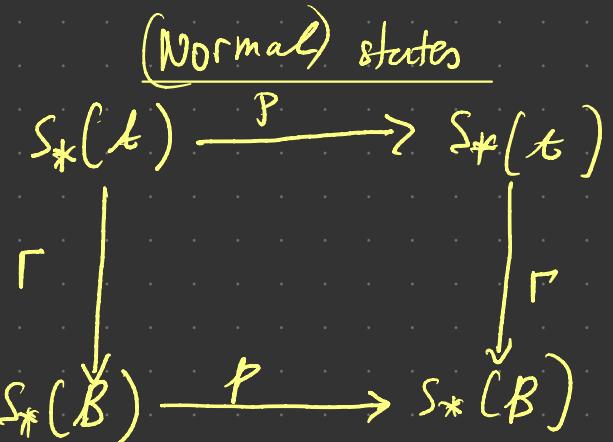
$$\pi f = a \text{ where } a_g = f_g$$

forall  $g \in H$

$$\begin{aligned} U(\pi f)_g &= U(\pi f) U^* g \\ &= U(f U^* g) \\ &= (Uf) U U^* g \\ &= Uf g = \pi(Uf) g \end{aligned}$$

$$\Rightarrow \boxed{U \circ \pi = \pi \circ U}$$

Classical-quantum consistency:  $\forall f \in \mathcal{A}, p \in S_*(\mathcal{A}), E_{P_p} f = E_{P(\Gamma_p)}(\pi f) \equiv E_{\Gamma_p}(U(\pi f))$



Embedding of prob. densities

$$\Gamma: S_*(\mathcal{A}) \rightarrow S_*(B)$$

$$\Gamma_P = P, \quad P_g = \langle \sqrt{P}, g \rangle \sqrt{P}$$

for all  $g \in H$

(Pure state)

$$\begin{aligned} P(\Gamma_p)_g &= U^*(\Gamma_p) U g = U^* \langle \sqrt{P}, U g \rangle \sqrt{P} \\ &= \langle \sqrt{P}, U g \rangle U^* \sqrt{P} = \langle U^* \sqrt{P}, g \rangle U^* \sqrt{P} \\ &= \langle \sqrt{U^* P}, g \rangle \sqrt{U^* P} = \langle \sqrt{P_p}, g \rangle \sqrt{P_p} = \Gamma(P_p) \end{aligned}$$

$$\Rightarrow \boxed{P \circ \Gamma = \Gamma \circ P}$$

Heisenberg

## TOEPLITZ MATRIX APPROXIMATION OF MULTIPLICATION OPERATORS

Given  $f \in \mathcal{A} = L^\infty(\mu)$ , compute  $A \in M_{2\ell+1}$  s.t.

$$A_{ij} = \langle \phi_i, (\pi f) \phi_j \rangle = \langle \phi_i, f \phi_j \rangle \quad i, j \in -2\ell, \dots, 2\ell$$

$$f = \sum_{k \in \mathbb{Z}} \hat{f}_k \phi_k \Rightarrow A_{ij} = \left\langle \phi_i, \sum_k \hat{f}_k \phi_k \phi_j \right\rangle = \sum_{k \in \mathbb{Z}} \langle \phi_i, \phi_j, \phi_k \rangle \hat{f}_k$$

$$= \sum_{k \in \mathbb{Z}} \langle \phi_i, \phi_{j+k} \rangle \hat{f}_k = \sum_{k \in \mathbb{Z}} \delta_{i,j+k} \hat{f}_k = \hat{f}_{i-j}$$

Example:  $\ell=2$   $A = \begin{pmatrix} \hat{f}_0 & \hat{f}_{-1} & \hat{f}_{-2} & \hat{f}_{-3} & \hat{f}_{-4} \\ \hat{f}_1 & \hat{f}_0 & \hat{f}_{-1} & \hat{f}_{-2} & \hat{f}_{-3} \\ \hat{f}_2 & \hat{f}_1 & \hat{f}_0 & \hat{f}_{-1} & \hat{f}_{-2} \\ \hat{f}_3 & \hat{f}_2 & \hat{f}_1 & \hat{f}_0 & \hat{f}_{-1} \\ \hat{f}_4 & \hat{f}_3 & \hat{f}_2 & \hat{f}_1 & \hat{f}_0 \end{pmatrix}$

$$A u_j = a_j u_j \quad u_j^T u_k = \delta_{jk} \quad (\alpha_j \geq 0 \text{ whenever } f \neq 0).$$

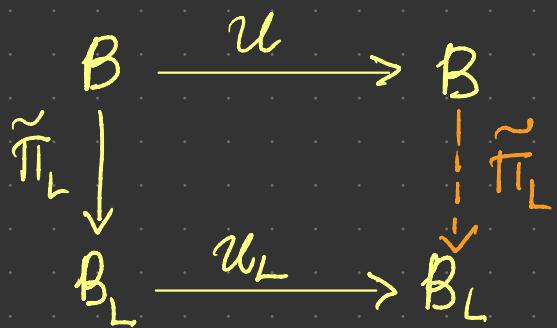
$u_j = (u_{-c_j}, \dots, u_{c_j})^T \in \mathbb{C}^{2\ell+1}$  — stores expansion coefficients of eigenfunctions of the operator represented by  $A$

$$\psi_j = \sum_{k=-\ell}^{\ell} u_{kj} \phi_j$$

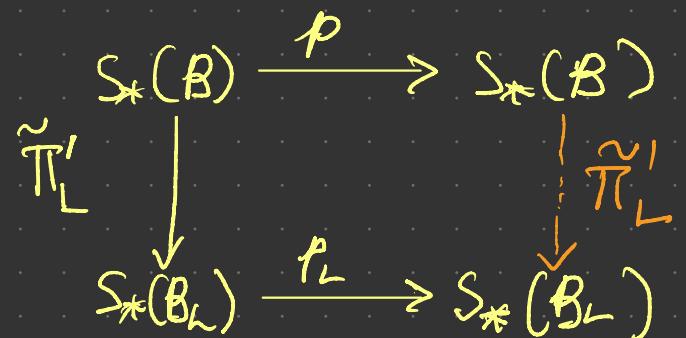
↑ eigenfunction

# FINITE-DIMENSIONAL APPROXIMATION

## Observables



## States



- $H_1 \subset H_2 \subset \dots \subset H$ ,  $H_L$  finite-dimensional subspace (e.g.  $H_L = \{\phi_0, \dots, \phi_{L-1}\}$  for an orthonormal basis  $\{\phi_0, \phi_1, \dots\}$  of  $H$ ).

• Projections:  $\tilde{\pi}_L : H \rightarrow H$ ,  $\text{ran } \tilde{\pi}_L = H_L$

•  $\tilde{\pi}_L : B \rightarrow B_L = \{\tilde{\pi}_L a \tilde{\pi}_L : a \in B(H)\} \simeq B(H_L)$

•  $\mathcal{U}_L : B_L \rightarrow B_L$ ,  $\mathcal{U}_L a = U_L a U_L^*$ ,  $U_L = \tilde{\pi}_L \cup \tilde{\pi}_L^\perp$  — projected Koopman op

In general,  $U_L$  is not unitary, i.e.,  $U_L^* \neq U_L^{-1}$ , unless  $\tilde{\pi}_L U = U \tilde{\pi}_L$ . As a result,  $\mathcal{U}_L$  is not, in general a quantum channel (in particular,  $\mathcal{U}_L \mathbb{1}_{B_L} \neq \mathbb{1}_{B_L}$ ). However, it is a quantum operation, i.e.  $\mathcal{U}_L$  is completely positive (by Stinespring thm.) and  $\mathcal{U}_L \mathbb{1}_{B_L} \in \mathbb{1}_{B_L}$ .

• Moreover the diagrams do not in general commute (unless  $\tilde{\pi}_L U = U \tilde{\pi}_L$ )

• Projected states:  $\tilde{\pi}_L(\rho) = \frac{\tilde{\pi}_L \rho \tilde{\pi}_L}{\text{tr}(\tilde{\pi}_L \rho \tilde{\pi}_L)}$ . Projected transfer operator:  $P_L \rho = \frac{U_L^* \rho U_L}{\text{tr}(U_L^* \rho U_L)}$

(see assignment 2)

• Projected multiplication operators:  $\tilde{\pi}_L : A \rightarrow B_L$ ,  $\tilde{\pi}_L = \tilde{\pi}_L \circ \pi$ ,  $\tilde{\pi}_L f = \tilde{\pi}_L(f \circ P) \tilde{\pi}_L$   $\xrightarrow{\text{tr}} \text{tr}(U_L^* \rho U_L)$  (see assignment 3)

## Remarks

- (i)  $\pi_L f$  is not a multiplication operator by an element in  $A$  e.g.  $\pi_L f$
- (ii)  $\Gamma_L p = \pi_L'(\Gamma_p)$  is not a state induced by a probability density in  $S_k(t)$

## RECONSTRUCTING CLASSICAL OBSERVABLES

Goal: Given  $A_L = \pi_L f \equiv \Pi_L(\pi f) \Pi_L$  projected multiplication operator reconstruct a function  $f_L \in A$  s.t.  $f_L$  approximates  $f$  in some sense.

$$\nwarrow f_L : \Omega \rightarrow \mathbb{C}$$

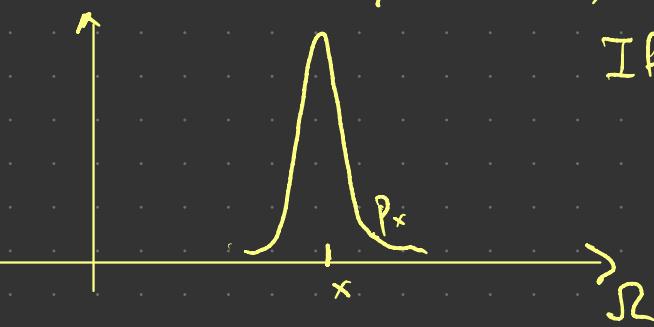
Observe that starting from the  $C^*$ -algebra  $C(\Omega) \subseteq A$  we have the characters  $\delta_x \in S(C(\Omega))$ ,  $x \in \Omega$ , s.t.  $\delta_x f = f(x)$ . There exists an extension  $\tilde{\delta}_x \in S(B)$  i.e.  $\tilde{\delta}_x f = \delta_x f$  for all  $f \in C(\Omega)$ . Given  $f \in B$  this motivates defining  $\tilde{f} : \Omega \rightarrow \mathbb{C}$  s.t.  $\tilde{f}(x) = \tilde{\delta}_x f$ . However,  $\tilde{\delta}_x$  is not a normal state, so we cannot use the map  $\Gamma : S_*(\Omega) \rightarrow S_*(B)$  to represent it quantum mechanically.

Approach: Replace  $\tilde{\delta}_x$  by a normal state  $p_x \in S_*(\Omega)$  that approximates pointwise evaluation.

Consider  $p : \Omega \times \Omega \rightarrow \mathbb{R}$  continuous Markov kernel:

$$(i) p \geq 0$$

$$(ii) \text{ For every } x \in \Omega, \quad p_x = p(x, \cdot) \text{ is a probability density in } S_*(\Omega)$$



If  $p_x$  is "concentrated" around  $x$ , we have

$$\mathbb{E}_{p_x} f \approx f(x) = \delta_x f \quad \text{for } f \in C(\Omega)$$

Example:  $\mathcal{S} = \mathbb{S}^1$ ,  $p: \mathbb{S}^1 \times \mathbb{S}^1 \rightarrow \mathbb{R}$   $p(x, x') = \frac{1}{I_0(1/\varepsilon^2)} \exp\left(\frac{\cos(x-x')}{\varepsilon^2}\right)$   
 (Von-Mises kernel)

With any such choice  $p: \mathcal{S} \times \mathcal{S} \rightarrow \mathbb{R}$ , define  $R: \mathcal{B} \rightarrow C(\mathcal{S})$  s.t.

$$g = Ra, \quad g(x) = \mathbb{E}_{\Gamma(p_x)} a = \text{tr}(\Gamma(p_x) a) = \langle \sqrt{p_x}, a \sqrt{p_x} \rangle$$

If  $a = \pi f$  for some  $f \in \mathcal{A}$ , we have  $g(x) = \mathbb{E}_{p_x} f \approx f(x)$

Finite-dimensional approximation:  $R_L: \mathcal{B}_L \rightarrow C(\mathcal{S})$  s.t.

$$g_L = R_L a, \quad g_L(x) = \mathbb{E}_{\Pi_L'(\Gamma(p_x))} a = \frac{\langle \Pi_L \sqrt{p_x}, a \Pi_L \sqrt{p_x} \rangle}{\|\Pi_L \sqrt{p_x}\|_H^2}$$

Given a basis  $\{\phi_0, \dots, \phi_{L-1}\}$  for  $H_L$   $a$  is represented by matrix  $A_{ij} = \langle \phi_i, a \phi_j \rangle$

$$\mathbf{f}_x = \frac{\Pi_L \sqrt{p_x}}{\|\Pi_L \sqrt{p_x}\|} \text{ is represented by vector } v_j = \langle \phi_j, \mathbf{f}_x \rangle$$

$$\Rightarrow g_L(x) = v^T A v$$

## ASYMPTOTIC CONSISTENCY ( $L \rightarrow \infty$ )

Prop (a) For any density operator  $\rho \in S_*(B)$ , the projected density operators  $\rho_L = \Pi_L^\dagger(\rho) = \frac{\Pi_L \rho \Pi_L}{\text{tr}(\Pi_L \rho \Pi_L)}$  converge to  $\rho$  in the trace norm of  $S_*(B)$ ,

$$\text{i.e. } \lim_{L \rightarrow \infty} \|\rho - \rho_L\|_1 = 0 \quad \text{where } \|a\|_1 = \text{tr}|a|, |a| = \sqrt{a^*a}, a \in B_1(H).$$

(b) For any bounded operator  $a \in B$ ,  $a_L = \Pi_L a \Pi_L$  converges to  $a$  in the strong topology of  $B$ , i.e., for every  $f \in H$ ,  $\lim_{L \rightarrow \infty} a_L f = af$ .

Corollary: For any  $a \in B$ ,  $\rho \in S_*(B)$ ,  $\lim_{L \rightarrow \infty} \mathbb{E}_{\rho_L} a_L = \mathbb{E}_\rho a$

Pf. Observe  $\mathbb{E}_{\rho_L} a_L = \text{tr}(\rho_L a_L) = \text{tr}(\rho_L \Pi_L a \Pi_L) = \text{tr}(\Pi_L \rho_L \Pi_L a) = \text{tr}(\rho_L a)$   
 Thus,  $|\mathbb{E}_{\rho_L} a_L - \mathbb{E}_\rho a| = |\text{tr}(\rho_L - \rho)a| \leq \text{tr} |(\rho_L - \rho)a| \leq \|\rho_L - \rho\|_1 \|a\|$

$$\Rightarrow |\mathbb{E}_{\rho_L} a_L - \mathbb{E}_\rho a| \xrightarrow[L \rightarrow \infty]{} 0.$$

Similarly, for  $P: S_*(B) \rightarrow S_*(B)$  and  $P_L: S_*(B) \rightarrow S_*(B_L)$ ,

$$\text{we have } \lim_{L \rightarrow \infty} \mathbb{E}_{(P_L \rho_L)} a_L = \mathbb{E}_{P\rho} a.$$