Point-Set Topology: Lecture 5

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1 Metric Spaces

Most of the analysis of the real numbers comes from the absolute value function $|\cdot|: \mathbb{R} \to \mathbb{R}_{\geq 0}$ defined by:

$$|x| = \begin{cases} x & x \ge 0\\ -x & x < 0 \end{cases}$$
(1)

The function $d: \mathbb{R} \times \mathbb{R} \to \mathbb{R}$ defined by d(x, y) = |x - y| acts as a distance function. It is the length of the line segment between x and y on the real line. Many theorems in real analysis repeatedly use three key facts about this function. First, it is *positive-definite*. This means that |x - y| is always nonnegative, and |x - y| = 0 if and only if x = y. This is what a distance function should do, assign a non-negative real number to two points, the distance between them. Negative distance doesn't have any meaning, and zero distance means the points are identical. Second, the function d(x, y) = |x - y| is symmetric. That is, d(x, y) = d(y, x) for all $x, y \in \mathbb{R}$. Again, this is what distance should mean. The distance from Boston to New York is the same as the distance from New York to Boston. The last property is very geometrical, the triangle inequality. It says that for any real numbers $x, y, z \in \mathbb{R}, |x-z| \leq |x-y| + |y-z|$. That is, the distance from x to z is not greater than the distance from x to y plus the distance from y to z. This is called the triangle inequality since it mimics one of the theorems from Euclid's elements relating lengths of triangles. Euclid writes that the length of any side of a triangle is not greater than the sum of the lengths of the other two sides (Fig. 1). To put this into physical terms, the shortest distance between two points in the plane is the straight line segment between them. Deviating off of this line results in a longer distance. We take these three properties and say that this is what *distance* means. Metric spaces are sets that have a method of assigning distance between points.

Definition 1.1 (Metric Space) A metric space is an ordered pair (X, d) where X is a set, and $d: X \times X \to \mathbb{R}$ is a function such that:

• $d(x, y) \ge 0$ for all $x, y \in X$ and d(x, y) = 0 if and only if x = y (Positive Definite)



Figure 1: Geometric Interpretation of the Triangle Inequality

- d(x, y) = d(y, x) (Symmetry)
- $d(x, z) \le d(x, y) + d(y, z)$ (Triangle Inequality)

The function d is called a *metric* function.

For those who wish to go on to geometry, a word of warning. In geometry one studies *Riemannian metrics*. These are not metric functions, they do not measure distances (they measure angles). An author should take care to differentiate between the two, calling one a *metric*, and the other a *Riemannian metric*. The author should especially do this because Riemannian metrics create metrics, called the *metric induced by a Riemannian metric*. Unfortunately far too many omit the word *Riemannian* and just call the function a metric, hoping it is clear from context which is which. You then get gibberish sentences like the metric induced by the metric. We most certainly will not get to geometry in this class, so there should be no confusion (However, a course in Riemannian manifolds is perfect after this course. We will end on topological manifolds).

Example 1.1 If $X = \mathbb{R}$ and d(x, y) = |x - y|, then (X, d) is a metric space. **Example 1.2** Define $d(\mathbf{x}, \mathbf{y})$ on \mathbb{R}^2 via:

$$d(\mathbf{x}, \mathbf{y}) = \sqrt{(y_0 - x_0)^2 + (y_1 - x_1)^2}$$
(2)

This is the Euclidean distance. It is positive-definite since the inside of the square root is positive-definite and the square root function is increasing. It is

symmetric since $(x - y)^2 = (y - x)^2$. The hard part is the triangle inequality. This turns out to be equivalent to showing that $||\mathbf{x} + \mathbf{y}||_2 \le ||\mathbf{x}||_2 + ||\mathbf{y}||_2$ where $||\mathbf{x}||_2$ is the *Euclidean length* of \mathbf{x} :

$$||\mathbf{x}||_2 = \sqrt{x_0^2 + x_1^2} \tag{3}$$

To see why the triangle inequality is equivalent to this, substitute $\mathbf{x} - \mathbf{z}$ for \mathbf{x} and $\mathbf{z} - \mathbf{y}$ for \mathbf{y} . So, we need only prove that $||\mathbf{x} + \mathbf{y}||_2 \le ||\mathbf{x}||_2 + ||\mathbf{y}||_2$. We have:

$$||\mathbf{x} + \mathbf{y}||_2^2 = (x_0 + y_0)^2 + (x_1 + y_1)^2$$
(4)

$$= x_0^2 + x_1^2 + 2(x_0y_0 + x_1y_1) + y_0^2 + y_1^2$$
(5)

$$= ||\mathbf{x}||_{2}^{2} + 2(x_{0}y_{0} + x_{1}y_{1}) + ||\mathbf{y}||_{2}^{2}$$
(6)

$$= ||\mathbf{x}||_{2}^{2} + 2\,\mathbf{x}\cdot\mathbf{y} + ||\mathbf{y}||_{2}^{2} \tag{7}$$

$$= ||\mathbf{x}||_{2}^{2} + 2 ||\mathbf{x}||_{2} ||\mathbf{y}||_{2} \cos(\theta) + ||\mathbf{y}||_{2}^{2}$$
(8)

$$\leq ||\mathbf{x}||_{2}^{2} + 2 ||\mathbf{x}||_{2} ||\mathbf{y}||_{2} + ||\mathbf{y}||_{2}^{2}$$
(9)

$$= (||\mathbf{x}||_2 + ||\mathbf{y}||_2)^2 \tag{10}$$

where θ is the angle between the vectors **x** and **y**. By taking the square root of the first and last lines we obtain the inequality. This makes (\mathbb{R}^2, d) a metric space. This is called the *two dimensional Euclidean space* or the *standard metric* on \mathbb{R}^2 .

Example 1.3 Let $X = \mathbb{R}^2$ and $d(\mathbf{x}, \mathbf{y}) = |x_0 - y_0| + |x_1 - y_1|$. This is called the *Manhattan metric* on \mathbb{R}^2 . The function d is indeed a metric on \mathbb{R}^2 (prove this!). It is called the Manhattan metric since this metric is formed by the following sentence: To move from \mathbf{x} to \mathbf{y} you must move horizontally (leftright) or vertically (up-down). You may not move diagonal. This is similar to how you'd move in Manhattan. You can't move diagonally because there are buildings in the way, but you may move horizontally and vertically along the streets.

Example 1.4 The function $d : \mathbb{R}^2 \times \mathbb{R}^2 \to \mathbb{R}$ defined by:

$$d(\mathbf{x}, \mathbf{y}) = \max(|x_0 - y_0|, |x_1 - y_1|)$$
(11)

is a metric. This is the metric that describes how a king can move on a chess board. The distance from the position of a king on the board to another position is the maximum of the vertical and horizontal distances since the king is allowed to move horizontally, vertically, and diagonally.

Example 1.5 To those who have been to Paris, perhaps you have visited the Arc de Triomphe. It is surrounded by several roads that all lead radially inwards towards the monument, somewhat like spokes on a wheel. To walk from



Figure 2: Euclidean Metric on \mathbb{R}^2



Figure 3: Manhattan Metric on \mathbb{R}^2



Figure 4: Paris Metric on \mathbb{R}^2

one street to another requires walking towards the Arc de Triomphe and then outwards along the appropriate street. This allows us to define the *Paris metric* on \mathbb{R}^2 . Define d as follows:

$$d(\mathbf{x}, \mathbf{y}) = \begin{cases} ||\mathbf{x} - \mathbf{y}||_2 & \mathbf{y} = \lambda \mathbf{x} \text{ for some } \lambda \in \mathbb{R} \\ ||\mathbf{x}||_2 + ||\mathbf{y}||_2 & \text{else} \end{cases}$$
(12)

That is, if \mathbf{x} and \mathbf{y} lie on the same line passing through the origin, their distance is the usual Euclidean distance. Otherwise to get from \mathbf{x} to \mathbf{y} you must walk radially inwards from \mathbf{x} to the origin, and then radially outwards from the origin to \mathbf{y} . This makes (\mathbb{R}^2 , d) a metric space.

Example 1.6 There's a Manhattan metric, a Paris metric, and a London metric. If you want to get from point a to point b in England, you take the train. It always seems that no matter where you're trying to go, your train will first make a stop in London. This gives us the *London metric*. Define d by:

$$d(\mathbf{x}, \mathbf{y}) = \begin{cases} 0 & \mathbf{x} = \mathbf{y} \\ ||\mathbf{x}||_2 + ||\mathbf{y}||_2 & \text{otherwise} \end{cases}$$
(13)

That is, if $\mathbf{x} = \mathbf{y}$, there's no point getting on the train since you're already where you want to be. Otherwise, take the train from \mathbf{x} to London (the origin) and then from London to \mathbf{y} .

Example 1.7 If X is any set and $d: X \times X \to \mathbb{R}$ is defined by:

$$d(x, y) = \begin{cases} 0 & x = y \\ 1 & x \neq y \end{cases}$$
(14)

Then (X, d) is a metric space. This is called the *discete* metric.

Example 1.8 Consider $[a, b] \subseteq \mathbb{R}$ for some $a, b \in \mathbb{R}$ with a < b and let $C([a, b], \mathbb{R})$ be the set of all continuous functions $f : [a, b] \to \mathbb{R}$. Since [a, b] is closed and bounded, if $f \in C([a, b], \mathbb{R})$ then f is continuous and by the extreme value theorem f is bounded above and below, so the integral of f is finite. Given two function $f, g \in C([a, b], \mathbb{R})$ we can define d(f, g) by:

$$d(f, g) = \int_{a}^{b} |f(x) - g(x)| \,\mathrm{d}x \tag{15}$$

This makes the set of continuous functions into a metric space.

Why require three things for a metric space when you can just as easily require two. If instead of writing the triangle inequality as $d(x, z) \leq d(x, y) + d(y, z)$ we write $d(x, z) \leq d(x, y) + d(z, y)$, we can prove d is symmetric.

Theorem 1.1. If X is a set and $d: X \times X \to \mathbb{R}$ is positive-definite and for all $x, y, z \in X$ it is true that $d(x, z) \leq d(x, y) + d(z, y)$, then d is symmetric and (X, d) is a metric space.



Figure 5: Convergent Sequence in a Metric Space

Proof. Letting z = x we have:

$$d(x, y) \le d(x, x) + d(y, x) = d(y, x)$$
(16)

Similarly if we let z = y we get:

$$d(y, x) \le d(y, y) + d(x, y) = d(x, y)$$
(17)

So $d(x, y) \leq d(y, x)$ and $d(y, x) \leq d(x, y)$, so d(x, y) = d(y, x) and hence d is symmetric. Thus, (X, d) is a metric space.

2 Open and Closed Sets

Most of the important properties of metric spaces can be defined by *sequences* and *convergence*.

Definition 2.1 (Sequence) A sequence in a set A is a function $a : \mathbb{N} \to A$. Instead of writing a(n) for the value of $n \in \mathbb{N}$, we write a_n .

Definition 2.2 (Convergent Sequence in a Metric Space) A convergent sequence in a metric space (X, d) is a sequence $a : \mathbb{N} \to X$ such that there exists an $x \in X$ where for all $\varepsilon > 0$ there is an $N \in \mathbb{N}$ such that $n \in \mathbb{N}$ and n > N implies $d(x, a_n) < \varepsilon$. x is called a limit of a and we write $a_n \to x$.

Contrast this with the definition of convergent sequences of real numbers. These are sequences $a : \mathbb{N} \to \mathbb{R}$ where there is an $x \in \mathbb{R}$ such that for all $\varepsilon > 0$ there is an $N \in \mathbb{N}$ where $n \in \mathbb{N}$ and n > N implies $|x - a_n| < \varepsilon$. We've merely replaced $|x - a_n|$ with $d(x, a_n)$. Like the real numbers, convergence in a metric space is unique.



Figure 6: Open Ball in a Metric Space

Theorem 2.1. If (X, d) is a metric space, if $a : \mathbb{N} \to X$ is a convergent sequence, and if $x, y \in X$ are such that $a_n \to x$ and $a_n \to y$, then x = y.

Proof. Suppose not. If $x \neq y$, then since d is a metric function, d(x, y) > 0. Let $\varepsilon = \frac{1}{2}d(x, y)$. Then since $\varepsilon > 0$ and $a_n \to x$, there is an $N_0 \in \mathbb{N}$ such that $n \in \mathbb{N}$ and $n > N_0$ implies $d(x, a_n) < \varepsilon$. But since $a_n \to y$ there is an $N_1 \in \mathbb{N}$ such that $n \in \mathbb{N}$ and $n > N_1$ implies $d(y, a_n) < \varepsilon$. Let $N = \max(N_0, N_1)$. Then n > N implies $d(x, a_n) < \varepsilon$ and $d(y, a_n) < \varepsilon$. But by the triangle inequality,

$$d(x, y) \le d(x, a_{N+1}) + d(y, a_{N+1}) \tag{18}$$

$$<\varepsilon + \varepsilon$$
 (19)

$$=\frac{d(x, y)}{2} + \frac{d(x, y)}{2}$$
(20)

$$= d(x, y) \tag{21}$$

a contradiction. Thus, x = y.

Definition 2.3 (Open Ball) An open ball of radius r centered about $x \in X$ in a metric space (X, d) is the set:

$$B_r^{(X,d)}(x) = \{ y \in X \mid d(x, y) < r \}$$
(22)

That is, the set of all points $y \in X$ that are closer than r away from x.

Example 2.1 An open ball in \mathbb{R}^2 with respect to the Euclidean metric is a disk. For simplicity, let $\mathbf{x} = \mathbf{0}$. Then $B_r^{(\mathbb{R}^2, ||\cdot||_2)}(\mathbf{0})$ is the set of all \mathbf{y} such that $||\mathbf{y}||_2 < r$. This is the set of all points $\mathbf{y} = (x, y)$ such that $\sqrt{x^2 + y^2} < r$. squaring we have $x^2 + y^2 < r^2$. This is the open disk centered at the origin of radius r.

Example 2.2 In the Manhattan metric, the open ball centered at the origin of radius r is a diamond. This is the set of points (x, y) such that |x| + |y| < r.



Figure 7: Open Ball in the Euclidean Plane

Example 2.3 Using the chess king metric, or the maximum metric on \mathbb{R}^2 , an open ball is a square. Consider an open ball centered about the origin **0**. This is the set of points (x, y) such that $\max(|x|, |y|) < r$. So the points where both |x| < r and |y| < r. This forms a square in the plane.

Example 2.4 The Paris metric does not have the symmetry of the other metrics. An open ball centered about the origin is a disk. Open balls centered about other points look quite different. If $\mathbf{x} \neq \mathbf{0}$ and if $r > ||\mathbf{x}||_2$, then the open ball centered at \mathbf{x} of radius r consists of the disk centered at the origin of radius $r - ||\mathbf{x}||_2$ and the open line segment passing radially from the origin through the point \mathbf{x} of length r. If $r < ||\mathbf{x}||_2$, the open ball or radius r centered at \mathbf{x} is an open line segment (Fig. 10).

Definition 2.4 (**Open Subsets**) An open subset of a metric space (X, d) is a set $\mathcal{U} \subseteq X$ such that for all $x \in \mathcal{U}$ there is an $\varepsilon > 0$ such that $B_{\varepsilon}^{(X,d)}(x) \subseteq \mathcal{U}$.

A theorem every student of mathematics must prove in their life is that *open* balls are open. It seems almost like the proof comes by definition, but there's a bit of work needed.

Theorem 2.2. If (X, d) is a metric space, if $x \in X$, and if $r \in \mathbb{R}^+$, then $B_r^{(X,d)}(x)$ is open.



Figure 8: Open Ball in the Manhattan Metric



Figure 9: Open Ball with the Max Metric



Figure 10: Open Balls in the Paris Metric



Figure 11: Open Balls are Open

Proof. Let $y \in B_r^{(X,d)}(x)$. Let $\varepsilon = r - d(x, y)$. Since $y \in B_r^{(X,d)}(x)$, d(x, y) < r and hence $\varepsilon > 0$. If $z \in B_{\varepsilon}^{(X,d)}(y)$, then:

$$d(x, z) \le d(x, y) + d(y, z)$$
 (23)

$$< d(x, y) + \varepsilon$$
 (24)

$$= d(x, y) + r - d(x, y)$$
(25)

$$=r$$
 (26)

and therefore $z \in B_r^{(X, d)}(x)$, and thus $B_r^{(X, d)}(x)$ is open.

Theorem 2.3. If (X, d) is a metric space, then \emptyset is open.

Proof. This is true vacuously. There are no elements $x \in \emptyset$ such that the definition of open fails, so we say \emptyset is open.

Theorem 2.4. If (X, d) is a metric space, then X is open.

Proof. Let $x \in X$ and r = 1. Then, by definition, $B_1^{(X,d)}(x) \subseteq X$, so X is open.

Theorem 2.5. If (X, d) is a metric space, and if $\mathcal{O} \subseteq \mathcal{P}(X)$ is such that for all $\mathcal{U} \in \mathcal{O}$ it is true that \mathcal{U} is open, then $\bigcup \mathcal{O}$ is open.

Proof. Let $x \in \bigcup \mathcal{O}$. Since $x \in \bigcup \mathcal{O}$ there is a $\mathcal{U} \in \mathcal{O}$ such that $x \in \mathcal{U}$. Then by the definition of \mathcal{O}, \mathcal{U} is open. Hence there is an r > 0 such that $B_r^{(X,d)}(x) \subseteq \mathcal{U}$. But then $B_r^{(X,d)}(x) \subseteq \bigcup \mathcal{O}$, and hence $\bigcup \mathcal{O}$ is open. \Box

Theorem 2.6. If (X, d) is a metric space, if \mathcal{U} and \mathcal{V} are open subsets, then $\mathcal{U} \cap \mathcal{V}$ is open.

Proof. If $\mathcal{U} \cap \mathcal{V}$ is empty we are done. Suppose $x \in \mathcal{U} \cap \mathcal{V}$. Since $x \in \mathcal{U}$ and \mathcal{U} is open, there is an r_0 such that $B_{r_0}^{(X,d)}(x) \subseteq \mathcal{U}$. Since $x \in \mathcal{V}$ and \mathcal{V} is open there is an $r_1 > 0$ such that $B_{r_1}^{(X,d)}(x) \subseteq \mathcal{V}$. Let $r = \min(r_0, r_1)$. Then $B_r^{(X,d)}(x) \subseteq \mathcal{U}$ and $B_r^{(X,d)}(x) \subseteq \mathcal{V}$, and hence $B_r^{(X,d)}(x) \subseteq \mathcal{U} \cap \mathcal{V}$, so $\mathcal{U} \cap \mathcal{V}$ is open. \Box

Open balls completely characterize open subsets of a metric space. To be more precise, I mean the following theorem.

Theorem 2.7. If (X, d) is a metric space, and if $\mathcal{U} \subseteq X$, then \mathcal{U} is open if and only if there is a set \mathcal{O} such that for all $\mathcal{V} \in \mathcal{O}$ it is true that \mathcal{V} is an open ball in X, and such that $\bigcup \mathcal{O} = \mathcal{U}$.

Proof. If \mathcal{U} is open, then for all $x \in \mathcal{U}$ there is an $r_x > 0$ such that $B_{r_x}^{(X,d)}(x) \subseteq \mathcal{U}$. Let \mathcal{O} be defined by (using the axiom of choice here. I'm *choosing* r_x):

$$\mathcal{O} = \{ B_{r_x}^{(X,d)}(x) \mid x \in \mathcal{U} \}$$

$$(27)$$

Since $x \in B_{r_x}^{(X,d)}(x)$ for all $x \in \mathcal{U}, \mathcal{U} \subseteq \bigcup \mathcal{O}$. But $B_{r_x}^{(X,d)}(x) \subseteq \mathcal{U}$ and hence $\bigcup \mathcal{O} \subseteq \mathcal{U}$. Therefore, $\bigcup \mathcal{O} = \mathcal{U}$. In the other direction, if \mathcal{U} is of this form, then it is the union of open sets, and hence open.

Definition 2.5 (Limit Point in a Metric Space) A limit point of a subset $A \subseteq X$ of a metric space (X, d) is a point $x \in X$ such that there is a sequence $a : \mathbb{N} \to A$ such that $a_n \to x$.

Definition 2.6 (Closed Set in a Metric Space) A closed subset of a metric space (X, d) is a subset $\mathcal{C} \subseteq X$ such that for all $x \in X$ such that x is a limit point of \mathcal{C} , it is true that $x \in \mathcal{C}$.

Theorem 2.8. If (X, d) is a metric space, then \emptyset is closed.

Proof. Again, this is vacuously true. There are no points $x \in \emptyset$ that fail to satisfy the criterion.

Theorem 2.9. If (X, d) is a metric space, then X is closed.

Proof. For if $a : \mathbb{N} \to X$ is a convergent sequence, then by definition $a_n \to x$ for some $x \in X$, and hence X has all of its limit points. \Box

Theorem 2.10. If (X, d) is a metric space, if $\mathcal{O} \subseteq \mathcal{P}(X)$ is such that for all $\mathcal{C} \in \mathcal{O}$ it is true that \mathcal{C} is closed in X, then $\bigcap \mathcal{O}$ is closed.

Proof. If the intersection is empty, we're done. Suppose $a : \mathbb{N} \to \bigcap \mathcal{O}$ is a convergent sequence. Then for all $\mathcal{C} \in \mathcal{O}$, $a : \mathbb{N} \to \mathcal{C}$ is a convergent sequence. Suppose the limit is $x \in X$. But \mathcal{C} is closed, and hence $x \in \mathcal{C}$. Since limits are unique, this is the same x for all $\mathcal{C} \in \mathcal{O}$, and hence $x \in \bigcap \mathcal{O}$, That is, $\bigcap \mathcal{O}$ is closed.

Theorem 2.11. If (X, d) is a metric space, then \mathcal{U} is open if and only if $X \setminus \mathcal{U}$ is closed.

Proof. Suppose \mathcal{U} is open and let $a : \mathbb{N} \to X \setminus \mathcal{U}$ be a convergent sequence converging to $x \in X$. Suppose $x \notin X \setminus \mathcal{U}$. Then $x \in \mathcal{U}$, and hence there is an $\varepsilon > 0$ such that $B_{\varepsilon}^{(X,d)}(x) \subseteq \mathcal{U}$. But then, since $a_n \to x$, there is an $N \in \mathbb{N}$ such that $n \in \mathbb{N}$ and n > N implies $d(x, a_n) < \varepsilon$. That is, n > N implies $a_n \in B_{\varepsilon}^{(X,d)}(x)$. But $a_n \in X \setminus \mathcal{U}$ for all $n \in \mathbb{N}$, a contradiction. So $X \setminus \mathcal{U}$ is closed. Now, suppose $X \setminus \mathcal{U}$ is closed. Suppose \mathcal{U} is not open. Then there is an $x \in \mathcal{U}$ such that for all $\varepsilon > 0$ there is an $a \in X$ such that $d(x, a) < \varepsilon$ but $a \notin \mathcal{U}$. In particular, for each $n \in \mathbb{N}$ there is an a_n such that $d(x, a_n) < \frac{1}{n+1}$ but $a_n \notin \mathcal{U}$. But then $a_n \to x$. But $X \setminus \mathcal{U}$ is closed and $a : \mathbb{N} \to X \setminus \mathcal{U}$ is a convergent sequence, so the limit is in $X \setminus \mathcal{U}$. But $x \in \mathcal{U}$, a contradiction. Hence, \mathcal{U} is open.

Theorem 2.12. If (X, d) is a metric space, then $C \subseteq X$ is closed if and only if $X \setminus C$ is open.

Proof. Since $X \setminus (X \setminus C) = C$, this follows from the previous theorem. \Box

Theorem 2.13. If (X, d) is a metric space and if C and D are closed subsets, then $C \cup D$ is closed.

Proof. This follows from De Morgan's laws. C and D are closed and if and only if $X \setminus C$ and $X \setminus D$ are open. But:

$$X \setminus (\mathcal{C} \cup \mathcal{D}) = (X \setminus \mathcal{C}) \cap (X \setminus \mathcal{D})$$
(28)

This is the intersection of two open sets, which is open. So $\mathcal{C} \cup \mathcal{D}$ is closed. \Box