

Schur-positive statistics on 321-avoiding permutations and other Catalan objects

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Integer-valued statistics

Let $\mathcal{A}_n$ be a finite set, and let $\text{st} : \mathcal{A}_n \rightarrow \mathbb{Z}_{\geq 0}$ be an integer-valued statistic. The distribution of st over $\mathcal{A}_n$ can be encoded by a polynomial

$$\sum_{a \in \mathcal{A}_n} x^{\text{st}(a)}.$$

Set-valued statistics

Now let $\text{St} : \mathcal{A}_n \rightarrow 2^{[n-1]}$ be a **set-valued statistic**.

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The distribution of St over $\mathcal{A}_n$ can be encoded by the **quasisymmetric function**

$$Q_{\text{St}}(\mathcal{A}_n) = \sum_{a \in \mathcal{A}_n} F_{n, \text{St}(a)}, \quad \text{where } F_{n, S} = \sum_{\substack{i_1 \leq i_2 \leq \dots \leq i_n \\ j \in S \Rightarrow \bar{i}_j < \bar{i}_{j+1}}} x_{i_1} x_{i_2} \cdots x_{i_n}.$$

Example

$$F_{3, \{2\}} = \sum_{i_1 \leq i_2 < i_3} x_{i_1} x_{i_2} x_{i_3} = x_1^2 x_2 + x_1^2 x_3 + x_2^2 x_3 + \cdots + x_1 x_2 x_3 + x_1 x_2 x_4 + \cdots$$

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A common case: $\mathcal{A}_n \subseteq \mathcal{S}_n$, $\text{St} = \text{Des}$, where

$$\text{Des}(\pi) = \{i \in [n-1] : \pi_i > \pi_{i+1}\}.$$

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$$Q_{\text{Des}}(\mathcal{S}_3) = F_{3, \emptyset} + 2F_{3, \{1\}} + 2F_{3, \{2\}} + F_{3, \{1, 2\}}.$$

Definition

$SSYT(\lambda)$ = fillings of the Young diagram of λ with positive integers that are weakly increasing along rows and strictly increasing down columns.

Schur functions

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The **Schur function** s_λ is defined by

$$s_\lambda = \sum_{T \in \text{SSYT}(\lambda)} x^T, \quad \text{where } x^T = \prod_i x_i^{\#i\text{'s in } T}.$$

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Example ($\lambda = (2, 1)$)

$\begin{array}{ c c }\hline 1 & 1 \\ \hline 2 & \\ \hline\end{array}$	$\begin{array}{ c c }\hline 1 & 1 \\ \hline 3 & \\ \hline\end{array}$	$\begin{array}{ c c }\hline 1 & 2 \\ \hline 3 & \\ \hline\end{array}$	$\begin{array}{ c c }\hline 1 & 3 \\ \hline 4 & \\ \hline\end{array}$	...
$x_1^2 x_2$	$x_1^2 x_3$	$x_1 x_2 x_3$	$x_1 x_3 x_4$	

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1	1
2	

$$x_1^2 x_2$$

1	1
3	

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1	2
3	

$$x_1 x_2 x_3$$

1	3
4	

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...

$$s_{(2,1)} =$$

$$x_1^2 x_2 + x_1^2 x_3 + \cdots$$

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$x_1^2 x_2$	$x_1^2 x_3$	$x_1 x_2 x_3$	$x_1 x_3 x_4$		$x_1^2 x_2 + x_1^2 x_3 + \dots$
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Schur functions form a basis of the ring of symmetric functions.

A symmetric function is **Schur-positive** if all coefficients in its Schur expansion are nonnegative.

Back to the previous example

Example

$$\begin{aligned} Q_{\text{Des}}(\mathcal{S}_3) &= F_{3,\emptyset} + 2F_{3,\{1\}} + 2F_{3,\{2\}} + F_{3,\{1,2\}} \\ &= (x_1^3 + x_1^2x_2 + x_1x_2^2 + x_1x_2x_3 + \dots) \\ &\quad + 2(x_1x_2^2 + x_1x_2x_3 + \dots) + 2(x_1^2x_2 + x_1x_2x_3 + \dots) \\ &\quad + (x_1x_2x_3 + \dots) \\ &= s_{(3)} + 2s_{(2,1)} + s_{(1,1,1)}. \end{aligned}$$

The Schur-positivity problem

Central problem

For which pairs $(\mathcal{A}_n, \text{St})$ is $Q_{\text{St}}(\mathcal{A}_n)$ **symmetric** and **Schur-positive**?

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In this case, $Q_{\text{Des}}(\text{SYT}(\lambda)) = s_\lambda$. [Gessel '84]

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Today's goal: describe other Schur-positive pairs $(\mathcal{A}_n, \text{St})$.

We will focus on Catalan objects, i.e., where $|\mathcal{A}_n| = C_n = \frac{1}{n+1} \binom{2n}{n}$.

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Example

$|\text{SYT}(n, n)| = C_n$ and $Q_{\text{Des}}(\text{SYT}(n, n)) = s_{(n,n)}$.

321-avoiding permutations

Well known: $|\mathcal{S}_n(321)| = C_n$.

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Theorem [Hamaker–Pawłowski–Sagan '20]

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Definition

$\pi \in \mathcal{S}_n$ is **indecomposable** if $\{\pi_1, \dots, \pi_i\} \neq \{1, \dots, i\}$ for all $1 \leq i < n$ (i.e., we can't write $\pi = \sigma \oplus \tau$).

$\mathcal{S}_n^*(321)$ = indecomposable permutations in $\mathcal{S}_n(321)$.

A little less well known: $|\mathcal{S}_n^*(321)| = C_{n-1}$.

Theorem [Adin–Bagno–Roichman '18]

$$Q_{\text{Des}}(\mathcal{S}_n^*(321)) = \sum_{k=1}^{\lfloor n/2 \rfloor} |\text{SYT}(n-k-1, k-1)| s_{(n-k, k)}.$$

Indecomposable 321-avoiding permutations

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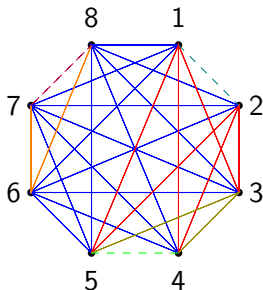
Example

$\pi \in \mathcal{S}_4^*(321)$	$\text{Des}(\pi)$
2341	$\{3\}$
2413	$\{2\}$
3142	$\{1, 3\}$
3412	$\{2\}$
4123	$\{1\}$

$$Q_{\text{Des}}(\mathcal{S}_n^*(321)) = F_{4, \{1\}} + 2F_{4, \{2\}} + F_{4, \{3\}} + F_{4, \{1, 3\}} = s_{3,1} + s_{2,2}.$$

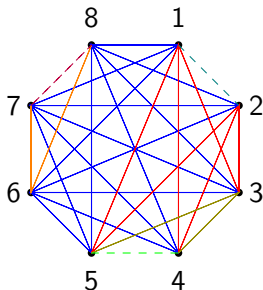
Transitive partitions

Let $c : E(K_n) \rightarrow \{1, \dots, m\}$ be an edge-coloring of the complete graph, and consider the resulting partition of the edges of K_n .



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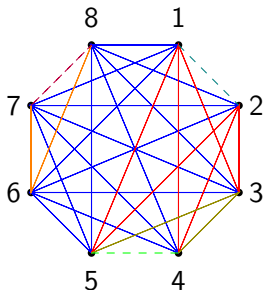


Such a partition is **transitive** if

$$c(i, k) \in \{c(i, j), c(j, k)\} \text{ for all } i < j < k.$$

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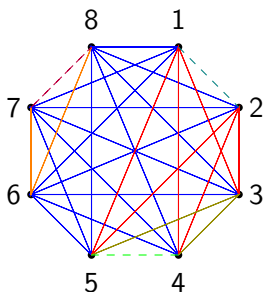
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Let $\mathcal{P}_n =$ maximal transitive partitions of K_n .

Transitive partitions

For $P \in \mathcal{P}_n$, let

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Theorem [Adin et al. '25]

$|\mathcal{P}_n| = C_{n-1}$, and

$$Q_{\text{Sng}}(\mathcal{P}_n) = \sum_{k=1}^{\lfloor n/2 \rfloor} |\text{SYT}(n-k-1, k-1)| s_{(n-k, k)}.$$

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Problem (Adin et al.): Find a bijective proof.

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Problem (Adin et al.): Find a bijective proof.

We provide one, and we find other families of equidistributed statistics.

Main result: nine set-valued statistics

Theorem [E.–Roichman '26+]

There are explicit bijections showing that the following set-valued statistics on Catalan objects are **equidistributed**:

- a Des on indecomposable 321-avoiding permutations $\mathcal{S}_n^*(321)$
- b Sng on maximal transitive partitions $\mathcal{P}_n$
- c Che on binary trees $\mathcal{B}_n$
- d Γ on elevated Dyck paths $\mathcal{D}_n^*$
- e $\bar{\Gamma}$ on Dyck paths $\mathcal{D}_{n-1}$
- f $\overline{\text{Des}}$ on 321-avoiding permutations $\mathcal{S}_{n-1}(321)$
- g $\text{Pea}_{\leq n-1}$ on Dyck paths $\mathcal{D}_{n-1}$
- h Ear on triangulations $\mathcal{T}_{n+1}$
- i Sho on noncrossing alternating trees $\mathcal{N}_n$

Corollary: Schur-positivity

Corollary [E.–Roichman, 26+]

For each of the nine pairs $(\mathcal{A}_n, \text{St})$ from the theorem, we have

$$Q_{\text{St}}(\mathcal{A}_n) = \sum_{k=1}^{\lfloor n/2 \rfloor} |\text{SYT}(n-k-1, k-1)| s_{(n-k, k)}.$$

In particular, these quasisymmetric functions are **symmetric** and **Schur-positive**.

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In particular, these quasisymmetric functions are **symmetric** and **Schur-positive**.

Corollary [E.–Roichman, 26+]

For each of the nine pairs $(\mathcal{A}_n, \text{St})$, the integer-valued statistics $\text{st}(a) = |\text{St}(a)|$ are equidistributed, and

$$\sum_{n \geq 0} \sum_{a \in \mathcal{A}_n} t^{\text{st}(a)} z^n = \frac{1 - \sqrt{1 - 4z + 4(1-t)z^2}}{2z}.$$

Cherries in binary trees

$\mathcal{B}_n$ = complete binary trees with n leaves.

$$|\mathcal{B}_n| = C_{n-1}.$$

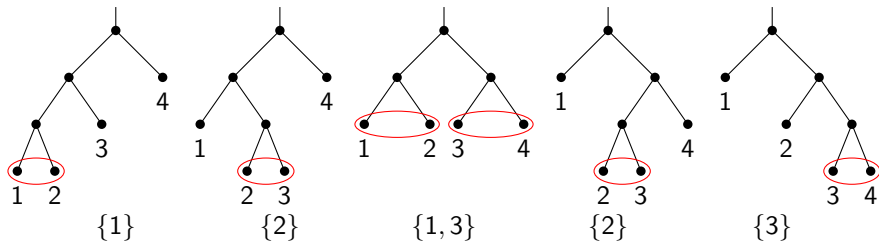
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Label the leaves of $B \in \mathcal{B}_n$ with $1, \dots, n$ from left to right.

$$\text{Che}(B) = \{i : \text{leaves } i, i+1 \text{ have a common parent}\}.$$



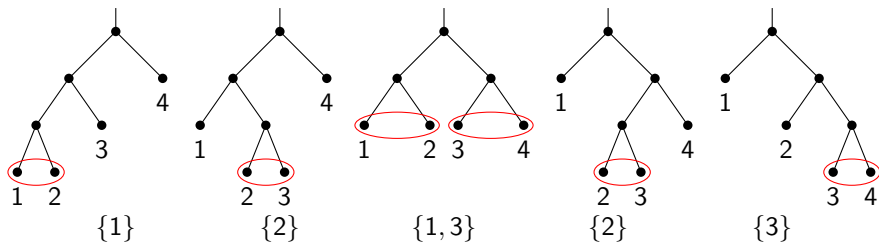
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Ears on triangulations

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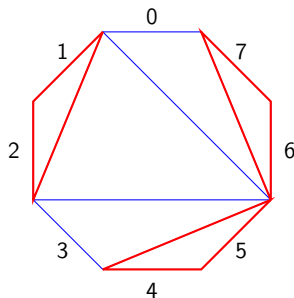
Ears on triangulations

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Label the edges counterclockwise with $0, 1, \dots, n$ from left to right.

$$\text{Ear}(T) = \{i \in [n - 1] : \text{edges } i, i + 1 \text{ are in the same triangle}\}.$$



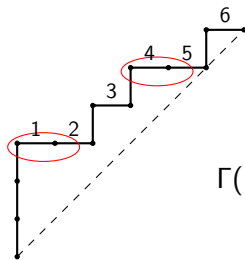
$$\text{Ear}(T) = \{1, 4, 6\}$$

Occurrences of nee on Dyck paths

$\mathcal{D}_n$ = Dyck paths from $(0,0)$ to (n,n) with n and e steps.

$$|\mathcal{D}_n| = C_n.$$

$\Gamma(D) = \{i : \text{the } i\text{th e step of } D \text{ is in the middle of an occurrence of nee}\},$



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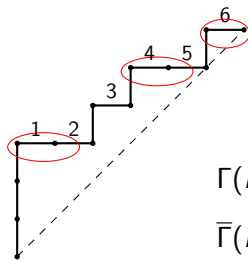
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$$\bar{\Gamma}(D) = \begin{cases} \Gamma(D) \cup \{n\} & \text{if } D \text{ ends with ne,} \\ \Gamma(D) & \text{otherwise.} \end{cases}$$



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Bijection $(\mathcal{S}_n(321), \overline{\text{Des}}) \leftrightarrow (\mathcal{D}_n, \overline{\Gamma})$

For $\pi \in \mathcal{S}_n$, define

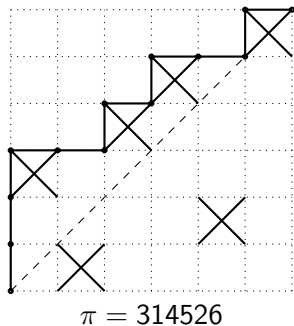
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Krattenthaler's bijection $\kappa : \mathcal{S}_n(321) \rightarrow \mathcal{D}_n$ satisfies



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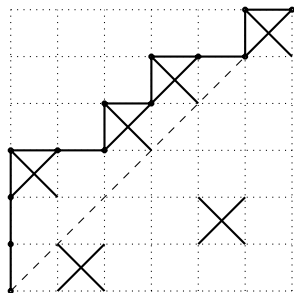
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Krattenthaler's bijection $\kappa : \mathcal{S}_n(321) \rightarrow \mathcal{D}_n$ satisfies

$$\text{Des}(\pi) = \Gamma(\kappa(\pi)),$$

$$\overline{\text{Des}}(\pi) = \overline{\Gamma}(\kappa(\pi)).$$



$$\pi = 314526$$

Bijection $(\mathcal{S}_n(321), \overline{\text{Des}}) \leftrightarrow (\mathcal{D}_n, \overline{\Gamma})$

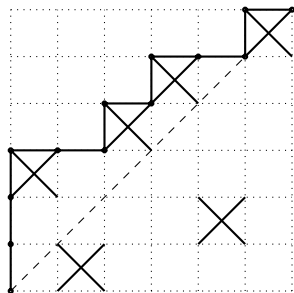
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$\pi = 314526$

Additionally,

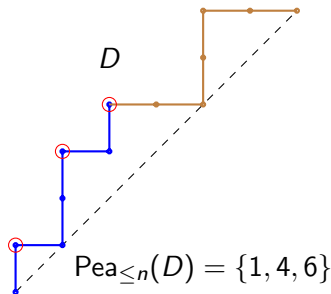
$$\pi \text{ indecomposable} \iff \kappa(\pi) \text{ elevated,}$$

so we also get $(\mathcal{S}_n^*(321), \text{Des}) \leftrightarrow (\mathcal{D}_n^*, \Gamma)$.

Bijection $(\mathcal{S}_n(321), \overline{\text{Des}}) \leftrightarrow (\mathcal{D}_n, \text{Pea}_{\leq n})$

For $D \in \mathcal{D}_n$, let

$$\begin{aligned} \text{Pea}(D) &= \{i \in [2n-1] : \text{steps } i \text{ and } i+1 \text{ of } D \text{ form a peak ne}\}, \\ \text{Pea}_{\leq n}(D) &= \text{Pea}(D) \cap [n]. \end{aligned}$$



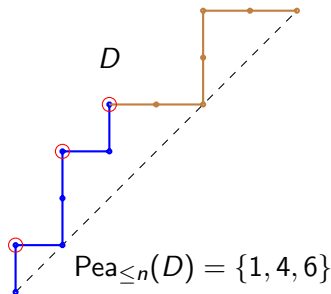
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$$\pi \xrightarrow{\text{RSK}} (P, Q)$$

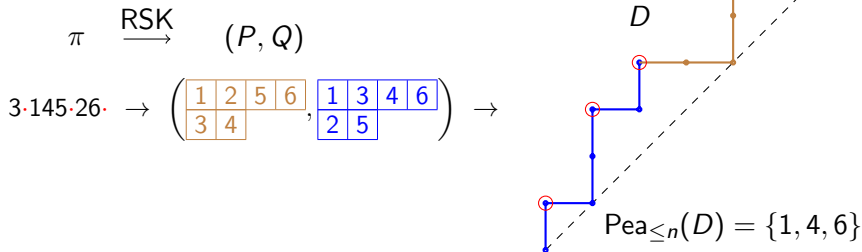
$$3 \cdot 145 \cdot 26 \cdot \rightarrow \left(\begin{array}{|c|c|c|c|} \hline 1 & 2 & 5 & 6 \\ \hline 3 & 4 & & \\ \hline \end{array}, \begin{array}{|c|c|c|c|} \hline 1 & 3 & 4 & 6 \\ \hline 2 & 5 & & \\ \hline \end{array} \right) \rightarrow$$



Bijection $(\mathcal{S}_n(321), \overline{\text{Des}}) \leftrightarrow (\mathcal{D}_n, \text{Pea}_{\leq n})$

For $D \in \mathcal{D}_n$, let

$$\begin{aligned} \text{Pea}(D) &= \{i \in [2n-1] : \text{steps } i \text{ and } i+1 \text{ of } D \text{ form a peak ne}\}, \\ \text{Pea}_{\leq n}(D) &= \text{Pea}(D) \cap [n]. \end{aligned}$$

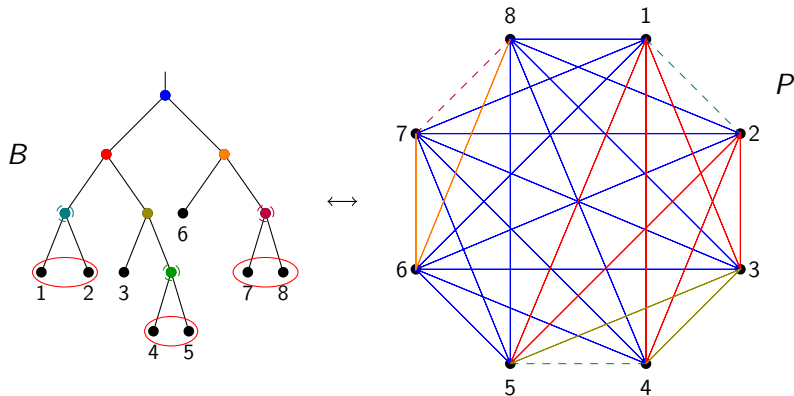


One can prove that

$$\overline{\text{Des}}(\pi) = \text{Pea}_{\leq n}(D).$$

Bijection $(\mathcal{B}_n, \text{Che}) \leftrightarrow (\mathcal{P}_n, \text{Sng})$

Given $B \in \mathcal{B}_n$, build a maximal transitive partition P of $E(K_n)$:
 each internal node v of B determines a block of P consisting of the edges $\{(i, j) : i \in \text{left subtree of } v, j \in \text{right subtree of } v\}$.



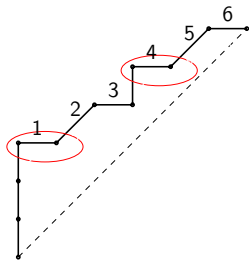
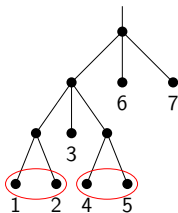
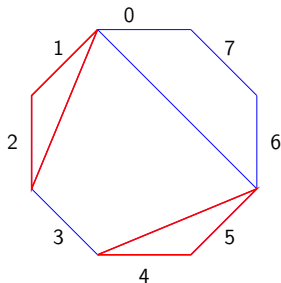
$$\text{Che}(B) = \text{Sng}(P)$$

Generalization to Schröder objects

Theorem [E.–Roichman, 26+]

The following set-valued statistics on Schröder objects are equidistributed:

- (a) Che on *Schröder trees* on n leaves (rooted plane trees where no vertex has exactly one child)
- (b) Ear on polygon dissections of a regular $(n + 1)$ -gon
- (c) $\bar{\Gamma}$ on little Schröder paths from $(0, 0)$ to $(n - 1, n - 1)$ (similar to Dyck paths but allowing $d = (1, 1)$ steps not on the diagonal)



Thank you!