Penney's game for permutations

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Dartmouth

AMS Fall Eastern Sectional Meeting Special Session on Permutation Patterns Albany, NY, October 2024 Player A selects a binary word v of length at least 3, then Player B selects another binary word w of the same length.

A coin is tossed repeatedly until v or w appear, making that player the winner.

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Example

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It is known that, for any word picked by Player A, Player B can always pick a word that will be more likely to appear first.

A game with this property is called a *non-transitive* game.

Given two binary words v and w, let

$$b(v,w)=\sum_{i}2^{i-1},$$

where the sum is over those *i* such that $w_1 \dots w_i = v_{\ell-i+1} \dots v_k$.

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Theorem (Conway '74)

The probability that w appears before v is

$$\frac{b(v,v)-b(v,w)}{b(v,v)-b(v,w)+b(w,w)-b(w,v)}$$

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Guibas-Odlyzko'81 described a winning strategy for player B. Felix'16 characterized the words w that maximize this probability for any given v.

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A sequence of continuous i.i.d. random variables is drawn until σ or τ appear (i.e., the last k values are in the same relative order as σ or τ), making that player the winner.

Example

Player A chooses 123, Player B chooses 213.

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Let us start with an easier question:

• How long do we have to wait until σ appears for the first time?

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Examples:

$$\mathbb{E}T_{123} = \frac{\sqrt{3e}}{2\cos(\frac{\sqrt{3}}{2} + \frac{\pi}{6})} \approx 7.924, \quad \mathbb{E}T_{132} = \frac{1}{1 - \int_0^1 e^{-t^2/2} dt} \approx 6.926.$$

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Side note: The theorem $\mathbb{E} T_{\sigma} = P_{\sigma}(1)$ extends to vincular patterns. For example,

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And if σ is any classical pattern of length 3,

$$\mathbb{E} T_{\sigma} = \sum_{n \ge 0} \frac{\operatorname{Cat}_n}{n!} \approx 5.091.$$

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$$\mathbb{E}T_{\sigma} = \sum_{n\geq 0} \frac{\operatorname{Cat}_n}{n!} \approx 5.091.$$

For any vincular pattern σ , the value $\mathbb{E} T_{\sigma}$ gives a measure of how easy it is to avoid σ in a random permutation.

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Here are the values of $Pr(\sigma \prec \tau)$ for patterns of length 3:

σ	123	132	213	231	312	321
123	_	0.5	0.412	0.550	0.342	0.5
132	0.5	_	0.461	0.476	0.5	0.658
213	0.588	0.539	_	0.5	0.524	0.450
231	0.450	0.524	0.5	_	0.539	0.588
312	0.658	0.5	0.476	0.461	_	0.5
321	0.5	0.342	0.550	0.412	0.5	-

Because of trivial symmetries, it is enough to compute the orange entries.

For $\sigma, \tau \in \mathcal{S}_k$, let

 $\mathsf{Av}_n^{\sigma}(\sigma, \tau) = \{\pi \in \mathcal{S}_n \text{ ending with } \sigma, \text{ avoiding } \sigma \text{ and } \tau \text{ elsewhere}\}.$

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Theorem

Pr(123 ≺ 132) =
$$\frac{1}{2}$$
,
Pr(132 ≺ 231) = $\frac{e^2 - 2e - 1}{2} \approx 0.476$,
Pr(123 ≺ 213) = $e^{\frac{3}{2}} \left(2 - 3 \int_0^1 e^{-t - \frac{t^2}{2}} dt\right) - 1 \approx 0.412$.

Penney's game for permutations is non-transitive:

 $\Pr(123 \prec 231) > \frac{1}{2}$, $\Pr(312 \prec 123) > \frac{1}{2}$, $\Pr(231 \prec 312) > \frac{1}{2}$.

Penney's game for permutations is non-transitive: 123 \uparrow 231312

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Compare this to the fact that $\mathbb{E}T_{123} > \mathbb{E}T_{231}$, i.e., 123 is more likely to appear before 231, but on average we have to wait longer to see 123.

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If there is a bijection between $Av_n^{\sigma}(\sigma, \tau)$ and $Av_n^{\tau}(\sigma, \tau)$ for all n, then $\sigma \equiv \tau$.

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Theorem

Let $2 \leq i, i' \leq k - 1$. Then,

$$12 \dots k \equiv 12 \dots (i-1)(i+1) \dots ki,$$

$$12 \dots (i-1)(i+1) \dots ki \equiv 12 \dots (i'-1)(i'+1) \dots ki',$$

$$134 \dots (k-2)k2(k-1) \equiv 134 \dots (k-2)(k-1)2k$$

$$1k\alpha(k-2)(k-1) \equiv 1(k-1)\beta(k-2)k,$$

where α and β are permutations of $\{2, 3, \ldots, k-3\}$.

All tied pairs of patterns of length 4



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All tied pairs follow from the previous theorem, except for the two blue edges.

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Applying complementation to the right-hand side, this is equivalent to

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We do not have a direct bijection between these sets. But for any set I of positions, we can construct a bijection between $\{\pi \in S_n \text{ having occurrences of } 2134 \text{ and } 3241 \text{ in positions } I\}$ and $\{\pi \in S_n \text{ having occurrences of } 2314 \text{ and } 3421 \text{ in positions } I\}$ (there may be occurrences in other positions as well).

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Finally, we apply inclusion-exclusion to get the above equality.

The approximate probabilities $Pr(\sigma \prec \tau)$ for $\sigma, \tau \in \mathcal{S}_4$

σ τ	1234	1243	1324	1342	1423	1432	2134	2143	2314	2341	2413	2431	3124	3142	3214	3241	3412	3421	4123	4132	4213	4231	4312	4321
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Penney's game for permutations

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Conjecture

For any $k \geq 3$ and any $\sigma = \sigma_1 \dots \sigma_{k-1} \sigma_k \in S_k$, the permutation $\tau = \sigma_k \sigma_1 \dots \sigma_{k-1}$ satisfies

$$\Pr(\sigma \prec \tau) < \frac{1}{2}.$$

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What is the optimal strategy for Player B?
 For any given σ, find τ that minimizes Pr(σ ≺ τ).

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- What is the optimal strategy for Player B?
 For any given σ, find τ that minimizes Pr(σ ≺ τ).
- Consider the analogous questions for classical patterns.