

Math 24: Winter 2021

Lecture 5

Dana P. Williams

Dartmouth College

Wednesday, January 20, 2021

Let's Get Started

- ① We should be recording.
- ② Remember, it is more comfortable for me if you turn on your video so that I feel like I am talking to real people.
- ③ I put a link to some brief homework solutions on the assignments page. I'll update the link as the term progresses.
- ④ You should have turned in your second homework by now.
- ⑤ Because of the Monday holiday, we will be meeting in our x-hour tomorrow from 12:30 to 1:20.
- ⑥ But first, are there any questions from last time?

Theorem

If S is any subset of a vector space V , then $\text{Span}(S)$ is subspace of V containing S . Furthermore, any subspace of V containing S must also contain $\text{Span}(S)$. Hence $\text{Span}(S)$ is the smallest subspace of V containing S .

Definition

A subset $S \subset V$ **spans** V or **generates** V if $V = \text{Span}(S)$. In this case, we say that vectors of S span or generate V .

Proposition

Let v_1, \dots, v_{n+1} be vectors in a vector space V . Suppose that $v_{n+1} \in \text{Span}(\{v_1, \dots, v_n\})$. Then

$$\text{Span}(\{v_1, \dots, v_n\}) = \text{Span}(\{v_1, \dots, v_{n+1}\}).$$

Definition

A subset S of a vector space V is called **linearly dependent** if there are finitely many **distinct** vectors u_1, \dots, u_n in S and scalars a_1, \dots, a_n **not all equal to 0** such that

$$a_1 u_1 + \dots + a_n u_n = 0_V. \quad (\ddagger)$$

Remark

The words “distinct” and “not all equal to 0” are critical. If $a_k = 0$ for all k , then (\ddagger) is always satisfied no matter what the u_k are. We call this a **trivial** representation of 0_V . If $u_1 = u_2$, then $-(1)u_1 + (1)u_2 = 0_V$ would be a nontrivial representation of 0_V which would tell us nothing about the set S .

Example

Example

Let $A_1 = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$, $A_2 = \begin{pmatrix} 0 & -1 \\ 3 & 0 \end{pmatrix}$, $A_3 = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$, and $A_4 = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ and consider $S = \{A_1, A_2, A_3, A_4\} \subset M_{2 \times 2}(\mathbf{R})$. To see if S is linearly dependent, we have to look for a nontrivial solution to $a_1A_1 + a_2A_2 + a_3A_3 + a_4A_4 = O$. This results in the system

$$\begin{aligned}a_1 + a_3 + a_4 &= 0 \\a_1 - a_2 + a_3 &= 0 \\a_1 + 3a_2 + a_4 &= 0 \\a_1 + a_3 + a_4 &= 0.\end{aligned}$$

You can confirm that $a_1 = 2$, $a_2 = -1$, $a_3 = -3$, and $a_4 = 1$ is a nontrivial solution. Hence S is linearly dependent. (In fact, all the solutions are $\{(2t, -t, -3t, t) : t \in \mathbf{R}\}$ and it might be a good idea to check this.)

Redundancy

Remark

Notice that in the process of showing that the set S on the previous slide was linearly dependent, we discovered some relationships between the A_k . For example, $A_2 = 2A_1 - 3A_3 + A_4$ as well as $A_1 = \frac{1}{2}A_2 + \frac{3}{2}A_3 - \frac{1}{2}A_4$, etc. So for example, $\text{Span}\{A_1, A_2, A_3, A_4\} = \text{Span}\{A_1, A_3, A_4\}$ using our result from last time.

Proposition

Let S be a subset of a vector space V . Then S is linearly dependent if and only if (at least) one of the vectors in S can be written as a linear combination of other vectors from S . [▶ return](#)

Proof.

Suppose $v \in S$ is such that $v = a_1u_1 + \cdots + a_nu_n$ for distinct vectors u_1, \dots, u_n in S not equal to v . Then $0_V = a_1u_1 + \cdots + a_nu_n + (-1)v$ is a nontrivial representation of 0_V and S is linearly dependent.

Proof Continued.

Conversely, suppose that S is linearly dependent. Then there are distinct vectors u_1, \dots, u_n in S and scalars a_1, \dots, a_n not all equal to 0 so that $a_1 u_1 + \dots + a_n u_n = 0_V$. Renumbering the vectors if necessary, we can assume $a_n \neq 0$. Then we have

$$u_n = -\frac{a_1}{a_n} u_1 - \dots - \frac{a_{n-1}}{a_n} u_{n-1}.$$

That is, u_n is a linear combination of u_1, \dots, u_{n-1} from S . □

Remark

If we want to find a spanning set for V , then a linearly dependent set S is guaranteed to have redundancies—one of the vectors in S can be written as a linear combination of the other vectors in S . So it makes sense to make the following (equally important) definition.

Definition

We call a subset S in a vector space V **linearly independent** if it is not linearly dependent.

Remark

- 1 The empty set is linearly independent since a linearly dependent set can't be empty.
- 2 A set consisting of a single nonzero vector is linearly independent. If $v \neq 0_V$ and $av = 0_V$ then $a = 0$.
- 3 Let $S = \{u, v\}$ be distinct vectors in a vector space V . Then S is linearly independent if and only if neither u nor v is a multiple of the other. (This homework problem §1.5, #9.)
- 4 A set S is linearly independent if and only if the only representations of 0_V as linear combinations of vectors from S are the trivial ones. That is, if we assume u_1, \dots, u_n are distinct vectors from S such that there are scalars a_1, \dots, a_n such that $a_1u_1 + \dots + a_nu_n = 0_V$, then $a_1 = \dots = a_n = 0$.

An Example

Example

Let $V = \mathbf{R}^4$. Let $u_1 = (1, 1, 1, 1)$, $u_2 = (1, 1, 1, 0)$, $u_3 = (1, 1, 0, 0)$ and $u_4 = (1, 0, 0, 0)$. Is $S = \{u_1, u_2, u_3, u_4\}$ linearly independent?

Solution

We need to show that $a_1u_1 + a_2u_2 + a_3u_3 + a_4u_4 = (0, 0, 0, 0)$ has only the trivial solution. But this gives us the system

$$a_1 + a_2 + a_3 + a_4 = 0$$

$$a_1 + a_2 + a_3 = 0$$

$$a_1 + a_2 = 0$$

$$a_1 = 0.$$

Since the only solution is $a_1 = a_2 = a_3 = a_4 = 0$, the set is linearly independent.

Time for a short break and some questions.

Linear Independence

Theorem

Let V be a vector space. Suppose that S_1 and S_2 are subsets such that $S_1 \subset S_2$.

- 1 If S_1 is linearly dependent, then so is S_2 .
- 2 If S_2 is linearly independent, then so is S_1 .

Proof.

Item 2 follows from item 1. Why?

So we just need to prove item 1. Suppose S_1 is linearly dependent. Then there are distinct vectors u_1, \dots, u_n and scalars a_1, \dots, a_n , not all equal to 0, such that

$$0_V = a_1 u_1 + \dots + a_n u_n \quad (1)$$

is a nontrivial representation of 0_V from vectors in S_1 . But the u_k are also in S_2 . Hence (1) is also a nontrivial representation of 0_V from vectors in S_2 . This shows that S_2 is linearly dependent. \square

A Theorem

Theorem

Suppose that S is a linearly independent set in a vector space V . If $v \in V$, then $S' = \{v\} \cup S$ is linearly independent if and only if $v \notin \text{Span}(S)$.

Proof.

Suppose that S' is linearly independent. If we had $v \in \text{Span}(S)$, then v would be a linear combination of vectors from S . Then by our previous ▶ proposition, S' would be linearly dependent. Hence $v \notin \text{Span}(S)$.

Proof Continued.

Conversely, suppose $v \notin \text{Span}(S)$. Let u_1, \dots, u_n be distinct vectors from $S' = \{v\} \cup S$ and a_k scalars such that $a_1 u_1 + \dots + a_n u_n = 0_V$. If none of the $u_k = v$, then all the $a_k = 0$ since S is linearly independent. So we may as well assume that $u_1 = v$ and that $a_1 \neq 0$. But then

$$v = -\frac{a_2}{a_1} u_2 - \dots - \frac{a_n}{a_1} u_n.$$

But u_2, \dots, u_n are all in S . Then $v \in \text{Span}(S)$ and we get a contradiction. □

Time for a break and some questions.

Definition

A **basis** for a vector space V is a linearly independent set that generates V .

Example

Since \emptyset is linearly independent and since we defined $\text{Span}(\emptyset) = \{0_V\}$, the empty set is a basis for the trivial vector space $\{0_V\}$.

Example (Standard Basis)

Let $V = \mathbf{F}^n$. Let $e_1 = (1, 0, \dots, 0)$, $e_2 = (0, 1, 0, \dots, 0), \dots, e_n = (0, \dots, 0, 1)$. Then $\{e_1, \dots, e_n\}$ is easily seen to be a basis for \mathbf{F}^n usually called the **standard basis** for \mathbf{F}^n .

Example (Standard Basis for $P_n(\mathbf{F})$)

Let $V = P_n(\mathbf{F})$. Then $\{1, x, x^2, \dots, x^n\}$ is a basis for $P_n(\mathbf{F})$ called the **standard basis** for $P_n(\mathbf{F})$.

Example

Let $V = M_{m \times n}(\mathbf{F})$. Let $E^{ij} \in M_{m \times n}(\mathbf{F})$ be the matrix all of whose entries are 0 except for the $(i, j)^{\text{th}}$ entry which is 1. Then $\{E_{ij} : 1 \leq i \leq m \text{ and } 1 \leq j \leq n\}$ is a basis for $M_{m \times n}(\mathbf{F})$. For example if $m = n = 2$, then $\left\{ \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \right\}$ is a basis for $M_{2 \times 2}(\mathbf{F})$.

Example

Let $S = \{ u_1, u_2, u_3, u_4 \} \subset \mathbf{R}^4$ where $u_1 = (1, 1, 1, 1)$, $u_2 = (1, 1, 1, 0)$, $u_3 = (1, 1, 0, 0)$ and $u_4 = (1, 0, 0, 0)$. We already showed S is linearly independent. To see that it is a basis for \mathbf{R}^4 we have to see that it spans \mathbf{R}^4 . That is, we need to know that

$a_1 u_1 + a_2 u_2 + a_3 u_3 + a_4 u_4 = (x, y, z, w)$ always has a solution. That means we have to solve

$$a_1 + a_2 + a_3 + a_4 = x$$

$$a_1 + a_2 + a_3 = y$$

$$a_1 + a_2 = z$$

$$a_1 = w.$$

But this is straightforward: $a_1 = w$, $a_2 = z - w$, $a_3 = y - w - z + w = y - z$, and $a_4 = x - w - (z - w) - (y - z) = x - y$. Hence $S = \{ u_1, u_2, u_3, u_4 \}$ is a basis for \mathbf{R}^4 .

Theorem

Let V be a vector space and $\{u_1, \dots, u_n\}$ vectors in V . Then $\{u_1, \dots, u_n\}$ is a basis for V if and only if every $v \in V$ can be written as a unique linear combination of the vectors u_1, \dots, u_n —that is, there are unique scalars a_1, \dots, a_n such that $v = a_1u_1 + \dots + a_nu_n$.

Proof.

Suppose that $\{u_1, \dots, u_n\}$ is a basis. If $v \in V$, then since $\{u_1, \dots, u_n\}$ generates V , there are scalars a_k such that $v = a_1u_1 + \dots + a_nu_n$. If we also have $v = b_1u_1 + \dots + b_nu_n$ for scalars b_k , then $0_V = (a_1 - b_1)u_1 + \dots + (a_n - b_n)u_n$. Since $\{u_1, \dots, u_n\}$ is linearly independent, this can only happen if $a_k - b_k = 0$ for all k . That is, we must have $a_k = b_k$ for all k . This proves the first part of the result.

Proof Continued.

Now suppose every $v \in V$ is a unique linear combination of the u_k . Then by assumption $\{u_1, \dots, u_n\}$ spans V . But if $0_V = a_1 u_1 + \dots + a_n u_n$, then the a_k must all be zero by uniqueness since $0_V = 0 \cdot u_1 + \dots + 0 \cdot u_n$. Thus $\{u_1, \dots, u_n\}$ is a basis. \square

Enough

- 1 That is enough for today.