# Math 24: Winter 2021 Lecture 6 

Dana P. Williams<br>Dartmouth College

Thursday, January 21, 2021

## Let's Get Started

(1) We should be recording.
(2) Remember, it is more comfortable for me if you turn on your video so that I feel like I am talking to real people.
(3) But first, are there any questions from last time?

## Review

## Definition

A subset $S$ of a vector space $V$ is called linear dependent if there are finitely many distinct vectors $u_{1}, \ldots, u_{n}$ in $S$ and scalars $a_{1}, \ldots, a_{n}$ not all equal to 0 such that

$$
a_{1} u_{1}+\cdots+a_{n} u_{n}=0_{V}
$$

A set which is not linearly dependent is called linearly independent.

## Proposition

Let $S$ be a subset of a vector space $V$. Then $S$ is linearly dependent if and only if (at least) one of the vectors in $S$ can be written as a linear combination of other vectors from $S$.

## More Review

## Theorem

Suppose that $S$ is a linearly independent set in a vector space $V$. If $v \in V$, then $S^{\prime}=\{v\} \cup S$ is linearly independent if and only if $v \notin \operatorname{Span}(S)$.

## Definition

A basis for a vector space $V$ is a linearly independent set that generates $V$.

## Theorem

Let $V$ be a vector space and $\left\{u_{1}, \ldots, u_{n}\right\}$ vectors in $V$. Then $\left\{u_{1}, \ldots, u_{n}\right\}$ is a basis for $V$ if and only if every $v \in V$ can be written as a unique linear combination of the vectors $u_{1}, \ldots, u_{n}$-that is, there are unique scalars $a_{1}, \ldots, a_{n}$ such that $v=a_{1} u_{1}+\cdots+a_{n} u_{n}$.

## Finite Please

## Remark

We saw last time, that $\left\{1, x, x^{2}, \ldots, x^{n}\right\}$ is a basis for $\mathrm{P}_{n}(\mathbf{F})$. In particular, for any $n,\left\{1, x, x^{2}, \ldots, x^{n}\right\}$ is linearly independent in $P_{n}(\mathbf{F})$ and therefore in $P(\mathbf{F})$. Therefore the infinite set $S=\left\{1, x, x^{2}, \ldots\right\}$ clearly generates $P(\mathbf{F})$ and is linearly independent. Therefore it is a basis for $P(F)$. We will see shortly that this means $P(\mathbf{F})$ does not have a finite basis. We will work almost exclusively with vector spaces that have finite bases!

## Theorem

Suppose that $V$ is a vector space and that $S$ is a finite subset of $V$ that generates $V$. Then some subset of $S$ is a basis for $V$ and $V$ has a finite basis.

## Proof

## Proof.

If $S=\emptyset$, then $V=\operatorname{Span}(\emptyset)=\left\{0_{v}\right\}$ and $S$ is a basis for $V$. Otherwise, there is a nonzero vector $u_{1} \in S$. Note that $\left\{u_{1}\right\}$ is linearly independent. Since $S$ is finie, we can continue adding vectors $u_{2}, u_{3}$, etc., from $S$ such that $\left\{u_{1}, \ldots, u_{n}\right\}$ is linearly independent but no larger subset of $S$ is linearly independent.
If $\left\{u_{1}, \ldots, u_{n}\right\}=S$, then $S$ itself is a finite basis.
I claim that $\beta=\left\{u_{1}, \ldots, u_{n}\right\}$ is a basis for $V$. Since we know that $\beta$ is linearly independent by construction, we just have to show that $\operatorname{Span}(\beta)=V$. For this, it suffices to see that $S \subset \operatorname{Span}(\beta)$. Let $v \in S$. If $v \in \beta$, then clearly $v \in \operatorname{Span}(\beta)$. If $v \notin \beta$, then $\{v\} \cup \beta$ is not linearly independent by our construction of $\beta$. Hence we must have $v \in \operatorname{Span}(\beta)$ by our theorem from last time. Hence $S \subset \operatorname{Span}(\beta)$ and $V \subset \operatorname{Span}(S) \subset \operatorname{Span}(\beta)$. Thus $\beta \subset S$ is a finite basis for $V$.

## Break Time

## Time for a short break and some questions.

## An Aisde: Induction

## Remark (A Simple Observation)

Let $A$ be a subset of $\mathbf{N}=\{1,2,3, \ldots\}$. Suppose that $1 \in A$ and if $n \in A$, then $n+1 \in A$. Then $A=\mathbf{N}$. We can use this basic observation to prove things. Let $P(n)$ be a statement that depends on $n$ and is either true of false. Suppose we prove that $P(1)$ is true and that whenever $P(n)$ is true for some $n \geq 1$, then $P(n+1)$ is true. Then the set $A=\{n \in \mathbf{N}: P(n)$ is true $\}$ has exactly the above property and must be all of $\mathbf{N}$ !

## Remark (Proof by Induction)

Thus to prove a statement $P(n)$ is true for all $n \in \mathbf{N}$, we can proceed as follows.
(1) Prove that $P(1)$ is true.
(2) Assume that $P(n)$ is true for some $n \geq 1$. (This is called the Inductive Hypothesis.
(3) Then use the truth of $P(n)$ to prove that $P(n+1)$ is true.

## Example

## Example

Show that $1+2+\cdots+n=\frac{n(n+1)}{2}$.

## Solution

Here $P(n)$ is the statement that $1+2+\cdots+n=\frac{n(n+1)}{2}$. This is clearly true if $n=1$. Suppose $P(n)$ holds for some $n \geq 1$. Then we have

$$
\begin{aligned}
1+2+ & \cdots+n+1=(1+2+\cdots+n)+(n+1) \\
& =\frac{n(n+1)}{2}+n+1=\frac{1}{2}\left(n^{2}+n+2 n+2\right) \\
& =\frac{(n+1)(n+2)}{2}=\frac{(n+1)((n+1)+1)}{2}
\end{aligned}
$$

Therefore $P(n+1)$ holds. This completes the proof.

## Replacement Theorem

## Theorem (Replacement Theorem)

Let $V$ be a vector space. Suppose that $G$ is a finite set of $n$ elements that generates $V$. Let $L$ be a linearly independent subset of $V$ containing $m$ vectors. Then $m \leq n$ and there is a subset $H \subset G$ containing exactly $n-m$ vectors such that $L \cup H$ generates $V$.

## Proof.

If $L=\emptyset$, then $m=0$ and we can let $H=G$.
If $m=1$, then $L=\{v\}$ for a nonzero vector $v$. Since
$G=\left\{u_{1}, \ldots, u_{n}\right\}$ generates, $v=a_{1} u_{1}+\cdots+a_{n} u_{n}$. Since
$v \neq 0 v$, at least one $a_{k} \neq 0$. We may as well assume $a_{1} \neq 0$. Then

$$
u_{1}=\frac{1}{a_{1}} v-\frac{a_{2}}{a_{1}} u_{2}-\cdots-\frac{a_{n}}{a_{1}} u_{n}
$$

## Proof

## Proof Continued.

Then I claim we can let $H=\left\{u_{2}, \ldots, u_{n}\right\}$. Since $H$ has $n-1$ elements, it suffices to see that it generates. But it follows from the last slide that
$\left\{u_{1}, \ldots, u_{n}\right\} \subset \operatorname{Span}(L \cup H)$. Then $V=\operatorname{Span}\left(\left\{u_{1}, \ldots, u_{n}\right\} \subset \operatorname{Span}(L \cup H)\right.$ and we've proven the result when $m=1$.

So we proceed by induction and assume that result when $L$ has $m$ elements for some $m \geq 1$.
Now let $L=\left\{v_{1}, \ldots, v_{m}, v_{m+1}\right\}$. Then $L^{\prime}=\left\{v_{1}, \ldots, v_{m}\right\}$ is linearly independent with $m$ elements. Then by the Induction hypothesis, $m \leq n$ and there is a subset $H^{\prime}=\left\{u_{1}, \ldots, u_{n-m}\right\} \subset G$ containing $n-m$ elements such that $L^{\prime} \cup H^{\prime}$ generates $V$.

## Proof

## Proof Continued.

Therefore, for appropriate scalars,

$$
v_{m+1}=a_{1} v_{1}+\cdots+a_{m} v_{m}+b_{1} u_{1}+\cdots+b_{n-m} u_{n-m} .
$$

Since $L$ is linearly independent, $v \notin \operatorname{Span}\left(L^{\prime}\right)$ and we must have some $b_{k} \neq 0$. We may as well assume $b_{1} \neq 0$. Then

$$
\begin{aligned}
u_{1}=-\frac{a_{1}}{b_{1}} v_{1}-\cdots-\frac{a_{m}}{b_{1}} v_{m} & \\
& +\frac{1}{b_{1}} v_{m+1} \\
& \quad-\frac{b_{2}}{a_{1}} u_{2}-\cdots-\frac{b_{n-m}}{b_{1}} u_{n-m}
\end{aligned}
$$

## Proof

## Proof Continued.

Therefore if we let $H=\left\{u_{2}, \ldots, u_{n-m}\right\}$, then $H$ has $n-(m+1)$ elements and the previous slide shows

$$
u_{1} \in \operatorname{Span}(L \cup H) .
$$

Since $L^{\prime} \subset L$ and $H^{\prime}=\left\{u_{1}\right\} \cup H$, we have $L^{\prime} \cup H^{\prime} \subset \operatorname{Span}(L \cup U)$ Then by assumption

$$
V=\operatorname{Span}\left(L^{\prime} \cup H^{\prime}\right) \subset \operatorname{Span}(L \cup U) .
$$

Hence $L \cup H$ generates $V$. This completes the proof.

## The Pay Off

## Corollary

Suppose that $V$ is a vector space with a finite basis. Then every basis of $V$ is finite and has the same number of vectors.

## Proof.

Let $\beta=\left\{u_{1}, \ldots, u_{n}\right\}$ be a finite basis for $V$. Suppose that $\alpha$ is another basis. If $\alpha$ were infinite, it would contain a linearly independent subset $L$ with $n+1$ vectors. This contradicts our theorem since $\beta$ generates $V$. Hence $\alpha=\left\{v_{1}, \ldots, v_{m}\right\}$ must be finite. Since $\alpha$ is linearly independent and $\beta$ generates, $m \leq n$. Now we can reverse the roles of $\alpha$ and $\beta$ to see that $n \leq m$.

## Dimension

## Definition

A vector space is called finite dimensional if it has a finite basis. The common number of elements in any finite basis is called the dimension of $V$ and is denoted by $\operatorname{dim}(V)$. If $V$ does not have a finite basis, then we say that $V$ is infinite dimensional.

## Example

(1) The dimension of the trivial space $\left\{0_{v}\right\}$ is zero.
(2) We have $\operatorname{dim}\left(\mathbf{F}^{n}\right)=n$.
(3) We have $\operatorname{dim}\left(P_{n}(F)\right)=n+1$.
(9) We have $\operatorname{dim}\left(M_{m \times n}(\mathbf{F})\right)=m n$.
(5) The vector space $P(F)$ is infinite dimensional.

## Enough

(1) That is enough for today.

